

Examples of Inductive and Coinductive Definitions in HOL

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Abstract

This is a collection of small examples to demonstrate Isabelle/HOL's (co)inductive definitions package. Large examples appear on many other sessions, such as Lambda, IMP, and Auth.

Contents

1	Common patterns of induction	5
1.1	Variations on statement structure	5
1.1.1	Local facts and parameters	5
1.1.2	Local definitions	5
1.1.3	Simple simultaneous goals	6
1.1.4	Compound simultaneous goals	7
1.2	Multiple rules	8
1.3	Inductive predicates	10
2	Defining an Initial Algebra by Quotienting a Free Algebra	11
2.1	Defining the Free Algebra	12
2.2	Some Functions on the Free Algebra	12
2.2.1	The Set of Nonces	12
2.2.2	The Left Projection	13
2.2.3	The Right Projection	13
2.2.4	The Discriminator for Constructors	14
2.3	The Initial Algebra: A Quotiented Message Type	14
2.3.1	Characteristic Equations for the Abstract Constructors	15
2.4	The Abstract Function to Return the Set of Nonces	16
2.5	The Abstract Function to Return the Left Part	16
2.6	The Abstract Function to Return the Right Part	17
2.7	Injectivity Properties of Some Constructors	18

2.8	The Abstract Discriminator	20
3	Quotienting a Free Algebra Involving Nested Recursion	21
3.1	Defining the Free Algebra	21
3.2	Some Functions on the Free Algebra	22
3.2.1	The Set of Variables	22
3.2.2	Functions for Freeness	22
3.3	The Initial Algebra: A Quotiented Message Type	23
3.4	Every list of abstract expressions can be expressed in terms of a list of concrete expressions	24
3.4.1	Characteristic Equations for the Abstract Constructors	25
3.5	The Abstract Function to Return the Set of Variables	26
3.6	Injectivity Properties of Some Constructors	27
3.7	Injectivity of <i>FnCall</i>	27
3.8	The Abstract Discriminator	28
4	Terms over a given alphabet	29
5	Arithmetic and boolean expressions	30
6	Infinitely branching trees	32
6.1	The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.	32
6.2	A WF Ordering for The Brouwer ordinals (Michael Compton)	33
7	Ordinals	34
8	Sigma algebras	35
9	Combinatory Logic example: the Church-Rosser Theorem	36
9.1	Definitions	36
9.2	Reflexive/Transitive closure preserves Church-Rosser property	37
9.3	Non-contraction results	38
9.4	Results about Parallel Contraction	39
9.5	Basic properties of parallel contraction	39
10	Meta-theory of propositional logic	40
10.1	The datatype of propositions	40
10.2	The proof system	40
10.3	The semantics	41
10.3.1	Semantics of propositional logic.	41
10.3.2	Logical consequence	41
10.4	Proof theory of propositional logic	41
10.4.1	Weakening, left and right	41
10.4.2	The deduction theorem	42
10.4.3	The cut rule	42

10.4.4	Soundness of the rules wrt truth-table semantics . . .	42
10.5	Completeness	42
10.5.1	Towards the completeness proof	42
10.6	Completeness – lemmas for reducing the set of assumptions .	43
10.6.1	Completeness theorem	44
11	Extended List Theory (old)	47
12	Definition of type <i>l</i>list by a greatest fixed point	68
12.0.2	Sample function definitions. Item-based ones start with <i>L</i>	70
12.0.3	Simplification	71
12.1	Type checking by coinduction	71
12.2	<i>LList-corec</i> satisfies the desired recursion equation	72
12.2.1	The directions of the equality are proved separately .	72
12.3	<i>l</i> list equality as a <i>gfp</i> ; the bisimulation principle	73
12.3.1	Coinduction, using <i>LListD-Fun</i>	73
12.3.2	To show two <i>LL</i> ists are equal, exhibit a bisimulation! [also admits true equality] Replace <i>A</i> by some partic- ular set, like $\{x. \text{True}\}???$	75
12.4	Finality of <i>l</i> list(<i>A</i>): Uniqueness of functions defined by core- cursion	75
12.4.1	Obsolete proof of <i>LList-corec-unique</i> : complete induc- tion, not coinduction	75
12.5	<i>Lconst</i> : defined directly by <i>lfp</i>	76
12.6	Isomorphisms	77
12.6.1	Distinctness of constructors	77
12.6.2	<i>l</i> list constructors	77
12.6.3	Injectiveness of <i>CONS</i> and <i>LCons</i>	77
12.7	Reasoning about <i>l</i> list(<i>A</i>)	78
12.8	The functional <i>Lmap</i>	78
12.8.1	Two easy results about <i>Lmap</i>	79
12.9	<i>Lappend</i> – its two arguments cause some complications! . . .	79
12.9.1	Alternative type-checking proofs for <i>Lappend</i>	80
12.10	Lazy lists as the type ' <i>a l</i> list – strongly typed versions of above	80
12.10.1	<i>l</i> list-case: case analysis for ' <i>a l</i> list	80
12.10.2	<i>l</i> list-corec: corecursion for ' <i>a l</i> list	80
12.11	Proofs about type ' <i>a l</i> list functions	81
12.12	Deriving <i>l</i> list-equalityI – <i>l</i> list equality is a bisimulation	81
12.12.1	To show two <i>l</i> lists are equal, exhibit a bisimulation! [also admits true equality]	82
12.12.2	Rules to prove the 2nd premise of <i>l</i> list-equalityI	82
12.13	The functional <i>lmap</i>	83
12.13.1	Two easy results about <i>lmap</i>	83

12.14	iterates – <i>l</i> list- <i>fun-equality</i> <i>I</i> cannot be used!	83
12.15	A rather complex proof about iterates – cf Andy Pitts	84
12.15.1	Two lemmas about <i>natrec n x (%m. g)</i> , which is essentially $(g^{\wedge}n)(x)$	84
12.16	<i>lappend</i> – its two arguments cause some complications!	84
12.16.1	Two proofs that <i>lmap</i> distributes over <i>lappend</i>	85
13	The ”filter” functional for coinductive lists –defined by a combination of induction and coinduction	86
13.1	<i>findRel</i> : basic laws	86
13.2	Properties of <i>Domain (findRel p)</i>	86
13.3	<i>find</i> : basic equations	87
13.4	<i>lfilter</i> : basic equations	87
13.5	<i>lfilter</i> : simple facts by coinduction	88
13.6	Numerous lemmas required to prove <i>lfilter-conj</i>	89
13.7	Numerous lemmas required to prove $lfilter\ p\ (lmap\ f\ l) = lmap\ f\ (lfilter\ (\%x.\ p(f\ x))\ l)$	90
14	Mutual Induction via Iterated Inductive Definitions	91
14.1	Commands	91
14.2	Expressions	93
14.3	Equivalence of IF <i>e</i> THEN <i>c</i> ;;(WHILE <i>e</i> DO <i>c</i>) ELSE SKIP and WHILE <i>e</i> DO <i>c</i>	95
14.4	Equivalence of (IF <i>e</i> THEN <i>c</i> 1 ELSE <i>c</i> 2);; <i>c</i> and IF <i>e</i> THEN (<i>c</i> 1;; <i>c</i>) ELSE (<i>c</i> 2;; <i>c</i>)	95
14.5	Equivalence of VALOF <i>c</i> 1 RESULTIS (VALOF <i>c</i> 2 RESULTIS <i>e</i>) and VALOF <i>c</i> 1;; <i>c</i> 2 RESULTIS <i>e</i>	96
14.6	Equivalence of VALOF SKIP RESULTIS <i>e</i> and <i>e</i>	96
14.7	Equivalence of VALOF <i>x</i> := <i>e</i> RESULTIS <i>x</i> and <i>e</i>	97

1 Common patterns of induction

```
theory Common-Patterns
imports Main
begin
```

The subsequent Isar proof schemes illustrate common proof patterns supported by the generic *induct* method.

To demonstrate variations on statement (goal) structure we refer to the induction rule of Peano natural numbers: $\llbracket P\ 0; \bigwedge nat. P\ nat \implies P\ (Suc\ nat) \rrbracket \implies P\ nat$, which is the simplest case of datatype induction. We shall also see more complex (mutual) datatype inductions involving several rules. Working with inductive predicates is similar, but involves explicit facts about membership, instead of implicit syntactic typing.

1.1 Variations on statement structure

1.1.1 Local facts and parameters

Augmenting a problem by additional facts and locally fixed variables is a bread-and-butter method in many applications. This is where unwieldy object-level \forall and \longrightarrow used to occur in the past. The *induct* method works with primary means of the proof language instead.

```
lemma
  fixes  $n :: nat$ 
    and  $x :: 'a$ 
  assumes  $A\ n\ x$ 
  shows  $P\ n\ x$  using  $\langle A\ n\ x \rangle$ 
proof (induct  $n$  arbitrary:  $x$ )
  case 0
  note  $prem = \langle A\ 0\ x \rangle$ 
  show  $P\ 0\ x$  sorry
next
  case ( $Suc\ n$ )
  note  $hyp = \langle \bigwedge x. A\ n\ x \implies P\ n\ x \rangle$ 
    and  $prem = \langle A\ (Suc\ n)\ x \rangle$ 
  show  $P\ (Suc\ n)\ x$  sorry
qed
```

1.1.2 Local definitions

Here the idea is to turn sub-expressions of the problem into a defined induction variable. This is often accompanied with fixing of auxiliary parameters in the original expression, otherwise the induction step would refer invariably to particular entities. This combination essentially expresses a partially abstracted representation of inductive expressions.

```
lemma
```

```

fixes  $a :: 'a \Rightarrow \text{nat}$ 
assumes  $A (a\ x)$ 
shows  $P (a\ x)$  using  $\langle A (a\ x) \rangle$ 
proof (induct  $n \equiv a\ x$  arbitrary:  $x$ )
  case 0
    note  $\text{prem} = \langle A (a\ x) \rangle$ 
    and  $\text{defn} = \langle 0 = a\ x \rangle$ 
    show  $P (a\ x)$  sorry
next
  case (Suc  $n$ )
    note  $\text{hyp} = \langle \bigwedge x. A (a\ x) \Longrightarrow n = a\ x \Longrightarrow P (a\ x) \rangle$ 
    and  $\text{prem} = \langle A (a\ x) \rangle$ 
    and  $\text{defn} = \langle \text{Suc } n = a\ x \rangle$ 
    show  $P (a\ x)$  sorry
qed

```

Observe how the local definition $n = a\ x$ recurs in the inductive cases as $0 = a\ x$ and $\text{Suc } n = a\ x$, according to underlying induction rule.

1.1.3 Simple simultaneous goals

The most basic simultaneous induction operates on several goals one-by-one, where each case refers to induction hypotheses that are duplicated according to the number of conclusions.

```

lemma
  fixes  $n :: \text{nat}$ 
  shows  $P\ n$  and  $Q\ n$ 
proof (induct  $n$ )
  case 0 case 1
    show  $P\ 0$  sorry
next
  case 0 case 2
    show  $Q\ 0$  sorry
next
  case (Suc  $n$ ) case 1
    note  $\text{hyps} = \langle P\ n \rangle \langle Q\ n \rangle$ 
    show  $P (\text{Suc } n)$  sorry
next
  case (Suc  $n$ ) case 2
    note  $\text{hyps} = \langle P\ n \rangle \langle Q\ n \rangle$ 
    show  $Q (\text{Suc } n)$  sorry
qed

```

The split into subcases may be deferred as follows – this is particularly relevant for goal statements with local premises.

```

lemma
  fixes  $n :: \text{nat}$ 
  shows  $A\ n \Longrightarrow P\ n$ 

```

```

    and  $B\ n \implies Q\ n$ 
proof (induct n)
case 0
{
  case 1
  note  $\langle A\ 0 \rangle$ 
  show  $P\ 0$  sorry
next
case 2
note  $\langle B\ 0 \rangle$ 
show  $Q\ 0$  sorry
}
next
case (Suc n)
note  $\langle A\ n \implies P\ n \rangle$ 
and  $\langle B\ n \implies Q\ n \rangle$ 
{
  case 1
  note  $\langle A\ (Suc\ n) \rangle$ 
  show  $P\ (Suc\ n)$  sorry
next
case 2
note  $\langle B\ (Suc\ n) \rangle$ 
show  $Q\ (Suc\ n)$  sorry
}
qed

```

1.1.4 Compound simultaneous goals

The following pattern illustrates the slightly more complex situation of simultaneous goals with individual local assumptions. In compound simultaneous statements like this, local assumptions need to be included into each goal, using \implies of the Pure framework. In contrast, local parameters do not require separate \wedge prefixes here, but may be moved into the common context of the whole statement.

```

lemma
  fixes  $n :: nat$ 
  and  $x :: 'a$ 
  and  $y :: 'b$ 
  shows  $A\ n\ x \implies P\ n\ x$ 
  and  $B\ n\ y \implies Q\ n\ y$ 
proof (induct n arbitrary: x y)
case 0
{
  case 1
  note prem =  $\langle A\ 0\ x \rangle$ 
  show  $P\ 0\ x$  sorry
}

```

```

{
  case 2
  note prem = ⟨B 0 y⟩
  show Q 0 y sorry
}
next
case (Suc n)
note hyps = ⟨ $\bigwedge x. A\ n\ x \implies P\ n\ x$ ⟩  $\langle \bigwedge y. B\ n\ y \implies Q\ n\ y \rangle$ 
then have some-intermediate-result sorry
{
  case 1
  note prem = ⟨A (Suc n) x⟩
  show P (Suc n) x sorry
}
{
  case 2
  note prem = ⟨B (Suc n) y⟩
  show Q (Suc n) y sorry
}
qed

```

Here *induct* provides again nested cases with numbered sub-cases, which allows to share common parts of the body context. In typical applications, there could be a long intermediate proof of general consequences of the induction hypotheses, before finishing each conclusion separately.

1.2 Multiple rules

Multiple induction rules emerge from mutual definitions of datatypes, inductive predicates, functions etc. The *induct* method accepts replicated arguments (with *and* separator), corresponding to each projection of the induction principle.

The goal statement essentially follows the same arrangement, although it might be subdivided into simultaneous sub-problems as before!

```

datatype foo = Foo1 nat | Foo2 bar
and bar = Bar1 bool | Bar2 bazar
and bazar = Bazar foo

```

The pack of induction rules for this datatype is:

```

[[ $\bigwedge nat. P1\ (Foo1\ nat); \bigwedge bar. P2\ bar \implies P1\ (Foo2\ bar); \bigwedge bool. P2\ (Bar1\ bool);$ 
 $\bigwedge bazar. P3\ bazar \implies P2\ (Bar2\ bazar); \bigwedge foo. P1\ foo \implies P3\ (Bazar\ foo)]]$ 
 $\implies P1\ foo$ 
[[ $\bigwedge nat. P1\ (Foo1\ nat); \bigwedge bar. P2\ bar \implies P1\ (Foo2\ bar); \bigwedge bool. P2\ (Bar1\ bool);$ 
 $\bigwedge bazar. P3\ bazar \implies P2\ (Bar2\ bazar); \bigwedge foo. P1\ foo \implies P3\ (Bazar\ foo)]]$ 
 $\implies P2\ bar$ 
[[ $\bigwedge nat. P1\ (Foo1\ nat); \bigwedge bar. P2\ bar \implies P1\ (Foo2\ bar); \bigwedge bool. P2\ (Bar1\ bool);$ 
 $\bigwedge bazar. P3\ bazar \implies P2\ (Bar2\ bazar); \bigwedge foo. P1\ foo \implies P3\ (Bazar\ foo)]]$ 

```


$\implies P\mathcal{J} \text{ bazar}$

This corresponds to the following basic proof pattern:

```
lemma
  fixes foo :: foo
    and bar :: bar
    and bazar :: bazar
  shows P foo
    and Q bar
    and R bazar
proof (induct foo and bar and bazar)
  case (Foo1 n)
  show P (Foo1 n) sorry
next
  case (Foo2 bar)
  note ⟨Q bar⟩
  show P (Foo2 bar) sorry
next
  case (Bar1 b)
  show Q (Bar1 b) sorry
next
  case (Bar2 bazar)
  note ⟨R bazar⟩
  show Q (Bar2 bazar) sorry
next
  case (Bazar foo)
  note ⟨P foo⟩
  show R (Bazar foo) sorry
qed
```

This can be combined with the previous techniques for compound statements, e.g. like this.

```
lemma
  fixes x :: 'a and y :: 'b and z :: 'c
    and foo :: foo
    and bar :: bar
    and bazar :: bazar
  shows
    A x foo  $\implies$  P x foo
  and
    B1 y bar  $\implies$  Q1 y bar
    B2 y bar  $\implies$  Q2 y bar
  and
    C1 z bazar  $\implies$  R1 z bazar
    C2 z bazar  $\implies$  R2 z bazar
    C3 z bazar  $\implies$  R3 z bazar
proof (induct foo and bar and bazar arbitrary: x and y and z)
oops
```

1.3 Inductive predicates

The most basic form of induction involving predicates (or sets) essentially eliminates a given membership fact.

```
inductive Even :: nat  $\Rightarrow$  bool where  
  zero: Even 0  
| double: Even n  $\implies$  Even (2 * n)
```

```
lemma  
  assumes Even n  
  shows P n  
  using assms  
proof induct  
  case zero  
  show P 0 sorry  
next  
  case (double n)  
  note  $\langle \textit{Even } n \rangle$  and  $\langle \textit{P } n \rangle$   
  show P (2 * n) sorry  
qed
```

Alternatively, an initial rule statement may be proven as follows, performing “in-situ” elimination with explicit rule specification.

```
lemma Even n  $\implies$  P n  
proof (induct rule: Even.induct)  
  oops
```

Simultaneous goals do not introduce anything new.

```
lemma  
  assumes Even n  
  shows P1 n and P2 n  
  using assms  
proof induct  
  case zero  
  {  
    case 1  
    show P1 0 sorry  
  next  
    case 2  
    show P2 0 sorry  
  }  
next  
  case (double n)  
  note  $\langle \textit{Even } n \rangle$  and  $\langle \textit{P1 } n \rangle$  and  $\langle \textit{P2 } n \rangle$   
  {  
    case 1  
    show P1 (2 * n) sorry  
  next
```

```

    case 2
    show P2 (2 * n) sorry
  }
qed

```

Working with mutual rules requires special care in composing the statement as a two-level conjunction, using lists of propositions separated by *and*. For example:

```

inductive Evn :: nat ⇒ bool and Odd :: nat ⇒ bool
where
  zero: Evn 0
| succ-Evn: Evn n ⇒⇒ Odd (Suc n)
| succ-Odd: Odd n ⇒⇒ Evn (Suc n)

```

lemma

```

  Evn n ⇒⇒ P1 n
  Evn n ⇒⇒ P2 n
  Evn n ⇒⇒ P3 n

```

and

```

  Odd n ⇒⇒ Q1 n
  Odd n ⇒⇒ Q2 n

```

proof (*induct rule: Evn-Odd.inducts*)

case zero

```

{ case 1 show P1 0 sorry }
{ case 2 show P2 0 sorry }
{ case 3 show P3 0 sorry }

```

next

case (succ-Evn n)

note ⟨Evn n⟩ **and** ⟨P1 n⟩ ⟨P2 n⟩ ⟨P3 n⟩

```

{ case 1 show Q1 (Suc n) sorry }
{ case 2 show Q2 (Suc n) sorry }

```

next

case (succ-Odd n)

note ⟨Odd n⟩ **and** ⟨Q1 n⟩ ⟨Q2 n⟩

```

{ case 1 show P1 (Suc n) sorry }
{ case 2 show P2 (Suc n) sorry }
{ case 3 show P3 (Suc n) sorry }

```

qed

end

2 Defining an Initial Algebra by Quotienting a Free Algebra

theory QuoDataType **imports** Main **begin**

2.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

datatype

```
freemsg = NONCE nat
        | MPAIR freemsg freemsg
        | CRYPT nat freemsg
        | DECRYPT nat freemsg
```

The equivalence relation, which makes encryption and decryption inverses provided the keys are the same.

The first two rules are the desired equations. The next four rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

inductive-set

```
msgrel :: (freemsg * freemsg) set
and msg-rel :: [freemsg, freemsg] => bool (infixl ~ 50)
where
  X ~ Y == (X,Y) ∈ msgrel
  | CD:   CRYPT K (DECRYPT K X) ~ X
  | DC:   DECRYPT K (CRYPT K X) ~ X
  | NONCE: NONCE N ~ NONCE N
  | MPAIR: [X ~ X'; Y ~ Y'] ==> MPAIR X Y ~ MPAIR X' Y'
  | CRYPT: X ~ X' ==> CRYPT K X ~ CRYPT K X'
  | DECRYPT: X ~ X' ==> DECRYPT K X ~ DECRYPT K X'
  | SYM:   X ~ Y ==> Y ~ X
  | TRANS: [X ~ Y; Y ~ Z] ==> X ~ Z
```

Proving that it is an equivalence relation

lemma *msgrel-refl*: $X \sim X$

by (*induct X*) (*blast intro: msgrel.intros*)+

theorem *equiv-msgrel*: *equiv UNIV msgrel*

proof –

have *refl msgrel* **by** (*simp add: refl-on-def msgrel-refl*)

moreover have *sym msgrel* **by** (*simp add: sym-def, blast intro: msgrel.SYM*)

moreover have *trans msgrel* **by** (*simp add: trans-def, blast intro: msgrel.TRANS*)

ultimately show *?thesis* **by** (*simp add: equiv-def*)

qed

2.2 Some Functions on the Free Algebra

2.2.1 The Set of Nonces

A function to return the set of nonces present in a message. It will be lifted to the initial algebra, to serve as an example of that process.

consts

freenonces :: *freemsg* \Rightarrow *nat set*

primrec

freenonces (*NONCE* *N*) = {*N*}
freenonces (*MPAIR* *X* *Y*) = *freenonces* *X* \cup *freenonces* *Y*
freenonces (*CRYPT* *K* *X*) = *freenonces* *X*
freenonces (*DECRYPT* *K* *X*) = *freenonces* *X*

This theorem lets us prove that the nonces function respects the equivalence relation. It also helps us prove that Nonce (the abstract constructor) is injective

theorem *msgrel-imp-eq-freenonces*: $U \sim V \Longrightarrow \text{freenonces } U = \text{freenonces } V$
by (*induct set: msgrel*) *auto*

2.2.2 The Left Projection

A function to return the left part of the top pair in a message. It will be lifted to the initial algebra, to serve as an example of that process.

consts *freeleft* :: *freemsg* \Rightarrow *freemsg*

primrec

freeleft (*NONCE* *N*) = *NONCE* *N*
freeleft (*MPAIR* *X* *Y*) = *X*
freeleft (*CRYPT* *K* *X*) = *freeleft* *X*
freeleft (*DECRYPT* *K* *X*) = *freeleft* *X*

This theorem lets us prove that the left function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

theorem *msgrel-imp-eqv-freeleft*:
 $U \sim V \Longrightarrow \text{freeleft } U \sim \text{freeleft } V$
by (*induct set: msgrel*) (*auto intro: msgrel.intros*)

2.2.3 The Right Projection

A function to return the right part of the top pair in a message.

consts *freeright* :: *freemsg* \Rightarrow *freemsg*

primrec

freeright (*NONCE* *N*) = *NONCE* *N*
freeright (*MPAIR* *X* *Y*) = *Y*
freeright (*CRYPT* *K* *X*) = *freeright* *X*
freeright (*DECRYPT* *K* *X*) = *freeright* *X*

This theorem lets us prove that the right function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

theorem *msgrel-imp-eqv-freeright*:

$U \sim V \implies \text{freeright } U \sim \text{freeright } V$
by (induct set: msgrel) (auto intro: msgrel.intros)

2.2.4 The Discriminator for Constructors

A function to distinguish nonces, mpairs and encryptions

consts freediscrim :: freemsg \Rightarrow int
primrec
 freediscrim (NONCE N) = 0
 freediscrim (MPAIR X Y) = 1
 freediscrim (CRYPT K X) = freediscrim X + 2
 freediscrim (DECRYPT K X) = freediscrim X - 2

This theorem helps us prove $\text{Nonce } N \neq \text{MPair } X \ Y$

theorem msgrel-imp-eq-freediscrim:
 $U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$
by (induct set: msgrel) auto

2.3 The Initial Algebra: A Quotiented Message Type

typedef (Msg) msg = UNIV // msgrel
by (auto simp add: quotient-def)

The abstract message constructors

definition
 Nonce :: nat \Rightarrow msg **where**
 Nonce N = Abs-Msg(msgrel“{NONCE N})

definition
 MPair :: [msg, msg] \Rightarrow msg **where**
 MPair X Y =
 Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \bigcup V \in \text{Rep-Msg } Y. \text{msgrel“}\{\text{MPAIR } U \ V\}$)

definition
 Crypt :: [nat, msg] \Rightarrow msg **where**
 Crypt K X =
 Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel“}\{\text{CRYPT } K \ U\}$)

definition
 Decrypt :: [nat, msg] \Rightarrow msg **where**
 Decrypt K X =
 Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel“}\{\text{DECRYPT } K \ U\}$)

Reduces equality of equivalence classes to the msgrel relation: (msgrel “ {x} = msgrel “ {y}) = (x ~ y)

lemmas equiv-msgrel-iff = eq-equiv-class-iff [OF equiv-msgrel UNIV-I UNIV-I]

declare equiv-msgrel-iff [simp]

All equivalence classes belong to set of representatives

```
lemma [simp]: msgrel “{ U } ∈ Msg
by (auto simp add: Msg-def quotient-def intro: msgrel-refl)
```

```
lemma inj-on-Abs-Msg: inj-on Abs-Msg Msg
apply (rule inj-on-inverseI)
apply (erule Abs-Msg-inverse)
done
```

Reduces equality on abstractions to equality on representatives

```
declare inj-on-Abs-Msg [THEN inj-on-iff, simp]
```

```
declare Abs-Msg-inverse [simp]
```

2.3.1 Characteristic Equations for the Abstract Constructors

```
lemma MPair: MPair (Abs-Msg(msgrel “{ U })) (Abs-Msg(msgrel “{ V })) =
  Abs-Msg (msgrel “{ MPAIR U V })
```

```
proof –
  have (λU V. msgrel “ { MPAIR U V }) respects2 msgrel
    by (simp add: congruent2-def msgrel.MPAIR)
  thus ?thesis
    by (simp add: MPair-def UN-equiv-class2 [OF equiv-msgrel equiv-msgrel])
qed
```

```
lemma Crypt: Crypt K (Abs-Msg(msgrel “{ U })) = Abs-Msg (msgrel “{ CRYPT K U })
```

```
proof –
  have (λU. msgrel “ { CRYPT K U }) respects msgrel
    by (simp add: congruent-def msgrel.CRYPT)
  thus ?thesis
    by (simp add: Crypt-def UN-equiv-class [OF equiv-msgrel])
qed
```

```
lemma Decrypt:
```

```
  Decrypt K (Abs-Msg(msgrel “{ U })) = Abs-Msg (msgrel “{ DECRYPT K U })
```

```
proof –
  have (λU. msgrel “ { DECRYPT K U }) respects msgrel
    by (simp add: congruent-def msgrel.DECRYPT)
  thus ?thesis
    by (simp add: Decrypt-def UN-equiv-class [OF equiv-msgrel])
qed
```

Case analysis on the representation of a msg as an equivalence class.

```
lemma eq-Abs-Msg [case-names Abs-Msg, cases type: msg]:
  (!!U. z = Abs-Msg(msgrel “{ U }) ==> P) ==> P
apply (rule Rep-Msg [of z, unfolded Msg-def, THEN quotientE])
apply (drule arg-cong [where f=Abs-Msg])
apply (auto simp add: Rep-Msg-inverse intro: msgrel-refl)
```

done

Establishing these two equations is the point of the whole exercise

theorem *CD-eq* [simp]: $\text{Crypt } K (\text{Decrypt } K X) = X$
by (cases *X*, simp add: *Crypt Decrypt CD*)

theorem *DC-eq* [simp]: $\text{Decrypt } K (\text{Crypt } K X) = X$
by (cases *X*, simp add: *Crypt Decrypt DC*)

2.4 The Abstract Function to Return the Set of Nonces

definition

nonces :: $\text{msg} \Rightarrow \text{nat set}$ **where**
nonces *X* = $(\bigcup U \in \text{Rep-Msg } X. \text{freenonces } U)$

lemma *nonces-congruent*: *freenonces* respects *msgrel*
by (simp add: *congruent-def msgrel-imp-eq-freenonces*)

Now prove the four equations for *nonces*

lemma *nonces-Nonce* [simp]: *nonces* (*Nonce* *N*) = {*N*}
by (simp add: *nonces-def Nonce-def*
UN-equiv-class [OF *equiv-msgrel nonces-congruent*])

lemma *nonces-MPair* [simp]: *nonces* (*MPair* *X* *Y*) = *nonces* *X* \cup *nonces* *Y*
apply (cases *X*, cases *Y*)
apply (simp add: *nonces-def MPair*
UN-equiv-class [OF *equiv-msgrel nonces-congruent*])

done

lemma *nonces-Crypt* [simp]: *nonces* (*Crypt* *K* *X*) = *nonces* *X*
apply (cases *X*)
apply (simp add: *nonces-def Crypt*
UN-equiv-class [OF *equiv-msgrel nonces-congruent*])

done

lemma *nonces-Decrypt* [simp]: *nonces* (*Decrypt* *K* *X*) = *nonces* *X*
apply (cases *X*)
apply (simp add: *nonces-def Decrypt*
UN-equiv-class [OF *equiv-msgrel nonces-congruent*])

done

2.5 The Abstract Function to Return the Left Part

definition

left :: $\text{msg} \Rightarrow \text{msg}$ **where**
left *X* = *Abs-Msg* $(\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \text{ ``}\{\text{freeleft } U\}\text{ ``})$

lemma *left-congruent*: $(\lambda U. \text{msgrel} \text{ ``}\{\text{freeleft } U\}\text{ ``})$ respects *msgrel*
by (simp add: *congruent-def msgrel-imp-eqv-freeleft*)

Now prove the four equations for *left*

lemma *left-Nonce* [simp]: *left* (Nonce *N*) = Nonce *N*
by (simp add: left-def Nonce-def
UN-equiv-class [OF equiv-msgrel left-congruent])

lemma *left-MPair* [simp]: *left* (MPair *X Y*) = *X*
apply (cases *X*, cases *Y*)
apply (simp add: left-def MPair
UN-equiv-class [OF equiv-msgrel left-congruent])
done

lemma *left-Crypt* [simp]: *left* (Crypt *K X*) = *left X*
apply (cases *X*)
apply (simp add: left-def Crypt
UN-equiv-class [OF equiv-msgrel left-congruent])
done

lemma *left-Decrypt* [simp]: *left* (Decrypt *K X*) = *left X*
apply (cases *X*)
apply (simp add: left-def Decrypt
UN-equiv-class [OF equiv-msgrel left-congruent])
done

2.6 The Abstract Function to Return the Right Part

definition

right :: *msg* \Rightarrow *msg* **where**
right X = Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \{ \text{freeright } U \}$)

lemma *right-congruent*: ($\lambda U. \text{msgrel} \{ \text{freeright } U \}$) respects msgrel
by (simp add: congruent-def msgrel-imp-eqv-freeright)

Now prove the four equations for *right*

lemma *right-Nonce* [simp]: *right* (Nonce *N*) = Nonce *N*
by (simp add: right-def Nonce-def
UN-equiv-class [OF equiv-msgrel right-congruent])

lemma *right-MPair* [simp]: *right* (MPair *X Y*) = *Y*
apply (cases *X*, cases *Y*)
apply (simp add: right-def MPair
UN-equiv-class [OF equiv-msgrel right-congruent])
done

lemma *right-Crypt* [simp]: *right* (Crypt *K X*) = *right X*
apply (cases *X*)
apply (simp add: right-def Crypt
UN-equiv-class [OF equiv-msgrel right-congruent])
done

lemma *right-Decrypt* [simp]: *right* (*Decrypt* *K* *X*) = *right* *X*
apply (*cases* *X*)
apply (*simp* *add*: *right-def Decrypt*
 UN-equiv-class [*OF equiv-msgrel right-congruent*])
done

2.7 Injectivity Properties of Some Constructors

lemma *NONCE-imp-eq*: *NONCE* *m* \sim *NONCE* *n* \implies *m* = *n*
by (*drule msgrel-imp-eq-freenonces*, *simp*)

Can also be proved using the function *nonces*

lemma *Nonce-Nonce-eq* [iff]: (*Nonce* *m* = *Nonce* *n*) = (*m* = *n*)
by (*auto simp add*: *Nonce-def msgrel-refl dest*: *NONCE-imp-eq*)

lemma *MPAIR-imp-eqv-left*: *MPAIR* *X* *Y* \sim *MPAIR* *X'* *Y'* \implies *X* \sim *X'*
by (*drule msgrel-imp-eqv-freeleft*, *simp*)

lemma *MPair-imp-eq-left*:
 assumes *eq*: *MPair* *X* *Y* = *MPair* *X'* *Y'* **shows** *X* = *X'*
proof –
 from *eq*
 have *left* (*MPair* *X* *Y*) = *left* (*MPair* *X'* *Y'*) **by** *simp*
 thus ?thesis **by** *simp*
qed

lemma *MPAIR-imp-eqv-right*: *MPAIR* *X* *Y* \sim *MPAIR* *X'* *Y'* \implies *Y* \sim *Y'*
by (*drule msgrel-imp-eqv-freeright*, *simp*)

lemma *MPair-imp-eq-right*: *MPair* *X* *Y* = *MPair* *X'* *Y'* \implies *Y* = *Y'*
apply (*cases* *X*, *cases* *X'*, *cases* *Y*, *cases* *Y'*)
apply (*simp* *add*: *MPair*)
apply (*erule MPAIR-imp-eqv-right*)
done

theorem *MPair-MPair-eq* [iff]: (*MPair* *X* *Y* = *MPair* *X'* *Y'*) = (*X*=*X'* &
 Y=*Y'*)
by (*blast dest*: *MPair-imp-eq-left MPair-imp-eq-right*)

lemma *NONCE-neq-MPAIR*: *NONCE* *m* \sim *MPAIR* *X* *Y* \implies *False*
by (*drule msgrel-imp-eq-freediscrim*, *simp*)

theorem *Nonce-neq-MPair* [iff]: *Nonce* *N* \neq *MPair* *X* *Y*
apply (*cases* *X*, *cases* *Y*)
apply (*simp* *add*: *Nonce-def MPair*)
apply (*blast dest*: *NONCE-neq-MPAIR*)
done

Example suggested by a referee

theorem *Crypt-Nonce-neq-Nonce*: $\text{Crypt } K \text{ (Nonce } M) \neq \text{Nonce } N$
by (*auto simp add: Nonce-def Crypt dest: msgrel-imp-eq-freediscrim*)

...and many similar results

theorem *Crypt2-Nonce-neq-Nonce*: $\text{Crypt } K \text{ (Crypt } K' \text{ (Nonce } M)) \neq \text{Nonce } N$
by (*auto simp add: Nonce-def Crypt dest: msgrel-imp-eq-freediscrim*)

theorem *Crypt-Crypt-eq [iff]*: $(\text{Crypt } K X = \text{Crypt } K X') = (X=X')$

proof

assume $\text{Crypt } K X = \text{Crypt } K X'$

hence $\text{Decrypt } K \text{ (Crypt } K X) = \text{Decrypt } K \text{ (Crypt } K X')$ **by** *simp*

thus $X = X'$ **by** *simp*

next

assume $X = X'$

thus $\text{Crypt } K X = \text{Crypt } K X'$ **by** *simp*

qed

theorem *Decrypt-Decrypt-eq [iff]*: $(\text{Decrypt } K X = \text{Decrypt } K X') = (X=X')$

proof

assume $\text{Decrypt } K X = \text{Decrypt } K X'$

hence $\text{Crypt } K \text{ (Decrypt } K X) = \text{Crypt } K \text{ (Decrypt } K X')$ **by** *simp*

thus $X = X'$ **by** *simp*

next

assume $X = X'$

thus $\text{Decrypt } K X = \text{Decrypt } K X'$ **by** *simp*

qed

lemma *msg-induct* [*case-names Nonce MPair Crypt Decrypt, cases type: msg*]:

assumes $N: \bigwedge N. P \text{ (Nonce } N)$

and $M: \bigwedge X Y. \llbracket P X; P Y \rrbracket \implies P \text{ (MPair } X Y)$

and $C: \bigwedge K X. P X \implies P \text{ (Crypt } K X)$

and $D: \bigwedge K X. P X \implies P \text{ (Decrypt } K X)$

shows $P \text{ msg}$

proof (*cases msg*)

case (*Abs-Msg* U)

have $P \text{ (Abs-Msg (msgrel “ \{U\}))}$

proof (*induct U*)

case (*NONCE* N)

with N **show** *?case* **by** (*simp add: Nonce-def*)

next

case (*MPAIR* $X Y$)

with M [*of Abs-Msg (msgrel “ \{X\}) Abs-Msg (msgrel “ \{Y\})*]

show *?case* **by** (*simp add: MPair*)

next

case (*CRYPT* $K X$)

with C [*of Abs-Msg (msgrel “ \{X\})*]

show *?case* **by** (*simp add: Crypt*)

next

case (*DECRYPT* $K X$)

```

    with D [of Abs-Msg (msgrel “ {X}”)]
    show ?case by (simp add: Decrypt)
qed
with Abs-Msg show ?thesis by (simp only:)
qed

```

2.8 The Abstract Discriminator

However, as *Crypt-Nonce-neq-Nonce* above illustrates, we don’t need this function in order to prove discrimination theorems.

definition

```

discrim :: msg ⇒ int where
discrim X = contents (⋃ U ∈ Rep-Msg X. {freediscrim U})

```

lemma *discrim-congruent*: $(\lambda U. \{freediscrim U\})$ respects msgrel
by (simp add: congruent-def msgrel-imp-eq-freediscrim)

Now prove the four equations for *discrim*

lemma *discrim-Nonce* [simp]: $discrim (Nonce N) = 0$
by (simp add: discrim-def Nonce-def
UN-equiv-class [OF equiv-msgrel discrim-congruent])

lemma *discrim-MPair* [simp]: $discrim (MPair X Y) = 1$
apply (cases X, cases Y)
apply (simp add: discrim-def MPair
UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

lemma *discrim-Crypt* [simp]: $discrim (Crypt K X) = discrim X + 2$
apply (cases X)
apply (simp add: discrim-def Crypt
UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

lemma *discrim-Decrypt* [simp]: $discrim (Decrypt K X) = discrim X - 2$
apply (cases X)
apply (simp add: discrim-def Decrypt
UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

end

3 Quotienting a Free Algebra Involving Nested Recursion

theory *QuoNestedDataType* **imports** *Main* **begin**

3.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

```
datatype
  freeExp = VAR nat
          | PLUS freeExp freeExp
          | FNCALL nat freeExp list
```

The equivalence relation, which makes PLUS associative.

The first rule is the desired equation. The next three rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

```
inductive-set
  exprel :: (freeExp * freeExp) set
and exp-rel :: [freeExp, freeExp] => bool (infixl ~ 50)
where
  X ~ Y == (X, Y) ∈ exprel
  | ASSOC: PLUS X (PLUS Y Z) ~ PLUS (PLUS X Y) Z
  | VAR: VAR N ~ VAR N
  | PLUS: [X ~ X'; Y ~ Y'] ==> PLUS X Y ~ PLUS X' Y'
  | FNCALL: (Xs, Xs') ∈ listrel exprel ==> FNCALL F Xs ~ FNCALL F Xs'
  | SYM: X ~ Y ==> Y ~ X
  | TRANS: [X ~ Y; Y ~ Z] ==> X ~ Z
monos listrel-mono
```

Proving that it is an equivalence relation

```
lemma exprel-refl: X ~ X
and list-exprel-refl: (Xs, Xs) ∈ listrel(exprel)
by (induct X and Xs) (blast intro: exprel.intros listrel.intros)+
```

theorem equiv-exprel: equiv UNIV exprel

proof –

```
  have refl exprel by (simp add: refl-on-def exprel-refl)
  moreover have sym exprel by (simp add: sym-def, blast intro: exprel.SYM)
  moreover have trans exprel by (simp add: trans-def, blast intro: exprel.TRANS)
  ultimately show ?thesis by (simp add: equiv-def)
qed
```

theorem equiv-list-exprel: equiv UNIV (listrel exprel)

using equiv-listrel [OF equiv-exprel] **by** simp

```

lemma FNCALL-Nil:  $FNCALL\ F\ [] \sim FNCALL\ F\ []$ 
apply (rule exprel.intros)
apply (rule listrel.intros)
done

```

```

lemma FNCALL-Cons:
   $\llbracket X \sim X'; (Xs, Xs') \in listrel(exprel) \rrbracket$ 
   $\implies FNCALL\ F\ (X \# Xs) \sim FNCALL\ F\ (X' \# Xs')$ 
by (blast intro: exprel.intros listrel.intros)

```

3.2 Some Functions on the Free Algebra

3.2.1 The Set of Variables

A function to return the set of variables present in a message. It will be lifted to the initial algebra, to serve as an example of that process. Note that the "free" refers to the free datatype rather than to the concept of a free variable.

```

consts
  freevars      :: freeExp  $\Rightarrow$  nat set
  freevars-list :: freeExp list  $\Rightarrow$  nat set

primrec
  freevars (VAR N) = {N}
  freevars (PLUS X Y) = freevars X  $\cup$  freevars Y
  freevars (FNCALL F Xs) = freevars-list Xs

  freevars-list [] = {}
  freevars-list (X # Xs) = freevars X  $\cup$  freevars-list Xs

```

This theorem lets us prove that the vars function respects the equivalence relation. It also helps us prove that Variable (the abstract constructor) is injective

```

theorem exprel-imp-eq-freevars:  $U \sim V \implies freevars\ U = freevars\ V$ 
apply (induct set: exprel)
apply (erule-tac [4] listrel.induct)
apply (simp-all add: Un-assoc)
done

```

3.2.2 Functions for Freeness

A discriminator function to distinguish vars, sums and function calls

```

consts freediscrim :: freeExp  $\Rightarrow$  int

primrec
  freediscrim (VAR N) = 0
  freediscrim (PLUS X Y) = 1
  freediscrim (FNCALL F Xs) = 2

```

theorem *exprel-imp-eq-freediscrim:*

$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$

by (*induct set: exprel*) *auto*

This function, which returns the function name, is used to prove part of the injectivity property for FnCall.

consts *freefun* :: *freeExp* \Rightarrow *nat*

primrec

freefun (*VAR* *N*) = 0

freefun (*PLUS* *X* *Y*) = 0

freefun (*FNCALL* *F* *Xs*) = *F*

theorem *exprel-imp-eq-freefun:*

$U \sim V \implies \text{freefun } U = \text{freefun } V$

by (*induct set: exprel*) (*simp-all add: listrel.intros*)

This function, which returns the list of function arguments, is used to prove part of the injectivity property for FnCall.

consts *freeargs* :: *freeExp* \Rightarrow *freeExp* *list*

primrec

freeargs (*VAR* *N*) = []

freeargs (*PLUS* *X* *Y*) = []

freeargs (*FNCALL* *F* *Xs*) = *Xs*

theorem *exprel-imp-eqv-freeargs:*

$U \sim V \implies (\text{freeargs } U, \text{freeargs } V) \in \text{listrel } \text{exprel}$

apply (*induct set: exprel*)

apply (*erule-tac* [4] *listrel.induct*)

apply (*simp-all add: listrel.intros*)

apply (*blast intro: symD* [*OF equiv.sym* [*OF equiv-list-exprel*]])

apply (*blast intro: transD* [*OF equiv.trans* [*OF equiv-list-exprel*]])

done

3.3 The Initial Algebra: A Quotiented Message Type

typedef (*Exp*) *exp* = *UNIV* // *exprel*

by (*auto simp add: quotient-def*)

The abstract message constructors

definition

Var :: *nat* \Rightarrow *exp* **where**

Var *N* = *Abs-Exp*(*exprel*“{*VAR* *N*}”)

definition

Plus :: [*exp*, *exp*] \Rightarrow *exp* **where**

Plus *X* *Y* =

Abs-Exp ($\bigcup U \in \text{Rep-Exp } X. \bigcup V \in \text{Rep-Exp } Y. \text{exprel}“\{\text{PLUS } U \ V\}$)

definition

$FnCall :: [nat, exp\ list] \Rightarrow exp$ **where**
 $FnCall\ F\ Xs =$
 $Abs-Exp\ (\bigcup Us \in listset\ (map\ Rep-Exp\ Xs).\ exprel\ \{\{FNCALL\ F\ Us\}\})$

Reduces equality of equivalence classes to the *exprel* relation: $(exprel\ \{\{x\}\} = exprel\ \{\{y\}\}) = (x \sim y)$

lemmas *equiv-exprel-iff* = *eq-equiv-class-iff* [*OF equiv-exprel UNIV-I UNIV-I*]

declare *equiv-exprel-iff* [*simp*]

All equivalence classes belong to set of representatives

lemma [*simp*]: $exprel\ \{\{U\}\} \in Exp$
by (*auto simp add: Exp-def quotient-def intro: exprel-refl*)

lemma *inj-on-Abs-Exp*: *inj-on Abs-Exp Exp*
apply (*rule inj-on-inverseI*)
apply (*erule Abs-Exp-inverse*)
done

Reduces equality on abstractions to equality on representatives

declare *inj-on-Abs-Exp* [*THEN inj-on-iff, simp*]

declare *Abs-Exp-inverse* [*simp*]

Case analysis on the representation of a exp as an equivalence class.

lemma *eq-Abs-Exp* [*case-names Abs-Exp, cases type: exp*]:
 $(!!U. z = Abs-Exp(exprel\ \{\{U\}\}) \Rightarrow P) \Rightarrow P$
apply (*rule Rep-Exp [of z, unfolded Exp-def, THEN quotientE]*)
apply (*drule arg-cong [where f=Abs-Exp]*)
apply (*auto simp add: Rep-Exp-inverse intro: exprel-refl*)
done

3.4 Every list of abstract expressions can be expressed in terms of a list of concrete expressions

definition

$Abs-ExpList :: freeExp\ list \Rightarrow exp\ list$ **where**
 $Abs-ExpList\ Xs = map\ (\%U. Abs-Exp(exprel\ \{\{U\}\}))\ Xs$

lemma *Abs-ExpList-Nil* [*simp*]: $Abs-ExpList\ [] == []$
by (*simp add: Abs-ExpList-def*)

lemma *Abs-ExpList-Cons* [*simp*]:
 $Abs-ExpList\ (X \# Xs) == Abs-Exp\ (exprel\ \{\{X\}\}) \# Abs-ExpList\ Xs$
by (*simp add: Abs-ExpList-def*)

lemma *ExpList-rep*: $\exists Us. z = Abs-ExpList\ Us$


```

apply (induct z)
apply (rule-tac [2] z=a in eq-Abs-Exp)
apply (auto simp add: Abs-ExpList-def Cons-eq-map-conv intro: exprel-refl)
done

```

```

lemma eq-Abs-ExpList [case-names Abs-ExpList]:
  (!! Us. z = Abs-ExpList Us ==> P) ==> P
by (rule exE [OF ExpList-rep], blast)

```

3.4.1 Characteristic Equations for the Abstract Constructors

```

lemma Plus: Plus (Abs-Exp(exprel“{U}”)) (Abs-Exp(exprel“{V}”)) =
  Abs-Exp (exprel“{PLUS U V}”)

```

```

proof –
  have ( $\lambda U V. \text{exprel } \{PLUS\ U\ V\} \text{ respects2 } \text{exprel}$ )
    by (simp add: congruent2-def exprel.PLUS)
  thus ?thesis
    by (simp add: Plus-def UN-equiv-class2 [OF equiv-exprel equiv-exprel])
qed

```

It is not clear what to do with *FnCall*: its argument is an abstraction of an *exp list*. Is it just *Nil* or *Cons*? What seems to work best is to regard an *exp list* as a *listrel exprel* equivalence class

This theorem is easily proved but never used. There’s no obvious way even to state the analogous result, *FnCall-Cons*.

```

lemma FnCall-Nil: FnCall F [] = Abs-Exp (exprel“{FNCALL F []}”)
  by (simp add: FnCall-def)

```

```

lemma FnCall-respects:
  ( $\lambda Us. \text{exprel } \{FNCALL\ F\ Us\} \text{ respects } (\text{listrel } \text{exprel})$ )
  by (simp add: congruent-def exprel.FNCALL)

```

```

lemma FnCall-sing:
  FnCall F [Abs-Exp(exprel“{U}”)] = Abs-Exp (exprel“{FNCALL F [U]}”)
proof –
  have ( $\lambda U. \text{exprel } \{FNCALL\ F\ [U]\} \text{ respects } \text{exprel}$ )
    by (simp add: congruent-def FNCALL-Cons listrel.intros)
  thus ?thesis
    by (simp add: FnCall-def UN-equiv-class [OF equiv-exprel])
qed

```

```

lemma listset-Rep-Exp-Abs-Exp:
  listset (map Rep-Exp (Abs-ExpList Us)) = listrel exprel “{Us}”
  by (induct Us) (simp-all add: listrel-Cons Abs-ExpList-def)

```

```

lemma FnCall:
  FnCall F (Abs-ExpList Us) = Abs-Exp (exprel“{FNCALL F Us}”)
proof –

```

```

have ( $\lambda Us.$  exprel “ {FNCALL F Us} ) respects (listrel exprel)
by (simp add: congruent-def exprel.FNCALL)
thus ?thesis
by (simp add: FnCall-def UN-equiv-class [OF equiv-list-exprel]
      listset-Rep-Exp-Abs-Exp)
qed

```

Establishing this equation is the point of the whole exercise

```

theorem Plus-assoc: Plus X (Plus Y Z) = Plus (Plus X Y) Z
by (cases X, cases Y, cases Z, simp add: Plus exprel.ASSOC)

```

3.5 The Abstract Function to Return the Set of Variables

definition

```

vars :: exp  $\Rightarrow$  nat set where
vars X = ( $\bigcup U \in \text{Rep-Exp } X. \text{freevars } U$ )

```

```

lemma vars-respects: freevars respects exprel
by (simp add: congruent-def exprel-imp-eq-freevars)

```

The extension of the function *vars* to lists

```

consts vars-list :: exp list  $\Rightarrow$  nat set
primrec
  vars-list [] = {}
  vars-list (E # Es) = vars E  $\cup$  vars-list Es

```

Now prove the three equations for *vars*

```

lemma vars-Variable [simp]: vars (Var N) = {N}
by (simp add: vars-def Var-def
      UN-equiv-class [OF equiv-exprel vars-respects])

```

```

lemma vars-Plus [simp]: vars (Plus X Y) = vars X  $\cup$  vars Y
apply (cases X, cases Y)
apply (simp add: vars-def Plus
      UN-equiv-class [OF equiv-exprel vars-respects])
done

```

```

lemma vars-FnCall [simp]: vars (FnCall F Xs) = vars-list Xs
apply (cases Xs rule: eq-Abs-ExpList)
apply (simp add: FnCall)
apply (induct-tac Us)
apply (simp-all add: vars-def UN-equiv-class [OF equiv-exprel vars-respects])
done

```

```

lemma vars-FnCall-Nil: vars (FnCall F Nil) = {}
by simp

```

```

lemma vars-FnCall-Cons: vars (FnCall F (X # Xs)) = vars X  $\cup$  vars-list Xs
by simp

```

3.6 Injectivity Properties of Some Constructors

lemma *VAR-imp-eq*: $VAR\ m \sim VAR\ n \implies m = n$
by (*drule* *exprel-imp-eq-freevars*, *simp*)

Can also be proved using the function *vars*

lemma *Var-Var-eq [iff]*: $(Var\ m = Var\ n) = (m = n)$
by (*auto* *simp* *add*: *Var-def* *exprel-refl* *dest*: *VAR-imp-eq*)

lemma *VAR-neqv-PLUS*: $VAR\ m \sim PLUS\ X\ Y \implies False$
by (*drule* *exprel-imp-eq-freediscrim*, *simp*)

theorem *Var-neqv-Plus [iff]*: $Var\ N \neq Plus\ X\ Y$
apply (*cases* *X*, *cases* *Y*)
apply (*simp* *add*: *Var-def* *Plus*)
apply (*blast* *dest*: *VAR-neqv-PLUS*)
done

theorem *Var-neqv-FnCall [iff]*: $Var\ N \neq FnCall\ F\ Xs$
apply (*cases* *Xs* *rule*: *eq-Abs-ExpList*)
apply (*auto* *simp* *add*: *FnCall* *Var-def*)
apply (*drule* *exprel-imp-eq-freediscrim*, *simp*)
done

3.7 Injectivity of *FnCall*

definition

fun :: *exp* \Rightarrow *nat* **where**
fun *X* = *contents* ($\bigcup U \in Rep-Exp\ X. \{freefun\ U\}$)

lemma *fun-respects*: $(\%U. \{freefun\ U\})$ *respects* *exprel*
by (*simp* *add*: *congruent-def* *exprel-imp-eq-freefun*)

lemma *fun-FnCall [simp]*: $fun\ (FnCall\ F\ Xs) = F$
apply (*cases* *Xs* *rule*: *eq-Abs-ExpList*)
apply (*simp* *add*: *FnCall* *fun-def* *UN-equiv-class* [*OF* *equiv-exprel* *fun-respects*])
done

definition

args :: *exp* \Rightarrow *exp list* **where**
args *X* = *contents* ($\bigcup U \in Rep-Exp\ X. \{Abs-ExpList\ (freeargs\ U)\}$)

This result can probably be generalized to arbitrary equivalence relations, but with little benefit here.

lemma *Abs-ExpList-eq*:
 $(y, z) \in listrel\ exprel \implies Abs-ExpList\ (y) = Abs-ExpList\ (z)$
by (*induct* *set*: *listrel*) *simp-all*

lemma *args-respects*: $(\%U. \{Abs-ExpList\ (freeargs\ U)\})$ *respects* *exprel*

by (simp add: congruent-def Abs-ExpList-eq exprel-imp-eqv-freeargs)

lemma args-FnCall [simp]: args (FnCall F Xs) = Xs
 apply (cases Xs rule: eq-Abs-ExpList)
 apply (simp add: FnCall args-def UN-equiv-class [OF equiv-exprel args-respects])
 done

lemma FnCall-FnCall-eq [iff]:
 (FnCall F Xs = FnCall F' Xs') = (F=F' & Xs=Xs')
 proof
 assume FnCall F Xs = FnCall F' Xs'
 hence fun (FnCall F Xs) = fun (FnCall F' Xs')
 and args (FnCall F Xs) = args (FnCall F' Xs') by auto
 thus F=F' & Xs=Xs' by simp
 next
 assume F=F' & Xs=Xs' thus FnCall F Xs = FnCall F' Xs' by simp
 qed

3.8 The Abstract Discriminator

However, as *FnCall-Var-neq-Var* illustrates, we don't need this function in order to prove discrimination theorems.

definition
 discrim :: exp \Rightarrow int where
 discrim X = contents ($\bigcup U \in \text{Rep-Exp } X. \{\text{freediscrim } U\}$)

lemma discrim-respects: ($\lambda U. \{\text{freediscrim } U\}$) respects exprel
 by (simp add: congruent-def exprel-imp-eq-freediscrim)

Now prove the four equations for *discrim*

lemma discrim-Var [simp]: discrim (Var N) = 0
 by (simp add: discrim-def Var-def
 UN-equiv-class [OF equiv-exprel discrim-respects])

lemma discrim-Plus [simp]: discrim (Plus X Y) = 1
 apply (cases X, cases Y)
 apply (simp add: discrim-def Plus
 UN-equiv-class [OF equiv-exprel discrim-respects])
 done

lemma discrim-FnCall [simp]: discrim (FnCall F Xs) = 2
 apply (rule-tac z=Xs in eq-Abs-ExpList)
 apply (simp add: discrim-def FnCall
 UN-equiv-class [OF equiv-exprel discrim-respects])
 done

The structural induction rule for the abstract type

```

theorem exp-inducts:
  assumes  $V$ :  $\bigwedge \text{nat}. P1 \text{ (Var nat)}$ 
    and  $P$ :  $\bigwedge \text{exp1 exp2}. \llbracket P1 \text{ exp1}; P1 \text{ exp2} \rrbracket \implies P1 \text{ (Plus exp1 exp2)}$ 
    and  $F$ :  $\bigwedge \text{nat list}. P2 \text{ list} \implies P1 \text{ (FnCall nat list)}$ 
    and  $Nil$ :  $P2 []$ 
    and  $Cons$ :  $\bigwedge \text{exp list}. \llbracket P1 \text{ exp}; P2 \text{ list} \rrbracket \implies P2 \text{ (exp \# list)}$ 
  shows  $P1 \text{ exp}$  and  $P2 \text{ list}$ 
proof –
  obtain  $U$  where  $\text{exp}$ :  $\text{exp} = (\text{Abs-Exp } (\text{exprel } “ \{U\} ))$  by (cases exp)
  obtain  $Us$  where  $\text{list}$ :  $\text{list} = \text{Abs-ExpList } Us$  by (rule eq-Abs-ExpList)
  have  $P1 \text{ (Abs-Exp } (\text{exprel } “ \{U\} ))$  and  $P2 \text{ (Abs-ExpList } Us)$ 
  proof (induct U and Us)
    case (VAR nat)
    with  $V$  show ?case by (simp add: Var-def)
  next
    case (PLUS X Y)
    with  $P$  [of Abs-Exp (exprel “ {X}) Abs-Exp (exprel “ {Y})]
    show ?case by (simp add: Plus)
  next
    case (FNCALL nat list)
    with  $F$  [of Abs-ExpList list]
    show ?case by (simp add: FnCall)
  next
    case Nil-freeExp
    with  $Nil$  show ?case by simp
  next
    case Cons-freeExp
    with  $Cons$  show ?case by simp
  qed
  with  $\text{exp}$  and  $\text{list}$  show  $P1 \text{ exp}$  and  $P2 \text{ list}$  by (simp-all only)
qed

end

```

4 Terms over a given alphabet

theory *Term* **imports** *Main* **begin**

```

datatype ( $'a$ ,  $'b$ ) term =
  Var  $'a$ 
| App  $'b$  ( $'a$ ,  $'b$ ) term list

```

Substitution function on terms

```

consts
  subst-term :: ( $'a \Rightarrow ('a, 'b) \text{ term}$ )  $\Rightarrow ('a, 'b) \text{ term} \Rightarrow ('a, 'b) \text{ term}$ 
  subst-term-list ::
    ( $'a \Rightarrow ('a, 'b) \text{ term}$ )  $\Rightarrow ('a, 'b) \text{ term list} \Rightarrow ('a, 'b) \text{ term list}$ 

```

primrec

subst-term f (*Var* a) = f a
subst-term f (*App* b ts) = *App* b (*subst-term-list* f ts)

subst-term-list f [] = []
subst-term-list f (t # ts) =
subst-term f t # *subst-term-list* f ts

A simple theorem about composition of substitutions

lemma *subst-comp*:

subst-term (*subst-term* $f1$ \circ $f2$) t =
subst-term $f1$ (*subst-term* $f2$ t)
and *subst-term-list* (*subst-term* $f1$ \circ $f2$) ts =
subst-term-list $f1$ (*subst-term-list* $f2$ ts)
by (*induct* t **and** ts) *simp-all*

Alternative induction rule

lemma

assumes *var*: !! v . P (*Var* v)
and *app*: !! f ts . *list-all* P ts ==> P (*App* f ts)
shows *term-induct2*: P t
and *list-all* P ts
apply (*induct* t **and** ts)
apply (*rule* *var*)
apply (*rule* *app*)
apply *assumption*
apply *simp-all*
done

end

5 Arithmetic and boolean expressions

theory *ABexp* **imports** *Main* **begin**

datatype $'a$ *aexp* =

IF $'a$ *bexp* $'a$ *aexp* $'a$ *aexp*
| *Sum* $'a$ *aexp* $'a$ *aexp*
| *Diff* $'a$ *aexp* $'a$ *aexp*
| *Var* $'a$
| *Num* *nat*

and $'a$ *bexp* =

Less $'a$ *aexp* $'a$ *aexp*
| *And* $'a$ *bexp* $'a$ *bexp*
| *Neg* $'a$ *bexp*

Evaluation of arithmetic and boolean expressions

consts

$evala :: ('a \Rightarrow nat) \Rightarrow 'a \text{ aexp} \Rightarrow nat$
 $evalb :: ('a \Rightarrow nat) \Rightarrow 'a \text{ bexp} \Rightarrow bool$

primrec

$evala \ env \ (IF \ b \ a1 \ a2) = (if \ evalb \ env \ b \ then \ evala \ env \ a1 \ else \ evala \ env \ a2)$
 $evala \ env \ (Sum \ a1 \ a2) = evala \ env \ a1 + evala \ env \ a2$
 $evala \ env \ (Diff \ a1 \ a2) = evala \ env \ a1 - evala \ env \ a2$
 $evala \ env \ (Var \ v) = env \ v$
 $evala \ env \ (Num \ n) = n$

 $evalb \ env \ (Less \ a1 \ a2) = (evala \ env \ a1 < evala \ env \ a2)$
 $evalb \ env \ (And \ b1 \ b2) = (evalb \ env \ b1 \wedge evalb \ env \ b2)$
 $evalb \ env \ (Neg \ b) = (\neg \ evalb \ env \ b)$

Substitution on arithmetic and boolean expressions

consts

$subst :: ('a \Rightarrow 'b \text{ aexp}) \Rightarrow 'a \text{ aexp} \Rightarrow 'b \text{ aexp}$
 $substb :: ('a \Rightarrow 'b \text{ aexp}) \Rightarrow 'a \text{ bexp} \Rightarrow 'b \text{ bexp}$

primrec

$subst \ f \ (IF \ b \ a1 \ a2) = IF \ (substb \ f \ b) \ (subst \ f \ a1) \ (subst \ f \ a2)$
 $subst \ f \ (Sum \ a1 \ a2) = Sum \ (subst \ f \ a1) \ (subst \ f \ a2)$
 $subst \ f \ (Diff \ a1 \ a2) = Diff \ (subst \ f \ a1) \ (subst \ f \ a2)$
 $subst \ f \ (Var \ v) = f \ v$
 $subst \ f \ (Num \ n) = Num \ n$

 $substb \ f \ (Less \ a1 \ a2) = Less \ (subst \ f \ a1) \ (subst \ f \ a2)$
 $substb \ f \ (And \ b1 \ b2) = And \ (substb \ f \ b1) \ (substb \ f \ b2)$
 $substb \ f \ (Neg \ b) = Neg \ (substb \ f \ b)$

lemma subst1-aexp:

$evala \ env \ (subst \ (Var \ (v := a')) \ a) = evala \ (env \ (v := evala \ env \ a')) \ a$

and subst1-bexp:

$evalb \ env \ (substb \ (Var \ (v := a')) \ b) = evalb \ (env \ (v := evala \ env \ a')) \ b$
— one variable

by (induct a **and** b) simp-all

lemma subst-all-aexp:

$evala \ env \ (subst \ s \ a) = evala \ (\lambda x. \ evala \ env \ (s \ x)) \ a$

and subst-all-bexp:

$evalb \ env \ (substb \ s \ b) = evalb \ (\lambda x. \ evala \ env \ (s \ x)) \ b$

by (induct a **and** b) auto

end

6 Infinitely branching trees

theory *Tree* **imports** *Main* **begin**

datatype *'a tree* =
 Atom 'a
 | *Branch nat => 'a tree*

consts
 map-tree :: (*'a => 'b*) => *'a tree => 'b tree*

primrec
 map-tree *f* (*Atom a*) = *Atom (f a)*
 map-tree *f* (*Branch ts*) = *Branch* ($\lambda x. \text{map-tree } f \text{ (ts } x)$)

lemma *tree-map-compose*: *map-tree g (map-tree f t) = map-tree (g \circ f) t*
 by (*induct t*) *simp-all*

consts
 exists-tree :: (*'a => bool*) => *'a tree => bool*

primrec
 exists-tree *P* (*Atom a*) = *P a*
 exists-tree *P* (*Branch ts*) = ($\exists x. \text{exists-tree } P \text{ (ts } x)$)

lemma *exists-map*:
 ($\forall x. P \ x ==> Q \ (f \ x)$) ==>
 exists-tree *P* *ts* ==> *exists-tree* *Q* (*map-tree f ts*)
 by (*induct ts*) *auto*

6.1 The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.

datatype *brouwer* = *Zero* | *Succ brouwer* | *Lim nat => brouwer*

Addition of ordinals

consts
 add :: [*brouwer, brouwer*] => *brouwer*

primrec
 add i Zero = *i*
 add i (Succ j) = *Succ (add i j)*
 add i (Lim f) = *Lim* ($\%n. \text{add } i \text{ (f } n)$)

lemma *add-assoc*: *add (add i j) k = add i (add j k)*
 by (*induct k*) *auto*

Multiplication of ordinals

consts
 mult :: [*brouwer, brouwer*] => *brouwer*

primrec
 mult i Zero = *Zero*
 mult i (Succ j) = *add (mult i j) i*

$\text{mult } i \text{ (Lim } f) = \text{Lim } (\%n. \text{mult } i \text{ (} f \text{ } n))$

lemma *add-mult-distrib*: $\text{mult } i \text{ (add } j \text{ } k) = \text{add } (\text{mult } i \text{ } j) (\text{mult } i \text{ } k)$
by (*induct* *k*) (*auto simp add: add-assoc*)

lemma *mult-assoc*: $\text{mult } (\text{mult } i \text{ } j) \text{ } k = \text{mult } i \text{ (mult } j \text{ } k)$
by (*induct* *k*) (*auto simp add: add-mult-distrib*)

We could probably instantiate some axiomatic type classes and use the standard infix operators.

6.2 A WF Ordering for The Brouwer ordinals (Michael Comp-ton)

To define recdef style functions we need an ordering on the Brouwer ordinals. Start with a predecessor relation and form its transitive closure.

definition

brouwer-pred :: (*brouwer* * *brouwer*) *set where*
brouwer-pred = ($\bigcup i. \{(m,n). n = \text{Succ } m \vee (EX f. n = \text{Lim } f \ \& \ m = f \ i)\}$)

definition

brouwer-order :: (*brouwer* * *brouwer*) *set where*
brouwer-order = *brouwer-pred*⁺

lemma *wf-brouwer-pred*: *wf brouwer-pred*
by(*unfold wf-def brouwer-pred-def, clarify, induct-tac x, blast+*)

lemma *wf-brouwer-order*: *wf brouwer-order*
by(*unfold brouwer-order-def, rule wf-trancl[OF wf-brouwer-pred]*)

lemma [*simp*]: (*j*, *Succ j*) : *brouwer-order*
by(*auto simp add: brouwer-order-def brouwer-pred-def*)

lemma [*simp*]: (*f n*, *Lim f*) : *brouwer-order*
by(*auto simp add: brouwer-order-def brouwer-pred-def*)

Example of a recdef

consts

add2 :: (*brouwer***brouwer*) => *brouwer*

recdef *add2* *inv-image brouwer-order* ($\lambda (x,y). y$)

add2 (*i*, *Zero*) = *i*

add2 (*i*, (*Succ j*)) = *Succ* (*add2* (*i*, *j*))

add2 (*i*, (*Lim f*)) = *Lim* ($\lambda n. \text{add2 } (i, (f \ n))$)

(**hints** *recdef-wf: wf-brouwer-order*)

lemma *add2-assoc*: $\text{add2 } (\text{add2 } (i, j), k) = \text{add2 } (i, \text{add2 } (j, k))$
by (*induct k*) *auto*

end

7 Ordinals

theory *Ordinals* **imports** *Main* **begin**

Some basic definitions of ordinal numbers. Draws an Agda development (in Martin-Löf type theory) by Peter Hancock (see <http://www.dcs.ed.ac.uk/home/pgh/chat.html>).

datatype *ordinal* =
 Zero
 | *Succ ordinal*
 | *Limit nat => ordinal*

consts

pred :: *ordinal* => *nat* => *ordinal option*

primrec

pred Zero n = *None*
pred (Succ a) n = *Some a*
pred (Limit f) n = *Some (f n)*

consts

iter :: (*'a* => *'a*) => *nat* => (*'a* => *'a*)

primrec

iter f 0 = *id*
iter f (Suc n) = *f* ∘ (*iter f n*)

definition

OpLim :: (*nat* => (*ordinal* => *ordinal*)) => (*ordinal* => *ordinal*) **where**
OpLim F a = *Limit (λn. F n a)*

definition

OpItw :: (*ordinal* => *ordinal*) => (*ordinal* => *ordinal*) (\sqcup) **where**
 $\sqcup f$ = *OpLim (iter f)*

consts

cantor :: *ordinal* => *ordinal* => *ordinal*

primrec

cantor a Zero = *Succ a*
cantor a (Succ b) = $\sqcup (\lambda x. \text{cantor } x \text{ } b)$ *a*
cantor a (Limit f) = *Limit (λn. cantor a (f n))*

consts

Nabla :: (*ordinal* => *ordinal*) => (*ordinal* => *ordinal*) (∇)

primrec

$\nabla f \text{ } Zero$ = *f Zero*
 $\nabla f (\text{Succ } a)$ = *f (Succ (∇f a))*

$$\nabla f \text{ (Limit } h) = \text{Limit } (\lambda n. \nabla f \text{ (} h \text{ } n))$$

definition

deriv :: (ordinal => ordinal) => (ordinal => ordinal) **where**
deriv *f* = $\nabla(\bigsqcup f)$

consts

veblen :: ordinal => ordinal => ordinal

primrec

veblen *Zero* = $\nabla(\text{OpLim } (\text{iter } (\text{cantor } \text{Zero})))$
veblen (*Succ* *a*) = $\nabla(\text{OpLim } (\text{iter } (\text{veblen } a)))$
veblen (*Limit* *f*) = $\nabla(\text{OpLim } (\lambda n. \text{veblen } (f \text{ } n)))$

definition *veb* *a* = *veblen* *a* *Zero*

definition ε_0 = *veb* *Zero*

definition Γ_0 = *Limit* ($\lambda n. \text{iter } \text{veb } n \text{ } \text{Zero}$)

end

8 Sigma algebras

theory *Sigma-Algebra* **imports** *Main* **begin**

This is just a tiny example demonstrating the use of inductive definitions in classical mathematics. We define the least σ -algebra over a given set of sets.

inductive-set

σ -algebra :: 'a set set => 'a set set
for *A* :: 'a set set
where
basic: $a \in A \implies a \in \sigma\text{-algebra } A$
| *UNIV*: $\text{UNIV} \in \sigma\text{-algebra } A$
| *complement*: $a \in \sigma\text{-algebra } A \implies -a \in \sigma\text{-algebra } A$
| *Union*: $(!!i::\text{nat}. a \text{ } i \in \sigma\text{-algebra } A) \implies (\bigcup i. a \text{ } i) \in \sigma\text{-algebra } A$

The following basic facts are consequences of the closure properties of any σ -algebra, merely using the introduction rules, but no induction nor cases.

theorem *sigma-algebra-empty*: $\{\} \in \sigma\text{-algebra } A$

proof –

have $\text{UNIV} \in \sigma\text{-algebra } A$ **by** (rule *σ -algebra.UNIV*)
hence $-\text{UNIV} \in \sigma\text{-algebra } A$ **by** (rule *σ -algebra.complement*)
also have $-\text{UNIV} = \{\}$ **by** *simp*
finally show *?thesis* .

qed

theorem *sigma-algebra-Inter*:

$(!!i::\text{nat}. a \text{ } i \in \sigma\text{-algebra } A) \implies (\bigcap i. a \text{ } i) \in \sigma\text{-algebra } A$

proof –

```

assume !!i::nat. a i ∈  $\sigma$ -algebra A
hence !!i::nat.  $\neg(a\ i) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.complement)
hence  $(\bigcup i. \neg(a\ i)) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.Union)
hence  $\neg(\bigcup i. \neg(a\ i)) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.complement)
also have  $\neg(\bigcup i. \neg(a\ i)) = (\bigcap i. a\ i)$  by simp
finally show ?thesis .
qed

end

```

9 Combinatory Logic example: the Church-Rosser Theorem

theory Comb **imports** Main **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

HOL system proofs may be found in the HOL distribution at .../contrib/rule-induction/cl.ml

9.1 Definitions

Datatype definition of combinators S and K .

```

datatype comb = K
              | S
              | Ap comb comb (infixl ## 90)

```

```

notation (xsymbols)
  Ap (infixl · 90)

```

Inductive definition of contractions, $-1->$ and (multi-step) reductions, $--->$.

inductive-set

```

  contract :: (comb*comb) set
and contract-rel1 :: [comb,comb] => bool (infixl -1-> 50)
where
  x -1-> y == (x,y) ∈ contract
  | K:    K##x##y -1-> x
  | S:    S##x##y##z -1-> (x##z)##(y##z)
  | Ap1:  x-1->y ==> x##z -1-> y##z
  | Ap2:  x-1->y ==> z##x -1-> z##y

```

abbreviation

```

  contract-rel :: [comb,comb] => bool (infixl ---> 50) where
  x ---> y == (x,y) ∈ contract^*

```

Inductive definition of parallel contractions, $=1=>$ and (multi-step) parallel reductions, $===>$.

inductive-set

```

parcontract :: (comb*comb) set
and parcontract-rel1 :: [comb,comb] => bool (infixl =1=> 50)
where
  x =1=> y == (x,y) ∈ parcontract
| refl: x =1=> x
| K:    K###x###y =1=> x
| S:    S###x###y###z =1=> (x###z)###(y###z)
| Ap:   [(x=1=>y; z=1=>w)] ==> x###z =1=> y###w

```

abbreviation

```

parcontract-rel :: [comb,comb] => bool (infixl ===> 50) where
  x ===> y == (x,y) ∈ parcontract^*

```

Misc definitions.

definition

```

I :: comb where
I = S###K###K

```

definition

```

diamond :: ('a * 'a)set => bool where
  — confluence; Lambda/Commutation treats this more abstractly
diamond(r) = (∀ x y. (x,y) ∈ r -->
  (∀ y'. (x,y') ∈ r -->
    (∃ z. (y,z) ∈ r & (y',z) ∈ r)))

```

9.2 Reflexive/Transitive closure preserves Church-Rosser property

So does the Transitive closure, with a similar proof

Strip lemma. The induction hypothesis covers all but the last diamond of the strip.

lemma *diamond-strip-lemmaE* [rule-format]:

```

[(diamond(r); (x,y) ∈ r^*)] ==>
  ∀ y'. (x,y') ∈ r --> (∃ z. (y',z) ∈ r^* & (y,z) ∈ r)

```

apply (*unfold diamond-def*)

apply (*erule rtrancl-induct*)

apply (*meson rtrancl-refl*)

apply (*meson rtrancl-trans r-into-rtrancl*)

done

lemma *diamond-rtrancl*: $diamond(r) ==> diamond(r^*)$

apply (*simp (no-asm-simp) add: diamond-def*)

apply (*rule impI [THEN allI, THEN allI]*)

apply (*erule rtrancl-induct, blast*)

apply (*meson rtrancl-trans r-into-rtrancl diamond-strip-lemmaE*)
done

9.3 Non-contraction results

Derive a case for each combinator constructor.

inductive-cases

K-contractE [elim!]: $K -1-> r$
and *S-contractE* [elim!]: $S -1-> r$
and *Ap-contractE* [elim!]: $p\#\#q -1-> r$

declare *contract.K* [intro!] *contract.S* [intro!]
declare *contract.Ap1* [intro] *contract.Ap2* [intro]

lemma *I-contract-E* [elim!]: $I -1-> z ==> P$
by (*unfold I-def, blast*)

lemma *K1-contractD* [elim!]: $K\#\#x -1-> z ==> (\exists x'. z = K\#\#x' \ \& \ x -1-> x')$
by *blast*

lemma *Ap-reduce1* [intro]: $x ----> y ==> x\#\#z ----> y\#\#z$
apply (*erule rtrancl-induct*)
apply (*blast intro: rtrancl-trans*)
done

lemma *Ap-reduce2* [intro]: $x ----> y ==> z\#\#x ----> z\#\#y$
apply (*erule rtrancl-induct*)
apply (*blast intro: rtrancl-trans*)
done

lemma *KIII-contract1*: $K\#\#I\#\#(I\#\#I) -1-> I$
by (*rule contract.K*)

lemma *KIII-contract2*: $K\#\#I\#\#(I\#\#I) -1-> K\#\#I\#\#((K\#\#I)\#\#(K\#\#I))$
by (*unfold I-def, blast*)

lemma *KIII-contract3*: $K\#\#I\#\#((K\#\#I)\#\#(K\#\#I)) -1-> I$
by *blast*

lemma *not-diamond-contract*: $\sim \text{diamond}(\text{contract})$
apply (*unfold diamond-def*)
apply (*best intro: KIII-contract1 KIII-contract2 KIII-contract3*)
done

9.4 Results about Parallel Contraction

Derive a case for each combinator constructor.

inductive-cases

K-parcontractE [elim!]: $K = 1 \Rightarrow r$
and *S-parcontractE* [elim!]: $S = 1 \Rightarrow r$
and *Ap-parcontractE* [elim!]: $p \# \# q = 1 \Rightarrow r$

declare *parcontract.intros* [intro]

9.5 Basic properties of parallel contraction

lemma *K1-parcontractD* [dest!]: $K \# \# x = 1 \Rightarrow z \Rightarrow (\exists x'. z = K \# \# x' \ \& \ x = 1 \Rightarrow x')$
by *blast*

lemma *S1-parcontractD* [dest!]: $S \# \# x = 1 \Rightarrow z \Rightarrow (\exists x'. z = S \# \# x' \ \& \ x = 1 \Rightarrow x')$
by *blast*

lemma *S2-parcontractD* [dest!]:
 $S \# \# x \# \# y = 1 \Rightarrow z \Rightarrow (\exists x' y'. z = S \# \# x' \# \# y' \ \& \ x = 1 \Rightarrow x' \ \& \ y = 1 \Rightarrow y')$
by *blast*

The rules above are not essential but make proofs much faster

Church-Rosser property for parallel contraction

lemma *diamond-parcontract*: *diamond parcontract*
apply (*unfold diamond-def*)
apply (*rule impI* [THEN *allI*, THEN *allI*])
apply (*erule parcontract.induct*, *fast+*)
done

Equivalence of $p \dashrightarrow q$ and $p \Rightarrow q$.

lemma *contract-subset-parcontract*: *contract <= parcontract*
apply (*rule subsetI*)
apply (*simp only: split-tupled-all*)
apply (*erule contract.induct*, *blast+*)
done

Reductions: simply throw together reflexivity, transitivity and the one-step reductions

declare *r-into-rtrancl* [intro] *rtrancl-trans* [intro]

lemma *reduce-I*: $I \# \# x \dashrightarrow x$
by (*unfold I-def*, *blast*)

```

lemma parcontract-subset-reduce: parcontract <= contract ^*
apply (rule subsetI)
apply (simp only: split-tupled-all)
apply (erule parcontract.induct, blast+)
done

lemma reduce-eq-parreduce: contract ^* = parcontract ^*
by (rule equalityI contract-subset-parcontract [THEN rtrancl-mono]
      parcontract-subset-reduce [THEN rtrancl-subset-rtrancl]+)

lemma diamond-reduce: diamond(contract ^*)
by (simp add: reduce-eq-parreduce diamond-rtrancl diamond-parcontract)

end

```

10 Meta-theory of propositional logic

theory *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in \text{Fin}(H)$

10.1 The datatype of propositions

```

datatype 'a pl =
  false |
  var 'a (#- [1000]) |
  imp 'a pl 'a pl (infixr -> 90)

```

10.2 The proof system

```

inductive
  thms :: ['a pl set, 'a pl] => bool (infixl |- 50)
  for H :: 'a pl set
  where
    H [intro]:  $p \in H \implies H \vdash p$ 
  | K:  $H \vdash p \rightarrow q \rightarrow p$ 
  | S:  $H \vdash (p \rightarrow q \rightarrow r) \rightarrow (p \rightarrow q) \rightarrow p \rightarrow r$ 
  | DN:  $H \vdash ((p \rightarrow \text{false}) \rightarrow \text{false}) \rightarrow p$ 
  | MP:  $[H \vdash p \rightarrow q; H \vdash p] \implies H \vdash q$ 

```


10.3 The semantics

10.3.1 Semantics of propositional logic.

consts

eval :: [*'a set*, *'a pl*] => *bool* (*-*[[*-*]] [100,0] 100)

primrec *tt*[[*false*]] = *False*

tt[[*#v*]] = (*v* ∈ *tt*)

eval-imp: *tt*[[*p*→*q*]] = (*tt*[[*p*]] --> *tt*[[*q*]])

A finite set of hypotheses from *t* and the *Vars* in *p*.

consts

hyps :: [*'a pl*, *'a set*] => *'a pl set*

primrec

hyps false tt = {}

hyps (#v) tt = {if *v* ∈ *tt* then *#v* else *#v*→*false*}

hyps (p→q) tt = *hyps p tt Un hyps q tt*

10.3.2 Logical consequence

For every valuation, if all elements of *H* are true then so is *p*.

definition

sat :: [*'a pl set*, *'a pl*] => *bool* (**infixl** |= 50) **where**

H |= *p* = (∀ *tt*. (∀ *q* ∈ *H*. *tt*[[*q*]]) --> *tt*[[*p*]])

10.4 Proof theory of propositional logic

lemma *thms-mono*: *G* ≤ *H* ==> *thms*(*G*) ≤ *thms*(*H*)

apply (*rule predicate1I*)

apply (*erule thms.induct*)

apply (*auto intro: thms.intros*)

done

lemma *thms-I*: *H* |− *p*→*p*

— Called *I* for Identity Combinator, not for Introduction.

by (*best intro: thms.K thms.S thms.MP*)

10.4.1 Weakening, left and right

lemma *weaken-left*: [| *G* ⊆ *H*; *G* |− *p* |] ==> *H* |− *p*

— Order of premises is convenient with *THEN*

by (*erule thms-mono [THEN predicate1D]*)

lemmas *weaken-left-insert* = *subset-insertI [THEN weaken-left]*

lemmas *weaken-left-Un1* = *Un-upper1 [THEN weaken-left]*

lemmas *weaken-left-Un2* = *Un-upper2 [THEN weaken-left]*

lemma *weaken-right*: $H \mid - q \implies H \mid - p \multimap q$
by (*fast intro*: *thms.K thms.MP*)

10.4.2 The deduction theorem

theorem *deduction*: $\text{insert } p \ H \mid - q \implies H \mid - p \multimap q$
apply (*induct set*: *thms*)
apply (*fast intro*: *thms-I thms.H thms.K thms.S thms.DN*
thms.S [THEN thms.MP, THEN thms.MP] weaken-right) +
done

10.4.3 The cut rule

lemmas *cut* = *deduction [THEN thms.MP]*

lemmas *thms-falseE* = *weaken-right [THEN thms.DN [THEN thms.MP]]*

lemmas *thms-notE* = *thms.MP [THEN thms-falseE, standard]*

10.4.4 Soundness of the rules wrt truth-table semantics

theorem *soundness*: $H \mid - p \implies H \models p$
apply (*unfold sat-def*)
apply (*induct set*: *thms*)
apply *auto*
done

10.5 Completeness

10.5.1 Towards the completeness proof

lemma *false-imp*: $H \mid - p \multimap \text{false} \implies H \mid - p \multimap q$
apply (*rule deduction*)
apply (*metis H insert-iff weaken-left-insert thms-notE*)
done

lemma *imp-false*:
 $[[H \mid - p; H \mid - q \multimap \text{false}] \implies H \mid - (p \multimap q) \multimap \text{false}]$
apply (*rule deduction*)
apply (*metis H MP insert-iff weaken-left-insert*)
done

lemma *hyps-thms-if*: $\text{hyps } p \ tt \mid - (\text{if } tt[[p]] \text{ then } p \text{ else } p \multimap \text{false})$
— Typical example of strengthening the induction statement.
apply *simp*
apply (*induct p*)
apply (*simp-all add*: *thms-I thms.H*)
apply (*blast intro*: *weaken-left-Un1 weaken-left-Un2 weaken-right*
imp-false false-imp)
done

lemma *sat-thms-p*: $\{\} \models p \implies \text{hyps } p \text{ tt} \vdash p$
 — Key lemma for completeness; yields a set of assumptions satisfying p
apply (*unfold sat-def*)
apply (*drule spec, erule mp [THEN if-P, THEN subst],*
rule-tac [2] hyps-thms-if, simp)
done

For proving certain theorems in our new propositional logic.

declare *deduction* [*intro!*]
declare *thms.H* [*THEN thms.MP, intro*]

The excluded middle in the form of an elimination rule.

lemma *thms-excluded-middle*: $H \vdash (p \rightarrow q) \rightarrow ((p \rightarrow \text{false}) \rightarrow q) \rightarrow q$
apply (*rule deduction [THEN deduction]*)
apply (*rule thms.DN [THEN thms.MP], best*)
done

lemma *thms-excluded-middle-rule*:

$[\text{insert } p \text{ } H \vdash q; \text{insert } (p \rightarrow \text{false}) \text{ } H \vdash q] \implies H \vdash q$

— Hard to prove directly because it requires cuts

by (*rule thms-excluded-middle [THEN thms.MP, THEN thms.MP], auto*)

10.6 Completeness – lemmas for reducing the set of assumptions

For the case $\text{hyps } p \text{ } t - \text{insert } \#v \text{ } Y \vdash p$ we also have $\text{hyps } p \text{ } t - \{\#v\} \subseteq \text{hyps } p \text{ } (t - \{v\})$.

lemma *hyps-Diff*: $\text{hyps } p \text{ } (t - \{v\}) \leq \text{insert } (\#v \rightarrow \text{false}) ((\text{hyps } p \text{ } t) - \{\#v\})$
by (*induct p*) *auto*

For the case $\text{hyps } p \text{ } t - \text{insert } (\#v \rightarrow \text{Fls}) \text{ } Y \vdash p$ we also have $\text{hyps } p \text{ } t - \{\#v \rightarrow \text{Fls}\} \subseteq \text{hyps } p \text{ } (\text{insert } v \text{ } t)$.

lemma *hyps-insert*: $\text{hyps } p \text{ } (\text{insert } v \text{ } t) \leq \text{insert } (\#v) (\text{hyps } p \text{ } t - \{\#v \rightarrow \text{false}\})$
by (*induct p*) *auto*

Two lemmas for use with *weaken-left*

lemma *insert-Diff-same*: $B - C \leq \text{insert } a \text{ } (B - \text{insert } a \text{ } C)$
by *fast*

lemma *insert-Diff-subset2*: $\text{insert } a \text{ } (B - \{c\}) - D \leq \text{insert } a \text{ } (B - \text{insert } c \text{ } D)$
by *fast*

The set $\text{hyps } p \text{ } t$ is finite, and elements have the form $\#v$ or $\#v \rightarrow \text{Fls}$.

lemma *hyps-finite*: *finite*($\text{hyps } p \text{ } t$)
by (*induct p*) *auto*

lemma *hyps-subset*: $\text{hyps } p \ t \leq (UN \ v. \{\#v, \#v \rightarrow \text{false}\})$
by (*induct* *p*) *auto*

lemmas *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

10.6.1 Completeness theorem

Induction on the finite set of assumptions *hyps p t0*. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma*:

$\{\} \models p \implies \forall t. \text{hyps } p \ t \vdash \text{hyps } p \ t0 \vdash p$
apply (*rule* *hyps-subset* [*THEN* *hyps-finite* [*THEN* *finite-subset-induct*]])
apply (*simp* *add: sat-thms-p, safe*)

Case *hyps p t-insert*($\#v, Y$) $\vdash p$

apply (*iprover* *intro: thms-excluded-middle-rule*
insert-Diff-same [*THEN* *weaken-left*]
insert-Diff-subset2 [*THEN* *weaken-left*]
hyps-Diff [*THEN* *Diff-weaken-left*])

Case *hyps p t-insert*($\#v \rightarrow \text{false}, Y$) $\vdash p$

apply (*iprover* *intro: thms-excluded-middle-rule*
insert-Diff-same [*THEN* *weaken-left*]
insert-Diff-subset2 [*THEN* *weaken-left*]
hyps-insert [*THEN* *Diff-weaken-left*])

done

The base case for completeness

lemma *completeness-0*: $\{\} \models p \implies \{\} \vdash p$
apply (*rule* *Diff-cancel* [*THEN* *subst*])
apply (*erule* *completeness-0-lemma* [*THEN* *spec*])
done

A semantic analogue of the Deduction Theorem

lemma *sat-imp*: $\text{insert } p \ H \models q \implies H \models p \rightarrow q$
by (*unfold* *sat-def*, *auto*)

theorem *completeness*: $\text{finite } H \implies H \models p \implies H \vdash p$

apply (*induct* *arbitrary: p* *rule: finite-induct*)
apply (*blast* *intro: completeness-0*)
apply (*iprover* *intro: sat-imp thms.H insertI1 weaken-left-insert* [*THEN* *thms.MP*])
done

theorem *syntax-iff-semantics*: $\text{finite } H \implies (H \vdash p) = (H \models p)$
by (*blast* *intro: soundness completeness*)

end

theory *Sexp* **imports** *Main* **begin**

types

'a item = *'a Datatype.item*

abbreviation *Leaf* == *Datatype.Leaf*

abbreviation *Numb* == *Datatype.Numb*

inductive-set

sexp :: *'a item set*

where

LeafI: *Leaf(a) ∈ sexp*

| *NumbI*: *Numb(i) ∈ sexp*

| *SconsI*: [*M ∈ sexp*; *N ∈ sexp*] ==> *Scons M N ∈ sexp*

definition

sexp-case :: [*'a=>'b*, *nat=>'b*, [*'a item*, *'a item*]==>*'b*,
'a item]==> *'b* **where**

sexp-case c d e M = (*THE z. (EX x. M=Leaf(x) & z=c(x)*
| (*EX k. M=Numb(k) & z=d(k)*)
| (*EX N1 N2. M = Scons N1 N2 & z=e N1 N2*))

definition

pred-sexp :: (*'a item * 'a item*)*set* **where**

pred-sexp = ($\bigcup M \in \textit{sexp}. \bigcup N \in \textit{sexp}. \{(M, \textit{Scons } M \ N), (N, \textit{Scons } M \ N)\}$)

definition

sexp-rec :: [*'a item*, *'a=>'b*, *nat=>'b*,
[*'a item*, *'a item*, *'b*, *'b*]==>*'b*] ==> *'b* **where**

sexp-rec M c d e = *wfrec pred-sexp*
($\%g. \textit{sexp-case } c \ d \ (\%N1 \ N2. \ e \ N1 \ N2 \ (g \ N1) \ (g \ N2))$) *M*

lemma *sexp-case-Leaf [simp]*: *sexp-case c d e (Leaf a) = c(a)*

by (*simp add: sexp-case-def, blast*)

lemma *sexp-case-Numb [simp]*: *sexp-case c d e (Numb k) = d(k)*

by (*simp add: sexp-case-def, blast*)

lemma *sexp-case-Scons [simp]*: *sexp-case c d e (Scons M N) = e M N*

by (*simp add: sexp-case-def*)

lemma *sexp-In0I*: $M \in \text{sexp} \implies \text{In0}(M) \in \text{sexp}$
apply (*simp add: In0-def*)
apply (*erule sexp.NumbI [THEN sexp.SconsI]*)
done

lemma *sexp-In1I*: $M \in \text{sexp} \implies \text{In1}(M) \in \text{sexp}$
apply (*simp add: In1-def*)
apply (*erule sexp.NumbI [THEN sexp.SconsI]*)
done

declare *sexp.intros* [*intro, simp*]

lemma *range-Leaf-subset-sexp*: $\text{range}(\text{Leaf}) \leq \text{sexp}$
by *blast*

lemma *Scons-D*: $\text{Scons } M \ N \in \text{sexp} \implies M \in \text{sexp} \ \& \ N \in \text{sexp}$
by (*induct S == Scons M N set: sexp*) *auto*

lemma *pred-sexp-subset-Sigma*: $\text{pred-sexp} \leq \text{sexp} <*> \text{sexp}$
by (*simp add: pred-sexp-def, blast*)

lemmas *trancI-pred-sexpD1* =
pred-sexp-subset-Sigma
[*THEN trancI-subset-Sigma, THEN subsetD, THEN SigmaD1*]
and *trancI-pred-sexpD2* =
pred-sexp-subset-Sigma
[*THEN trancI-subset-Sigma, THEN subsetD, THEN SigmaD2*]

lemma *pred-sexpI1*:
 $[[M \in \text{sexp}; \ N \in \text{sexp}]] \implies (M, \text{Scons } M \ N) \in \text{pred-sexp}$
by (*simp add: pred-sexp-def, blast*)

lemma *pred-sexpI2*:
 $[[M \in \text{sexp}; \ N \in \text{sexp}]] \implies (N, \text{Scons } M \ N) \in \text{pred-sexp}$
by (*simp add: pred-sexp-def, blast*)

lemmas *pred-sexp-t1* [*simp*] = *pred-sexpI1* [*THEN r-into-trancI*]
and *pred-sexp-t2* [*simp*] = *pred-sexpI2* [*THEN r-into-trancI*]

lemmas *pred-sexp-trans1* [*simp*] = *trans-trancI* [*THEN transD, OF - pred-sexp-t1*]
and *pred-sexp-trans2* [*simp*] = *trans-trancI* [*THEN transD, OF - pred-sexp-t2*]

declare *cut-apply* [*simp*]

```

lemma pred-sexE:
  [|  $p \in \text{pred-sexp}$ ;
    !! $M\ N$ . [|  $p = (M, \text{Scons } M\ N)$ ;  $M \in \text{sexp}$ ;  $N \in \text{sexp}$  |] ==>  $R$ ;
    !! $M\ N$ . [|  $p = (N, \text{Scons } M\ N)$ ;  $M \in \text{sexp}$ ;  $N \in \text{sexp}$  |] ==>  $R$ 
  |] ==>  $R$ 
by (simp add: pred-sexp-def, blast)

```

```

lemma wf-pred-sexp:  $\text{wf}(\text{pred-sexp})$ 
apply (rule pred-sexp-subset-Sigma [THEN wfI])
apply (erule sexp.induct)
apply (blast elim!: pred-sexE)+
done

```

```

lemma sexp-rec-unfold-lemma:
  (% $M$ .  $\text{sexp-rec } M\ c\ d\ e$ ) ==
  wfrec pred-sexp (% $g$ .  $\text{sexp-case } c\ d\ (\%N1\ N2. e\ N1\ N2\ (g\ N1)\ (g\ N2))$ )
by (simp add: sexp-rec-def)

```

```

lemmas sexp-rec-unfold = def-wfrec [OF sexp-rec-unfold-lemma wf-pred-sexp]

```

```

lemma sexp-rec-Leaf:  $\text{sexp-rec } (\text{Leaf } a)\ c\ d\ h = c(a)$ 
apply (subst sexp-rec-unfold)
apply (rule sexp-case-Leaf)
done

```

```

lemma sexp-rec-Numb:  $\text{sexp-rec } (\text{Numb } k)\ c\ d\ h = d(k)$ 
apply (subst sexp-rec-unfold)
apply (rule sexp-case-Numb)
done

```

```

lemma sexp-rec-Scons: [|  $M \in \text{sexp}$ ;  $N \in \text{sexp}$  |] ==>
   $\text{sexp-rec } (\text{Scons } M\ N)\ c\ d\ h = h\ M\ N\ (\text{sexp-rec } M\ c\ d\ h)\ (\text{sexp-rec } N\ c\ d\ h)$ 
apply (rule sexp-rec-unfold [THEN trans])
apply (simp add: pred-sexpI1 pred-sexpI2)
done

```

```

end

```

11 Extended List Theory (old)

```

theory SList

```

```

imports Sexp
begin

```

definition

```

NIL :: 'a item where
NIL = In0(Numb(0))

```

definition

```

CONS :: ['a item, 'a item] => 'a item where
CONS M N = In1(Scons M N)

```

inductive-set

```

list :: 'a item set => 'a item set
for A :: 'a item set
where
  NIL-I: NIL: list A
  | CONS-I: [| a: A; M: list A |] ==> CONS a M : list A

```

typedef (*List*)

```

'a list = list(range Leaf) :: 'a item set
by (blast intro: list.NIL-I)

```

abbreviation *Case* == *Datatype.Case*

abbreviation *Split* == *Datatype.Split*

definition

```

List-case :: ['b, ['a item, 'a item]=>'b, 'a item] => 'b where
List-case c d = Case(%x. c)(Split(d))

```

definition

```

List-rec :: ['a item, 'b, ['a item, 'a item, 'b]=>'b] => 'b where
List-rec M c d = wfrec (pred-sexp ^+)
  (%g. List-case c (%x y. d x y (g y))) M

```


no-translations

$$[x, xs] == x\#[xs]$$

$$[x] == x\#[]$$
no-notation

$$Nil \ (\[]) \text{ and}$$

$$Cons \ (\text{infixr} \# \ 65)$$
definition

$$Nil \quad :: 'a \ list \quad \quad \quad ([]) \text{ where}$$

$$Nil = Abs-List(NIL)$$
definition

$$Cons \quad :: ['a, 'a \ list] => 'a \ list \quad (\text{infixr} \# \ 65) \text{ where}$$

$$x\#xs = Abs-List(CONS (Leaf x)(Rep-List xs))$$
definition

$$list-rec \quad :: ['a \ list, 'b, ['a, 'a \ list, 'b] => 'b] => 'b \text{ where}$$

$$list-rec \ l \ c \ d =$$

$$List-rec(Rep-List \ l) \ c \ (\%x \ y \ r. \ d(inv \ Leaf \ x)(Abs-List \ y) \ r)$$
definition

$$list-case \quad :: ['b, ['a, 'a \ list] => 'b, 'a \ list] => 'b \text{ where}$$

$$list-case \ a \ f \ xs = list-rec \ xs \ a \ (\%x \ xs \ r. \ f \ x \ xs)$$
translations

$$[x, xs] == x\#[xs]$$

$$[x] == x\#[]$$

$$case \ xs \ of \ [] \ => \ a \mid \ y\#ys \ => \ b == \ CONST \ list-case(a, \%y \ ys. \ b, \ xs)$$
definition

$$Rep-map \quad :: ('b \ => 'a \ item) \ => ('b \ list \ => 'a \ item) \text{ where}$$

Rep-map $f\ xs = list-rec\ xs\ NIL(\%x\ l\ r.\ CONS(f\ x)\ r)$

definition

Abs-map $:: ('a\ item \Rightarrow 'b) \Rightarrow 'a\ item \Rightarrow 'b\ list\ \mathbf{where}$
Abs-map $g\ M = List-rec\ M\ Nil\ (\%N\ L\ r.\ g(N)\#r)$

definition

null $:: 'a\ list \Rightarrow bool\ \mathbf{where}$
null $xs = list-rec\ xs\ True\ (\%x\ xs\ r.\ False)$

definition

hd $:: 'a\ list \Rightarrow 'a\ \mathbf{where}$
hd $xs = list-rec\ xs\ (@x.\ True)\ (\%x\ xs\ r.\ x)$

definition

tl $:: 'a\ list \Rightarrow 'a\ list\ \mathbf{where}$
tl $xs = list-rec\ xs\ (@xs.\ True)\ (\%x\ xs\ r.\ xs)$

definition

tll $:: 'a\ list \Rightarrow 'a\ list\ \mathbf{where}$
tll $xs = list-rec\ xs\ []\ (\%x\ xs\ r.\ xs)$

no-notation *member* (**infixl** *mem* 55)

definition

member $:: ['a,\ 'a\ list] \Rightarrow bool\ (\mathbf{infixl}\ mem\ 55)\ \mathbf{where}$
x mem $xs = list-rec\ xs\ False\ (\%y\ ys\ r.\ if\ y=x\ then\ True\ else\ r)$

definition

list-all $:: ('a \Rightarrow bool) \Rightarrow ('a\ list \Rightarrow bool)\ \mathbf{where}$
list-all $P\ xs = list-rec\ xs\ True(\%x\ l\ r.\ P(x)\ \&\ r)$

definition

map $:: ('a \Rightarrow 'b) \Rightarrow ('a\ list \Rightarrow 'b\ list)\ \mathbf{where}$
map $f\ xs = list-rec\ xs\ []\ (\%x\ l\ r.\ f(x)\#r)$

no-notation *append* (**infixr** @ 65)

definition

append $:: ['a\ list,\ 'a\ list] \Rightarrow 'a\ list\ (\mathbf{infixr}\ @\ 65)\ \mathbf{where}$
xs@ys $= list-rec\ xs\ ys\ (\%x\ l\ r.\ x\#r)$

definition

filter $:: ['a \Rightarrow bool,\ 'a\ list] \Rightarrow 'a\ list\ \mathbf{where}$
filter $P\ xs = list-rec\ xs\ []\ (\%x\ xs\ r.\ if\ P(x)\ then\ x\#r\ else\ r)$

definition

foldl :: [*'b, 'a*] => *'b, 'a list*] => *'b* **where**
foldl f a xs = *list-rec xs (%a. a)(%x xs r. r(f a x))(a)*

definition

foldr :: [*'a, 'b*] => *'b, 'a list*] => *'b* **where**
foldr f a xs = *list-rec xs a (%x xs r. (f x r))*

definition

length :: *'a list* => *nat* **where**
length xs = *list-rec xs 0 (%x xs r. Suc r)*

definition

drop :: [*'a list, nat*] => *'a list* **where**
drop t n = (*nat-rec (%x. x)(%m r xs. r(ttl xs))*)(*n*)(*t*)

definition

copy :: [*'a, nat*] => *'a list* **where**
copy t = *nat-rec [] (%m xs. t # xs)*

definition

flat :: *'a list list* => *'a list* **where**
flat = *foldr (op @) []*

definition

nth :: [*nat, 'a list*] => *'a* **where**
nth = *nat-rec hd (%m r xs. r(tl xs))*

definition

rev :: *'a list* => *'a list* **where**
rev xs = *list-rec xs [] (%x xs xsa. xsa @ [x])*

definition

zipWith :: [*'a * 'b*] => [*'c, 'a list * 'b list*] => *'c list* **where**
zipWith f S = (*list-rec (fst S) (%T. [])*
 (*%x xs r. %T. if null T then []*
 else f(x, hd T) # r(tl T)))(*snd(S)*)

definition

zip :: *'a list * 'b list* => (*'a * 'b*) *list* **where**
zip = *zipWith (%s. s)*

definition

unzip :: (*'a * 'b*) *list* => (*'a list * 'b list*) **where**
unzip = *foldr (% (a,b)(c,d).(a#c,b#d))([], [])*

```

consts take      :: ['a list, nat] => 'a list
primrec
  take-0: take xs 0 = []
  take-Suc: take xs (Suc n) = list-case [] (%x l. x # take l n) xs

consts enum      :: [nat, nat] => nat list
primrec
  enum-0: enum i 0 = []
  enum-Suc: enum i (Suc j) = (if i <= j then enum i j @ [j] else [])

no-translations
  [x ← xs . P] == filter (%x. P) xs

syntax

  @Alls      :: [idt, 'a list, bool] => bool      ((2Alls -:-./ -) 10)

translations
  [x ← xs. P] == CONST filter(%x. P) xs
  Alls x:xs. P == CONST list-all(%x. P) xs

lemma ListI: x : list (range Leaf) ==> x : List
by (simp add: List-def)

lemma ListD: x : List ==> x : list (range Leaf)
by (simp add: List-def)

lemma list-unfold: list(A) = usum {Numb(0)} (uprod A (list(A)))
by (fast intro!: list.intros [unfolded NIL-def CONS-def]
    elim: list.cases [unfolded NIL-def CONS-def])

lemma list-mono: A <= B ==> list(A) <= list(B)
apply (rule subsetI)
apply (erule list.induct)
apply (auto intro!: list.intros)
done

lemma list-serp: list(serp) <= serp
apply (rule subsetI)
apply (erule list.induct)
apply (unfold NIL-def CONS-def)
apply (auto intro: serp.intros serp-In0I serp-In1I)
done

```

lemmas *list-subset-sexp* = *subset-trans* [*OF list-mono list-sexp*]

lemma *list-induct*:

[$P(\text{Nil})$;
 $!!x\ xs.\ P(xs) \implies P(x \# xs)$] $\implies P(l)$

apply (*unfold Nil-def Cons-def*)

apply (*rule Rep-List-inverse* [*THEN subst*])

apply (*rule Rep-List* [*unfolded List-def, THEN list.induct*], *simp*)

apply (*erule Abs-List-inverse* [*unfolded List-def, THEN subst*], *blast*)

done

lemma *inj-on-Abs-list*: *inj-on Abs-List* (*list(range Leaf)*)

apply (*rule inj-on-inverseI*)

apply (*erule Abs-List-inverse* [*unfolded List-def*])

done

lemma *CONS-not-NIL* [*iff*]: *CONS M N* \sim *NIL*

by (*simp add: NIL-def CONS-def*)

lemmas *NIL-not-CONS* [*iff*] = *CONS-not-NIL* [*THEN not-sym*]

lemmas *CONS-neq-NIL* = *CONS-not-NIL* [*THEN notE, standard*]

lemmas *NIL-neq-CONS* = *sym* [*THEN CONS-neq-NIL*]

lemma *Cons-not-Nil* [*iff*]: $x \# xs \sim \text{Nil}$

apply (*unfold Nil-def Cons-def*)

apply (*rule CONS-not-NIL* [*THEN inj-on-Abs-list* [*THEN inj-on-contrad*]])

apply (*simp-all add: list.intros rangeI Rep-List* [*unfolded List-def*])

done

lemmas *Nil-not-Cons* [*iff*] = *Cons-not-Nil* [*THEN not-sym, standard*]

lemmas *Cons-neq-Nil* = *Cons-not-Nil* [*THEN notE, standard*]

lemmas *Nil-neq-Cons* = *sym* [*THEN Cons-neq-Nil*]

lemma *CONS-CONS-eq* [*iff*]: (*CONS K M*) = (*CONS L N*) = (*K=L* & *M=N*)

by (*simp add: CONS-def*)

declare *Rep-List* [*THEN ListD, intro*] *ListI* [*intro*]

declare *list.intros* [*intro, simp*]

```

declare Leaf-inject [dest!]

lemma Cons-Cons-eq [iff]:  $(x \# xs = y \# ys) = (x = y \ \& \ xs = ys)$ 
apply (simp add: Cons-def)
apply (subst Abs-List-inject)
apply (auto simp add: Rep-List-inject)
done

lemmas Cons-inject2 = Cons-Cons-eq [THEN iffD1, THEN conjE, standard]

lemma CONS-D:  $CONS \ M \ N: list(A) ==> M: A \ \& \ N: list(A)$ 
by (induct L == CONS M N set: list) auto

lemma sexp-CONS-D:  $CONS \ M \ N: sexp ==> M: sexp \ \& \ N: sexp$ 
apply (simp add: CONS-def In1-def)
apply (fast dest!: Scons-D)
done

lemma not-CONS-self:  $N: list(A) ==> !M. N \sim = CONS \ M \ N$ 
apply (erule list.induct) apply simp-all done

lemma not-Cons-self2:  $\forall x. l \sim = x \# l$ 
by (induct l rule: list-induct) simp-all

lemma neq-Nil-conv2:  $(xs \sim = []) = (\exists y \ ys. xs = y \# ys)$ 
by (induct xs rule: list-induct) auto

lemma List-case-NIL [simp]:  $List-case \ c \ h \ NIL = c$ 
by (simp add: List-case-def NIL-def)

lemma List-case-CONS [simp]:  $List-case \ c \ h \ (CONS \ M \ N) = h \ M \ N$ 
by (simp add: List-case-def CONS-def)

lemma List-rec-unfold-lemma:
   $(\%M. List-rec \ M \ c \ d) ==$ 
   $wfrec \ (pred-sexp \ ^+) \ (\%g. List-case \ c \ (\%x \ y. d \ x \ y \ (g \ y)))$ 
by (simp add: List-rec-def)

```

lemmas *List-rec-unfold* =
 def-wfrec [OF *List-rec-unfold-lemma* wf-pred-sexp [THEN wf-trancl],
 standard]

lemma *pred-sexp-CONS-I1*:
 [| *M*: *sexp*; *N*: *sexp* |] ==> (*M*, *CONS M N*) : *pred-sexp* ^ +
by (*simp add: CONS-def In1-def*)

lemma *pred-sexp-CONS-I2*:
 [| *M*: *sexp*; *N*: *sexp* |] ==> (*N*, *CONS M N*) : *pred-sexp* ^ +
by (*simp add: CONS-def In1-def*)

lemma *pred-sexp-CONS-D*:
 (*CONS M1 M2*, *N*) : *pred-sexp* ^ + ==>
 (*M1*, *N*) : *pred-sexp* ^ + & (*M2*, *N*) : *pred-sexp* ^ +
apply (*frule pred-sexp-subset-Sigma [THEN trancl-subset-Sigma, THEN subsetD]*)
apply (*blast dest!: sexp-CONS-D intro: pred-sexp-CONS-I1 pred-sexp-CONS-I2*
trans-trancl [THEN transD])
done

lemma *List-rec-NIL [simp]*: *List-rec NIL c h = c*
apply (*rule List-rec-unfold [THEN trans]*)
apply (*simp add: List-case-NIL*)
done

lemma *List-rec-CONS [simp]*:
 [| *M*: *sexp*; *N*: *sexp* |]
 ==> *List-rec (CONS M N) c h = h M N (List-rec N c h)*
apply (*rule List-rec-unfold [THEN trans]*)
apply (*simp add: pred-sexp-CONS-I2*)
done

lemmas *Rep-List-in-sexp* =
 subsetD [OF *range-Leaf-subset-sexp [THEN list-subset-sexp]*
Rep-List [THEN ListD]]

lemma *list-rec-Nil [simp]*: *list-rec Nil c h = c*
by (*simp add: list-rec-def ListI [THEN Abs-List-inverse] Nil-def*)

lemma *list-rec-Cons* [simp]: $\text{list-rec } (a\#l) \ c \ h = h \ a \ l \ (\text{list-rec } l \ c \ h)$
by (simp add: list-rec-def ListI [THEN Abs-List-inverse] Cons-def
Rep-List-inverse Rep-List [THEN ListD] inj-Leaf Rep-List-in-sexp)

lemma *List-rec-type*:
 $\llbracket M: \text{list}(A);$
 $A \leq \text{sexp};$
 $c: C(\text{NIL});$
 $\llbracket x \ y \ r. \llbracket x: A; \ y: \text{list}(A); \ r: C(y) \rrbracket \implies h \ x \ y \ r: C(\text{CONS } x \ y)$
 $\rrbracket \implies \text{List-rec } M \ c \ h : C(M :: 'a \ \text{item})$
apply (erule list.induct, simp)
apply (insert list-subset-sexp)
apply (subst List-rec-CONS, blast+)
done

lemma *Rep-map-Nil* [simp]: $\text{Rep-map } f \ \text{Nil} = \text{NIL}$
by (simp add: Rep-map-def)

lemma *Rep-map-Cons* [simp]:
 $\text{Rep-map } f \ (x\#xs) = \text{CONS}(f \ x) (\text{Rep-map } f \ xs)$
by (simp add: Rep-map-def)

lemma *Rep-map-type*: $(\llbracket x. f(x): A \rrbracket \implies \text{Rep-map } f \ xs: \text{list}(A))$
apply (simp add: Rep-map-def)
apply (rule list-induct, auto)
done

lemma *Abs-map-NIL* [simp]: $\text{Abs-map } g \ \text{NIL} = \text{Nil}$
by (simp add: Abs-map-def)

lemma *Abs-map-CONS* [simp]:
 $\llbracket M: \text{sexp}; \ N: \text{sexp} \rrbracket \implies \text{Abs-map } g \ (\text{CONS } M \ N) = g(M) \ \# \ \text{Abs-map } g \ N$
by (simp add: Abs-map-def)

lemma *def-list-rec-NilCons*:
 $\llbracket \llbracket xs. f(xs) = \text{list-rec } xs \ c \ h \rrbracket$
 $\implies f \ [] = c \ \& \ f(x\#xs) = h \ x \ xs \ (f \ xs)$
by simp


```

lemma Abs-map-inverse:
  [|  $M: \text{list}(A); A \leq \text{sexp}; \forall z. z: A \implies f(g(z)) = z$  |]
   $\implies \text{Rep-map } f (\text{Abs-map } g M) = M$ 
apply (erule list.induct, simp-all)
apply (insert list-subset-sexp)
apply (subst Abs-map-CONS, blast)
apply blast
apply simp
done

```

Better to have a single theorem with a conjunctive conclusion.

```

declare def-list-rec-NilCons [OF list-case-def, simp]

```

```

lemma expand-list-case:
   $P(\text{list-case } a f xs) = ((xs = [] \longrightarrow P a) \ \& \ (\exists y\ ys. xs = y \# ys \longrightarrow P(f\ y\ ys)))$ 
by (induct xs rule: list-induct) simp-all

```

```

declare def-list-rec-NilCons [OF null-def, simp]
declare def-list-rec-NilCons [OF hd-def, simp]
declare def-list-rec-NilCons [OF tl-def, simp]
declare def-list-rec-NilCons [OF ttl-def, simp]
declare def-list-rec-NilCons [OF append-def, simp]
declare def-list-rec-NilCons [OF member-def, simp]
declare def-list-rec-NilCons [OF map-def, simp]
declare def-list-rec-NilCons [OF filter-def, simp]
declare def-list-rec-NilCons [OF list-all-def, simp]

```

```

lemma def-nat-rec-0-eta:
  [|  $\forall n. f = \text{nat-rec } c\ h$  |]  $\implies f(0) = c$ 
by simp

```

```

lemma def-nat-rec-Suc-eta:
  [|  $\forall n. f = \text{nat-rec } c\ h$  |]  $\implies f(\text{Suc}(n)) = h\ n\ (f\ n)$ 
by simp

```

```

declare def-nat-rec-0-eta [OF nth-def, simp]
declare def-nat-rec-Suc-eta [OF nth-def, simp]

```

lemma *length-Nil* [simp]: $\text{length}([]) = 0$

by (*simp add: length-def*)

lemma *length-Cons* [simp]: $\text{length}(a\#xs) = \text{Suc}(\text{length}(xs))$

by (*simp add: length-def*)

lemma *append-assoc* [simp]: $(xs@ys)@zs = xs@(ys@zs)$

by (*induct xs rule: list-induct simp-all*)

lemma *append-Nil2* [simp]: $xs @ [] = xs$

by (*induct xs rule: list-induct simp-all*)

lemma *mem-append* [simp]: $x \text{ mem } (xs@ys) = (x \text{ mem } xs \mid x \text{ mem } ys)$

by (*induct xs rule: list-induct simp-all*)

lemma *mem-filter* [simp]: $x \text{ mem } [x \leftarrow xs. P\ x] = (x \text{ mem } xs \ \& \ P(x))$

by (*induct xs rule: list-induct simp-all*)

lemma *list-all-True* [simp]: $(\text{Alls } x:xs. \text{True}) = \text{True}$

by (*induct xs rule: list-induct simp-all*)

lemma *list-all-conj* [simp]:

$\text{list-all } p \ (xs@ys) = ((\text{list-all } p \ xs) \ \& \ (\text{list-all } p \ ys))$

by (*induct xs rule: list-induct simp-all*)

lemma *list-all-mem-conv*: $(\text{Alls } x:xs. P(x)) = (!x. x \text{ mem } xs \longrightarrow P(x))$

apply (*induct xs rule: list-induct*)

apply *simp-all*

apply *blast*

done

lemma *nat-case-dist* : $(!n. P\ n) = (P\ 0 \ \& \ (!n. P\ (\text{Suc } n)))$

apply *auto*

apply (*induct-tac n*)

apply *auto*

done

lemma *alls-P-eq-P-nth*: $(\text{Alls } u:A. P\ u) = (!n. n < \text{length } A \longrightarrow P(\text{nth } n\ A))$

apply (*induct-tac A rule: list-induct*)

apply *simp-all*

```

apply (rule trans)
apply (rule-tac [2] nat-case-dist [symmetric], simp-all)
done

```

```

lemma list-all-imp:
  [| !x. P x --> Q x; (Alls x:xs. P(x)) |] ==> (Alls x:xs. Q(x))
by (simp add: list-all-mem-conv)

```

```

lemma Abs-Rep-map:
  (!x. f(x): sexp) ==>
    Abs-map g (Rep-map f xs) = map (%t. g(f(t))) xs
apply (induct xs rule: list-induct)
apply (simp-all add: Rep-map-type list-sexp [THEN subsetD])
done

```

```

lemma map-ident [simp]: map(%x. x)(xs) = xs
by (induct xs rule: list-induct) simp-all

```

```

lemma map-append [simp]: map f (xs@ys) = map f xs @ map f ys
by (induct xs rule: list-induct) simp-all

```

```

lemma map-compose: map(f o g)(xs) = map f (map g xs)
apply (simp add: o-def)
apply (induct xs rule: list-induct)
apply simp-all
done

```

```

lemma mem-map-aux1 [rule-format]:
  x mem (map f q) --> (∃ y. y mem q & x = f y)
by (induct q rule: list-induct) auto

```

```

lemma mem-map-aux2 [rule-format]:
  (∃ y. y mem q & x = f y) --> x mem (map f q)
by (induct q rule: list-induct) auto

```

```

lemma mem-map: x mem (map f q) = (∃ y. y mem q & x = f y)
apply (rule iffI)
apply (erule mem-map-aux1)
apply (erule mem-map-aux2)
done

```

lemma *hd-append* [*rule-format*]: $A \sim = [] \dashrightarrow \text{hd}(A @ B) = \text{hd}(A)$
by (*induct A rule: list-induct*) *auto*

lemma *tl-append* [*rule-format*]: $A \sim = [] \dashrightarrow \text{tl}(A @ B) = \text{tl}(A) @ B$
by (*induct A rule: list-induct*) *auto*

lemma *take-Suc1* [*simp*]: $\text{take } [] (\text{Suc } x) = []$
by *simp*

lemma *take-Suc2* [*simp*]: $\text{take}(a \# xs)(\text{Suc } x) = a \# \text{take } xs \ x$
by *simp*

lemma *drop-0* [*simp*]: $\text{drop } xs \ 0 = xs$
by (*simp add: drop-def*)

lemma *drop-Suc1* [*simp*]: $\text{drop } [] (\text{Suc } x) = []$
apply (*induct x*)
apply (*simp-all add: drop-def*)
done

lemma *drop-Suc2* [*simp*]: $\text{drop}(a \# xs)(\text{Suc } x) = \text{drop } xs \ x$
by (*simp add: drop-def*)

lemma *copy-0* [*simp*]: $\text{copy } x \ 0 = []$
by (*simp add: copy-def*)

lemma *copy-Suc* [*simp*]: $\text{copy } x (\text{Suc } y) = x \# \text{copy } x \ y$
by (*simp add: copy-def*)

lemma *foldl-Nil* [*simp*]: $\text{foldl } f \ a \ [] = a$
by (*simp add: foldl-def*)

lemma *foldl-Cons* [*simp*]: $\text{foldl } f \ a (x \# xs) = \text{foldl } f \ (f \ a \ x) \ xs$
by (*simp add: foldl-def*)

lemma *foldr-Nil* [*simp*]: $\text{foldr } f \ a \ [] = a$
by (*simp add: foldr-def*)

lemma *foldr-Cons* [*simp*]: $\text{foldr } f \ z (x \# xs) = f \ x \ (\text{foldr } f \ z \ xs)$
by (*simp add: foldr-def*)

lemma *flat-Nil* [*simp*]: $\text{flat } [] = []$
by (*simp add: flat-def*)

lemma *flat-Cons* [*simp*]: $\text{flat } (x \# xs) = x \ @ \ \text{flat } xs$
by (*simp add: flat-def*)

lemma *rev-Nil* [*simp*]: $\text{rev } [] = []$
by (*simp add: rev-def*)

lemma *rev-Cons* [*simp*]: $\text{rev } (x \# xs) = \text{rev } xs \ @ \ [x]$
by (*simp add: rev-def*)

lemma *zipWith-Cons-Cons* [*simp*]:
 $\text{zipWith } f \ (a \# as, b \# bs) = f(a, b) \ # \ \text{zipWith } f \ (as, bs)$
by (*simp add: zipWith-def*)

lemma *zipWith-Nil-Nil* [*simp*]: $\text{zipWith } f \ ([], []) = []$
by (*simp add: zipWith-def*)

lemma *zipWith-Cons-Nil* [*simp*]: $\text{zipWith } f \ (x, []) = []$
by (*induct x rule: list-induct*) (*simp-all add: zipWith-def*)

lemma *zipWith-Nil-Cons* [*simp*]: $\text{zipWith } f \ ([], x) = []$
by (*simp add: zipWith-def*)

lemma *unzip-Nil* [*simp*]: $\text{unzip } [] = ([], [])$
by (*simp add: unzip-def*)

lemma *map-compose-ext*: $\text{map}(f \ o \ g) = ((\text{map } f) \ o \ (\text{map } g))$

```

apply (simp add: o-def)
apply (rule ext)
apply (simp add: map-compose [symmetric] o-def)
done

```

```

lemma map-flat: map f (flat S) = flat(map (map f) S)
by (induct S rule: list-induct) simp-all

```

```

lemma list-all-map-eq: (Alls u:xs. f(u) = g(u)) --> map f xs = map g xs
by (induct xs rule: list-induct) simp-all

```

```

lemma filter-map-d: filter p (map f xs) = map f (filter(p o f)(xs))
by (induct xs rule: list-induct) simp-all

```

```

lemma filter-compose: filter p (filter q xs) = filter(%x. p x & q x) xs
by (induct xs rule: list-induct) simp-all

```

```

lemma filter-append [rule-format, simp]:
  ∀ B. filter p (A @ B) = (filter p A @ filter p B)
by (induct A rule: list-induct) simp-all

```

```

lemma length-append: length(xs@ys) = length(xs)+length(ys)
by (induct xs rule: list-induct) simp-all

```

```

lemma length-map: length(map f xs) = length(xs)
by (induct xs rule: list-induct) simp-all

```

```

lemma take-Nil [simp]: take [] n = []
by (induct n) simp-all

```

```

lemma take-take-eq [simp]: ∀ n. take (take xs n) n = take xs n
apply (induct xs rule: list-induct)
apply simp-all
apply (rule allI)
apply (induct-tac n)
apply auto
done

```

```

lemma take-take-Suc-eq1 [rule-format]:
  ∀ n. take (take xs (Suc(n+m))) n = take xs n
apply (induct-tac xs rule: list-induct)
apply simp-all
apply (rule allI)

```

```

apply (induct-tac n)
apply auto
done

```

```

declare take-Suc [simp del]

```

```

lemma take-take-1: take (take xs (n+m)) n = take xs n
apply (induct m)
apply (simp-all add: take-take-Suc-eq1)
done

```

```

lemma take-take-Suc-eq2 [rule-format]:
   $\forall n. \text{take (take xs n) (Suc(n+m))} = \text{take xs n}$ 
apply (induct-tac xs rule: list-induct)
apply simp-all
apply (rule allI)
apply (induct-tac n)
apply auto
done

```

```

lemma take-take-2: take(take xs n)(n+m) = take xs n
apply (induct m)
apply (simp-all add: take-take-Suc-eq2)
done

```

```

lemma drop-Nil [simp]: drop [] n = []
by (induct n) auto

```

```

lemma drop-drop [rule-format]:  $\forall xs. \text{drop (drop xs m) n} = \text{drop xs (m+n)}$ 
apply (induct-tac m)
apply auto
apply (induct-tac xs rule: list-induct)
apply auto
done

```

```

lemma take-drop [rule-format]:  $\forall xs. (\text{take xs n}) @ (\text{drop xs n}) = xs$ 
apply (induct-tac n)
apply auto
apply (induct-tac xs rule: list-induct)
apply auto
done

```

```

lemma copy-copy: copy x n @ copy x m = copy x (n+m)
by (induct n) auto

```

```

lemma length-copy: length(copy x n) = n

```

by (*induct n*) *auto*

lemma *length-take* [*rule-format*, *simp*]:
 $\forall xs. \text{length}(\text{take } xs \ n) = \min (\text{length } xs) \ n$
apply (*induct n*)
apply *auto*
apply (*induct-tac xs rule: list-induct*)
apply *auto*
done

lemma *length-take-drop*: $\text{length}(\text{take } A \ k) + \text{length}(\text{drop } A \ k) = \text{length}(A)$
by (*simp only: length-append [symmetric] take-drop*)

lemma *take-append* [*rule-format*]: $\forall A. \text{length}(A) = n \dashv\vdash \text{take}(A @ B) \ n = A$
apply (*induct n*)
apply (*rule allI*)
apply (*rule-tac [2] allI*)
apply (*induct-tac A rule: list-induct*)
apply (*induct-tac [3] A rule: list-induct, simp-all*)
done

lemma *take-append2* [*rule-format*]:
 $\forall A. \text{length}(A) = n \dashv\vdash \text{take}(A @ B) \ (n+k) = A @ \text{take } B \ k$
apply (*induct n*)
apply (*rule allI*)
apply (*rule-tac [2] allI*)
apply (*induct-tac A rule: list-induct*)
apply (*induct-tac [3] A rule: list-induct, simp-all*)
done

lemma *take-map* [*rule-format*]: $\forall n. \text{take } (\text{map } f \ A) \ n = \text{map } f \ (\text{take } A \ n)$
apply (*induct A rule: list-induct*)
apply *simp-all*
apply (*rule allI*)
apply (*induct-tac n*)
apply *simp-all*
done

lemma *drop-append* [*rule-format*]: $\forall A. \text{length}(A) = n \dashv\vdash \text{drop}(A @ B) \ n = B$
apply (*induct n*)
apply (*rule allI*)
apply (*rule-tac [2] allI*)
apply (*induct-tac A rule: list-induct*)
apply (*induct-tac [3] A rule: list-induct*)
apply *simp-all*
done

lemma *drop-append2* [*rule-format*]:
 $\forall A. \text{length}(A) = n \dashv\vdash \text{drop}(A @ B) \ (n+k) = \text{drop } B \ k$


```

apply (induct n)
apply (rule allI)
apply (rule-tac [2] allI)
apply (induct-tac A rule: list-induct)
apply (induct-tac [3] A rule: list-induct)
apply simp-all
done

```

```

lemma drop-all [rule-format]:  $\forall A. \text{length}(A) = n \dashv\vdash \text{drop } A \ n = []$ 
apply (induct n)
apply (rule allI)
apply (rule-tac [2] allI)
apply (induct-tac A rule: list-induct)
apply (induct-tac [3] A rule: list-induct)
apply auto
done

```

```

lemma drop-map [rule-format]:  $\forall n. \text{drop } (\text{map } f \ A) \ n = \text{map } f \ (\text{drop } A \ n)$ 
apply (induct A rule: list-induct)
apply simp-all
apply (rule allI)
apply (induct-tac n)
apply simp-all
done

```

```

lemma take-all [rule-format]:  $\forall A. \text{length}(A) = n \dashv\vdash \text{take } A \ n = A$ 
apply (induct n)
apply (rule allI)
apply (rule-tac [2] allI)
apply (induct-tac A rule: list-induct)
apply (induct-tac [3] A rule: list-induct)
apply auto
done

```

```

lemma foldl-single:  $\text{foldl } f \ a \ [b] = f \ a \ b$ 
by simp-all

```

```

lemma foldl-append [simp]:
 $\bigwedge a. \text{foldl } f \ a \ (A \ @ \ B) = \text{foldl } f \ (\text{foldl } f \ a \ A) \ B$ 
by (induct A rule: list-induct) simp-all

```

```

lemma foldl-map:
 $\bigwedge e. \text{foldl } f \ e \ (\text{map } g \ S) = \text{foldl } (\%x \ y. f \ x \ (g \ y)) \ e \ S$ 
by (induct S rule: list-induct) simp-all

```

```

lemma foldl-neutr-distr [rule-format]:
  assumes r-neutr:  $\forall a. f \ a \ e = a$ 
  and r-neutl:  $\forall a. f \ e \ a = a$ 

```

and *assoc*: $\forall a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c$
shows $\forall y. f\ y\ (foldl\ f\ e\ A) = foldl\ f\ y\ A$
apply (*induct* *A* *rule*: *list-induct*)
apply (*simp-all* *add*: *r-neutr* *r-neutl*, *clarify*)
apply (*erule* *all-dupE*)
apply (*rule* *trans*)
prefer 2 **apply** *assumption*
apply (*simp* (*no-asm-use*) *add*: *assoc* [*THEN spec*, *THEN spec*, *THEN spec*, *THEN sym*])
apply *simp*
done

lemma *foldl-append-sym*:
 $[[\ !a. f\ a\ e = a; \ !a. f\ e\ a = a;$
 $\ !a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c \]]$
 $\implies foldl\ f\ e\ (A\ @\ B) = f(foldl\ f\ e\ A)(foldl\ f\ e\ B)$
apply (*rule* *trans*)
apply (*rule* *foldl-append*)
apply (*rule* *sym*)
apply (*rule* *foldl-neutr-distr*, *auto*)
done

lemma *foldr-append* [*rule-format*, *simp*]:
 $\forall a. foldr\ f\ a\ (A\ @\ B) = foldr\ f\ (foldr\ f\ a\ B)\ A$
by (*induct* *A* *rule*: *list-induct*) *simp-all*

lemma *foldr-map*: $\bigwedge e. foldr\ f\ e\ (map\ g\ S) = foldr\ (f\ o\ g)\ e\ S$
by (*induct* *S* *rule*: *list-induct*) (*simp-all* *add*: *o-def*)

lemma *foldr-Un-eq-UN*: $foldr\ op\ Un\ \{\} S = (UN\ X: \{t. t\ mem\ S\}.X)$
by (*induct* *S* *rule*: *list-induct*) *auto*

lemma *foldr-neutr-distr*:
 $[[\ !a. f\ e\ a = a; \ !a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c \]]$
 $\implies foldr\ f\ y\ S = f\ (foldr\ f\ e\ S)\ y$
by (*induct* *S* *rule*: *list-induct*) *auto*

lemma *foldr-append2*:
 $[[\ !a. f\ e\ a = a; \ !a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c \]]$
 $\implies foldr\ f\ e\ (A\ @\ B) = f\ (foldr\ f\ e\ A)\ (foldr\ f\ e\ B)$
apply *auto*
apply (*rule* *foldr-neutr-distr*)
apply *auto*
done

lemma *foldr-flat*:
 $[[\ !a. f\ e\ a = a; \ !a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c \] \implies$
 $foldr\ f\ e\ (flat\ S) = (foldr\ f\ e)(map\ (foldr\ f\ e)\ S)$

```

apply (induct S rule: list-induct)
apply (simp-all del: foldr-append add: foldr-append2)
done

```

```

lemma list-all-map: (Alls x:map f xs .P(x)) = (Alls x:xs.(P o f)(x))
by (induct xs rule: list-induct) auto

```

```

lemma list-all-and:
  (Alls x:xs. P(x)&Q(x)) = ((Alls x:xs. P(x))&(Alls x:xs. Q(x)))
by (induct xs rule: list-induct) auto

```

```

lemma nth-map [rule-format]:
   $\forall i. i < \text{length}(A) \implies \text{nth } i (\text{map } f A) = f(\text{nth } i A)$ 
apply (induct A rule: list-induct)
apply simp-all
apply (rule allI)
apply (induct-tac i)
apply auto
done

```

```

lemma nth-app-cancel-right [rule-format]:
   $\forall i. i < \text{length}(A) \implies \text{nth } i (A @ B) = \text{nth } i A$ 
apply (induct A rule: list-induct)
apply simp-all
apply (rule allI)
apply (induct-tac i)
apply simp-all
done

```

```

lemma nth-app-cancel-left [rule-format]:
   $\forall n. n = \text{length}(A) \implies \text{nth}(n+i)(A @ B) = \text{nth } i B$ 
by (induct A rule: list-induct) simp-all

```

```

lemma flat-append [simp]: flat(xs@ys) = flat(xs) @ flat(ys)
by (induct xs rule: list-induct) auto

```

```

lemma filter-flat: filter p (flat S) = flat(map (filter p) S)
by (induct S rule: list-induct) auto

```

```

lemma rev-append [simp]: rev(xs@ys) = rev(ys) @ rev(xs)
by (induct xs rule: list-induct) auto

```

```

lemma rev-rev-ident [simp]: rev(rev l) = l
by (induct l rule: list-induct) auto

lemma rev-flat: rev(flat ls) = flat (map rev (rev ls))
by (induct ls rule: list-induct) auto

lemma rev-map-distrib: rev(map f l) = map f (rev l)
by (induct l rule: list-induct) auto

lemma foldl-rev: foldl f b (rev l) = foldr ( $\%x\ y. f\ y\ x$ ) b l
by (induct l rule: list-induct) auto

lemma foldr-rev: foldr f b (rev l) = foldl ( $\%x\ y. f\ y\ x$ ) b l
apply (rule sym)
apply (rule trans)
apply (rule-tac [2] foldl-rev)
apply simp
done

end

```

12 Definition of type llist by a greatest fixed point

theory *LList* **imports** *SList* **begin**

coinductive-set

llist :: '*a item set* => '*a item set*

for *A* :: '*a item set*

where

NIL-I: *NIL* ∈ *llist*(*A*)

| *CONS-I*: $[\mid a \in A; M \in \textit{llist}(A) \mid] \implies \textit{CONS}\ a\ M \in \textit{llist}(A)$

coinductive-set

LListD :: ('*a item* * '*a item*)*set* => ('*a item* * '*a item*)*set*

for *r* :: ('*a item* * '*a item*)*set*

where

NIL-I: (*NIL*, *NIL*) ∈ *LListD*(*r*)

| *CONS-I*: $[\mid (a,b) \in r; (M,N) \in \textit{LListD}(r) \mid] \implies (\textit{CONS}\ a\ M, \textit{CONS}\ b\ N) \in \textit{LListD}(r)$

typedef (*LList*)

'*a llist* = *llist*(*range Leaf*) :: '*a item set*

by (*blast intro: llist.NIL-I*)

definition

list-Fun :: ['*a item set*, '*a item set*] => '*a item set* **where**

— Now used exclusively for abbreviating the coinduction rule

$list\text{-}Fun\ A\ X = \{z. z = NIL \mid (\exists M\ a. z = CONS\ a\ M \ \&\ a \in A \ \&\ M \in X)\}$

definition

$LListD\text{-}Fun ::$

$[(\text{'a item} * \text{'a item})set, (\text{'a item} * \text{'a item})set] \Rightarrow$
 $(\text{'a item} * \text{'a item})set \textbf{ where}$

$LListD\text{-}Fun\ r\ X =$

$\{z. z = (NIL, NIL) \mid$
 $(\exists M\ N\ a\ b. z = (CONS\ a\ M, CONS\ b\ N) \ \&\ (a, b) \in r \ \&\ (M, N) \in X)\}$

definition

$LNil :: \text{'a llist} \textbf{ where}$

— abstract constructor

$LNil = Abs\text{-}LList\ NIL$

definition

$LCons :: [\text{'a}, \text{'a llist}] \Rightarrow \text{'a llist} \textbf{ where}$

— abstract constructor

$LCons\ x\ xs = Abs\text{-}LList\ (CONS\ (Leaf\ x)\ (Rep\text{-}LList\ xs))$

definition

$llist\text{-}case :: [\text{'b}, [\text{'a}, \text{'a llist}] \Rightarrow \text{'b}, \text{'a llist}] \Rightarrow \text{'b} \textbf{ where}$

$llist\text{-}case\ c\ d\ l =$

$List\text{-}case\ c\ (\%x\ y. d\ (inv\ Leaf\ x)\ (Abs\text{-}LList\ y))\ (Rep\text{-}LList\ l)$

definition

$LList\text{-}corec\text{-}fun :: [nat, \text{'a} \Rightarrow (\text{'b item} * \text{'a})\ option, \text{'a}] \Rightarrow \text{'b item} \textbf{ where}$

$LList\text{-}corec\text{-}fun\ k\ f ==$

$nat\text{-}rec\ (\%x. \{\})$

$(\%j\ r\ x. case\ f\ x\ of\ None \Rightarrow NIL$
 $\mid Some(z, w) \Rightarrow CONS\ z\ (r\ w))$

k

definition

$LList\text{-}corec :: [\text{'a}, \text{'a} \Rightarrow (\text{'b item} * \text{'a})\ option] \Rightarrow \text{'b item} \textbf{ where}$

$LList\text{-}corec\ a\ f = (\bigcup k. LList\text{-}corec\text{-}fun\ k\ f\ a)$

definition

$llist\text{-}corec :: [\text{'a}, \text{'a} \Rightarrow (\text{'b} * \text{'a})\ option] \Rightarrow \text{'b llist} \textbf{ where}$

$llist\text{-}corec\ a\ f =$

$Abs\text{-}LList\ (LList\text{-}corec\ a$

$(\%z. case\ f\ z\ of\ None \Rightarrow None$
 $\mid Some(v, w) \Rightarrow Some(Leaf(v), w)))$

definition

$llistD\text{-}Fun :: (\text{'a llist} * \text{'a llist})set \Rightarrow (\text{'a llist} * \text{'a llist})set \textbf{ where}$

$llistD\text{-}Fun(r) =$

$prod\text{-}fun\ Abs\text{-}LList\ Abs\text{-}LList\ '$

LListD-Fun (*Id-on*(*range Leaf*))
(*prod-fun Rep-LList Rep-LList* ‘ *r*)

The case syntax for type ‘*a llist*

syntax

LNil :: *logic*
LCons :: *logic*

translations

case p of LNil => a | LCons x l => b == CONST llist-case a (%x l. b) p

12.0.2 Sample function definitions. Item-based ones start with *L*

definition

Lmap :: ('*a item* => '*b item*) => ('*a item* => '*b item*) **where**
Lmap f M = LList-corec M (List-case None (%x M'. Some((f(x), M'))))

definition

lmap :: ('*a* => '*b*) => ('*a llist* => '*b llist*) **where**
lmap f l = llist-corec l (%z. case z of LNil => None
| LCons y z => Some(f(y), z))

definition

iterates :: ['*a* => '*a*, '*a*] => '*a llist* **where**
iterates f a = llist-corec a (%x. Some((x, f(x))))

definition

Lconst :: '*a item* => '*a item* **where**
Lconst(M) == lfp(%N. CONS M N)

definition

Lappend :: ['*a item*, '*a item*] => '*a item* **where**
Lappend M N = LList-corec (M,N)
(split(List-case (List-case None (%N1 N2. Some((N1, (NIL,N2))))
(%M1 M2 N. Some((M1, (M2,N))))))

definition

lappend :: ['*a llist*, '*a llist*] => '*a llist* **where**
lappend l n = llist-corec (l,n)
(split(llist-case (llist-case None (%n1 n2. Some((n1, (LNil,n2))))
(%l1 l2 n. Some((l1, (l2,n))))))

Append generates its result by applying *f*, where *f*((NIL,NIL)) = None
f((NIL, CONS N1 N2)) = Some((N1, (NIL,N2))) *f*((CONS M1 M2, N)) =
Some((M1, (M2,N)))

SHOULD *LListD-Fun-CONS-I*, etc., be equations (for rewriting)?

lemmas *UN1-I = UNIV-I [THEN UN-I, standard]*

12.0.3 Simplification

declare *option.split* [*split*]

This justifies using *llist* in other recursive type definitions

```
lemma llist-mono:  
  assumes subset:  $A \subseteq B$   
  shows  $llist\ A \subseteq llist\ B$   
proof  
  fix x  
  assume  $x \in llist\ A$   
  then show  $x \in llist\ B$   
  proof coinduct  
    case llist  
    then show ?case using subset  
    by cases blast+  
  qed  
qed
```

```
lemma llist-unfold:  $llist(A) = usum\ \{Numb(0)\}\ (uprod\ A\ (llist\ A))$   
  by (fast intro!: llist.intros [unfolded NIL-def CONS-def]  
      elim: llist.cases [unfolded NIL-def CONS-def])
```

12.1 Type checking by coinduction

... using *list-Fun* THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

```
lemma llist-coinduct:  
  [ $M \in X; X \subseteq list-Fun\ A\ (X\ Un\ llist(A))$ ] ==>  $M \in llist(A)$   
apply (simp add: list-Fun-def)  
apply (erule llist.coinduct)  
apply (blast intro: elim.)  
done
```

```
lemma list-Fun-NIL-I [iff]:  $NIL \in list-Fun\ A\ X$   
by (simp add: list-Fun-def NIL-def)
```

```
lemma list-Fun-CONS-I [intro!,simp]:  
  [ $M \in A; N \in X$ ] ==>  $CONS\ M\ N \in list-Fun\ A\ X$   
by (simp add: list-Fun-def CONS-def)
```

Utilise the “strong” part, i.e. *gfp*(*f*)

```
lemma list-Fun-llist-I:  $M \in llist(A) ==> M \in list-Fun\ A\ (X\ Un\ llist(A))$   
apply (unfold list-Fun-def)  
apply (erule llist.cases)  
apply auto  
done
```

12.2 *LList-corec* satisfies the desired recursion equation

A continuity result?

```
lemma CONS-UN1: CONS M ( $\bigcup x. f(x)$ ) = ( $\bigcup x. \text{CONS } M (f x)$ )
apply (simp add: CONS-def In1-UN1 Scons-UN1-y)
done
```

```
lemma CONS-mono: [ $M \subseteq M'$ ;  $N \subseteq N'$ ] ==> CONS M N  $\subseteq$  CONS M' N'
apply (simp add: CONS-def In1-mono Scons-mono)
done
```

```
declare LList-corec-fun-def [THEN def-nat-rec-0, simp]
      LList-corec-fun-def [THEN def-nat-rec-Suc, simp]
```

12.2.1 The directions of the equality are proved separately

```
lemma LList-corec-subset1:
  LList-corec a f  $\subseteq$ 
    (case f a of None ==> NIL | Some(z,w) ==> CONS z (LList-corec w f))
apply (unfold LList-corec-def)
apply (rule UN-least)
apply (case-tac k)
apply (simp-all (no-asm-simp))
apply (rule allI impI subset-refl [THEN CONS-mono] UNIV-I [THEN UN-upper])+
done
```

```
lemma LList-corec-subset2:
  (case f a of None ==> NIL | Some(z,w) ==> CONS z (LList-corec w f))  $\subseteq$ 
    LList-corec a f
apply (simp add: LList-corec-def)
apply (simp add: CONS-UN1, safe)
apply (rule-tac a=Suc(?k) in UN-I, simp, simp)+
done
```

the recursion equation for *LList-corec* – NOT SUITABLE FOR REWRITING!

```
lemma LList-corec:
  LList-corec a f =
    (case f a of None ==> NIL | Some(z,w) ==> CONS z (LList-corec w f))
by (rule equalityI LList-corec-subset1 LList-corec-subset2)+
```

definitional version of same

```
lemma def-LList-corec:
  [ $!!x. h(x) = \text{LList-corec } x f$ ]
  ==>  $h(a) = (\text{case } f \text{ } a \text{ of } \text{None} ==> \text{NIL} \mid \text{Some}(z,w) ==> \text{CONS } z (h w))$ 
by (simp add: LList-corec)
```

A typical use of co-induction to show membership in the *gfp*. Bisimulation is *range*($\%x. \text{LList-corec } x f$)


```

lemma LList-corec-type: LList-corec  $a f \in \text{llist UNIV}$ 
apply (rule-tac  $X = \text{range } (\%x. \text{LList-corec } x ?g)$  in llist-coinduct)
apply (rule rangeI, safe)
apply (subst LList-corec, simp)
done

```

12.3 *llist* equality as a *gfp*; the bisimulation principle

This theorem is actually used, unlike the many similar ones in ZF

```

lemma LListD-unfold: LListD  $r = \text{dsum } (\text{Id-on } \{\text{Numb } 0\}) (\text{dprod } r (\text{LListD } r))$ 
  by (fast intro!: LListD.intros [unfolded NIL-def CONS-def]
      elim: LListD.cases [unfolded NIL-def CONS-def])

```

```

lemma LListD-implies-ntrunc-equality [rule-format]:
   $\forall M N. (M, N) \in \text{LListD}(\text{Id-on } A) \longrightarrow \text{ntrunc } k M = \text{ntrunc } k N$ 
apply (induct-tac  $k$  rule: nat-less-induct)
apply (safe del: equalityI)
apply (erule LListD.cases)
apply (safe del: equalityI)
apply (case-tac  $n$ , simp)
apply (rename-tac  $n'$ )
apply (case-tac  $n'$ )
apply (simp-all add: CONS-def less-Suc-eq)
done

```

The domain of the *LListD* relation

```

lemma Domain-LListD:
   $\text{Domain } (\text{LListD}(\text{Id-on } A)) \subseteq \text{llist}(A)$ 
apply (rule subsetI)
apply (erule llist.coinduct)
apply (simp add: NIL-def CONS-def)
apply (drule-tac  $P = \%x. xa \in \text{Domain } x$  in LListD-unfold [THEN subst], auto)
done

```

This inclusion justifies the use of coinduction to show $M = N$

```

lemma LListD-subset-Id-on: LListD(Id-on  $A$ )  $\subseteq \text{Id-on}(\text{llist}(A))$ 
apply (rule subsetI)
apply (rule-tac  $p = x$  in PairE, safe)
apply (rule Id-on-eqI)
apply (rule LListD-implies-ntrunc-equality [THEN ntrunc-equality], assumption)
apply (erule DomainI [THEN Domain-LListD [THEN subsetD]])
done

```

12.3.1 Coinduction, using *LListD-Fun*

THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

```

lemma LListD-Fun-mono:  $A \subseteq B \implies \text{LListD-Fun } r A \subseteq \text{LListD-Fun } r B$ 
apply (simp add: LListD-Fun-def)

```

apply (*assumption* | *rule basic-monos*)+
done

lemma *LListD-coinduct*:

$[[M \in X; X \subseteq \text{LListD-Fun } r (X \text{ Un } \text{LListD}(r))]] \implies M \in \text{LListD}(r)$
apply (*cases M*)
apply (*simp add: LListD-Fun-def*)
apply (*erule LListD.coinduct*)
apply (*auto*)
done

lemma *LListD-Fun-NIL-I*: $(\text{NIL}, \text{NIL}) \in \text{LListD-Fun } r s$
by (*simp add: LListD-Fun-def NIL-def*)

lemma *LListD-Fun-CONS-I*:

$[[x \in A; (M, N):s]] \implies (\text{CONS } x M, \text{CONS } x N) \in \text{LListD-Fun } (\text{Id-on } A) s$
by (*simp add: LListD-Fun-def CONS-def, blast*)

Utilise the "strong" part, i.e. $\text{gfp}(f)$

lemma *LListD-Fun-LListD-I*:

$M \in \text{LListD}(r) \implies M \in \text{LListD-Fun } r (X \text{ Un } \text{LListD}(r))$
apply (*cases M*)
apply (*simp add: LListD-Fun-def*)
apply (*erule LListD.cases*)
apply *auto*
done

This converse inclusion helps to strengthen *LList-equalityI*

lemma *Id-on-subset-LListD*: $\text{Id-on}(\text{llist}(A)) \subseteq \text{LListD}(\text{Id-on } A)$

apply (*rule subsetI*)
apply (*erule LListD-coinduct*)
apply (*rule subsetI*)
apply (*erule Id-onE*)
apply (*erule ssubst*)
apply (*erule llist.cases*)
apply (*simp-all add: Id-onI LListD-Fun-NIL-I LListD-Fun-CONS-I*)
done

lemma *LListD-eq-Id-on*: $\text{LListD}(\text{Id-on } A) = \text{Id-on}(\text{llist}(A))$

apply (*rule equalityI LListD-subset-Id-on Id-on-subset-LListD*)
done

lemma *LListD-Fun-Id-on-I*: $M \in \text{llist}(A) \implies (M, M) \in \text{LListD-Fun } (\text{Id-on } A)$
 $(X \text{ Un } \text{Id-on}(\text{llist}(A)))$

apply (*rule LListD-eq-Id-on [THEN subst]*)
apply (*rule LListD-Fun-LListD-I*)
apply (*simp add: LListD-eq-Id-on Id-onI*)
done

12.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace A by some particular set, like $\{x. \text{True}\}$???

```

lemma LList-equalityI:
  [| (M,N) ∈ r; r ⊆ LListD-Fun (Id-on A) (r Un Id-on(lList(A))) |]
  ==> M=N
apply (rule LListD-subset-Id-on [THEN subsetD, THEN Id-onE])
apply (erule LListD-coinduct)
apply (simp add: LListD-eq-Id-on, safe)
done

```

12.4 Finality of $\text{lList}(A)$: Uniqueness of functions defined by corecursion

We must remove *Pair-eq* because it may turn an instance of reflexivity $(h1\ b, h2\ b) = (h1\ ?x17, h2\ ?x17)$ into a conjunction! (or strengthen the Solver?)

```

declare Pair-eq [simp del]

```

abstract proof using a bisimulation

```

lemma LList-corec-unique:
  [| !!x. h1(x) = (case f x of None => NIL | Some(z,w) => CONS z (h1 w));
    !!x. h2(x) = (case f x of None => NIL | Some(z,w) => CONS z (h2 w)) |]
  ==> h1=h2
apply (rule ext)

```

next step avoids an unknown (and flexflex pair) in simplification

```

apply (rule-tac A = UNIV and r = range(%u. (h1 u, h2 u))
  in LList-equalityI)
apply (rule rangeI, safe)
apply (simp add: LListD-Fun-NIL-I UNIV-I [THEN LListD-Fun-CONS-I])
done

```

```

lemma equals-LList-corec:
  [| !!x. h(x) = (case f x of None => NIL | Some(z,w) => CONS z (h w)) |]
  ==> h = (%x. LList-corec x f)
by (simp add: LList-corec-unique LList-corec)

```

12.4.1 Obsolete proof of *LList-corec-unique*: complete induction, not coinduction

```

lemma ntrunc-one-CONS [simp]: ntrunc (Suc 0) (CONS M N) = {}
by (simp add: CONS-def ntrunc-one-In1)

```

```

lemma ntrunc-CONS [simp]:
  ntrunc (Suc(Suc(k))) (CONS M N) = CONS (ntrunc k M) (ntrunc k N)
by (simp add: CONS-def)

```

```

lemma
  assumes prem1:
    !!x. h1 x = (case f x of None => NIL | Some(z,w) => CONS z (h1 w))
  and prem2:
    !!x. h2 x = (case f x of None => NIL | Some(z,w) => CONS z (h2 w))
  shows h1=h2
apply (rule ntrunc-equality [THEN ext])
apply (rule-tac x = x in spec)
apply (induct-tac k rule: nat-less-induct)
apply (rename-tac n)
apply (rule allI)
apply (subst prem1)
apply (subst prem2, simp)
apply (intro strip)
apply (case-tac n)
apply (rename-tac [2] m)
apply (case-tac [2] m, simp-all)
done

```

12.5 Lconst: defined directly by lfp

But it could be defined by corecursion.

```

lemma Lconst-fun-mono: mono(CONS(M))
apply (rule monoI subset-refl CONS-mono)
apply assumption
done

```

$$Lconst(M) = CONS\ M\ (Lconst\ M)$$

```

lemmas Lconst = Lconst-fun-mono [THEN Lconst-def [THEN def-lfp-unfold]]

```

A typical use of co-induction to show membership in the gfp. The containing set is simply the singleton $\{Lconst(M)\}$.

```

lemma Lconst-type:  $M \in A \implies Lconst(M) : llist(A)$ 
apply (rule singletonI [THEN llist-coinduct], safe)
apply (rule-tac  $P = \%u. u \in ?A$  in Lconst [THEN ssubst])
apply (assumption | rule list-Fun-CONS-I singletonI UnI1)
done

```

```

lemma Lconst-eq-LList-corec:  $Lconst(M) = LList-corec\ M\ (\%x. Some(x,x))$ 
apply (rule equals-LList-corec [THEN fun-cong], simp)
apply (rule Lconst)
done

```

Thus we could have used gfp in the definition of Lconst

```

lemma gfp-Lconst-eq-LList-corec:  $gfp(\%N. CONS\ M\ N) = LList-corec\ M\ (\%x. Some(x,x))$ 
apply (rule equals-LList-corec [THEN fun-cong], simp)

```

apply (rule *Lconst-fun-mono* [THEN *gfp-unfold*])
done

12.6 Isomorphisms

lemma *LListI*: $x \in \text{llist } (\text{range } \text{Leaf}) \implies x \in \text{LList}$
by (simp add: *LList-def*)

lemma *LListD*: $x \in \text{LList} \implies x \in \text{llist } (\text{range } \text{Leaf})$
by (simp add: *LList-def*)

12.6.1 Distinctness of constructors

lemma *LCons-not-LNil* [iff]: $\sim \text{LCons } x \text{ } xs = \text{LNil}$
apply (simp add: *LNil-def* *LCons-def*)
apply (subst *Abs-LList-inject*)
apply (rule *llist.intros* *CONS-not-NIL* *rangeI* *LListI* *Rep-LList* [THEN *LListD*])+
done

lemmas *LNil-not-LCons* [iff] = *LCons-not-LNil* [THEN *not-sym*, *standard*]

12.6.2 llist constructors

lemma *Rep-LList-LNil*: $\text{Rep-LList } \text{LNil} = \text{NIL}$
apply (simp add: *LNil-def*)
apply (rule *llist.NIL-I* [THEN *LListI*, THEN *Abs-LList-inverse*])
done

lemma *Rep-LList-LCons*: $\text{Rep-LList}(\text{LCons } x \text{ } l) = \text{CONS } (\text{Leaf } x) (\text{Rep-LList } l)$
apply (simp add: *LCons-def*)
apply (rule *llist.CONC-I* [THEN *LListI*, THEN *Abs-LList-inverse*]
 $\text{rangeI } \text{Rep-LList}$ [THEN *LListD*])+
done

12.6.3 Injectiveness of CONS and LCons

lemma *CONS-CONS-eq2*: $(\text{CONS } M \text{ } N = \text{CONS } M' \text{ } N') = (M = M' \ \& \ N = N')$
apply (simp add: *CONS-def*)
done

lemmas *CONS-inject* = *CONS-CONS-eq* [THEN *iffD1*, THEN *conjE*, *standard*]

For reasoning about abstract llist constructors

declare *Rep-LList* [THEN *LListD*, *intro*] *LListI* [*intro*]
declare *llist.intros* [*intro*]

lemma *LCons-LCons-eq* [iff]: $(\text{LCons } x \text{ } xs = \text{LCons } y \text{ } ys) = (x = y \ \& \ xs = ys)$
apply (simp add: *LCons-def*)
apply (subst *Abs-LList-inject*)
apply (auto simp add: *Rep-LList-inject*)

done

lemma *CONS-D2*: $CONS\ M\ N \in llist(A) \implies M \in A \ \& \ N \in llist(A)$
apply (*erule llist.cases*)
apply (*erule CONS-neq-NIL, fast*)
done

12.7 Reasoning about $llist(A)$

A special case of *list-equality* for functions over lazy lists

lemma *LList-fun-equalityI*:

$$\begin{aligned} & [| M \in llist(A); \ g(NIL): llist(A); \\ & \quad f(NIL)=g(NIL); \\ & \quad !!x\ l. [| x \in A; \ l \in llist(A) |] \implies \\ & \quad \quad (f(CONS\ x\ l), g(CONS\ x\ l)) \in \\ & \quad \quad \quad LListD-Fun\ (Id-on\ A)\ ((\%u.(f(u), g(u)))\ llist(A)\ Un \\ & \quad \quad \quad Id-on(llist(A))) \\ & |] \implies f(M) = g(M) \end{aligned}$$

apply (*rule LList-equalityI*)
apply (*erule imageI*)
apply (*rule image-subsetI*)
apply (*erule-tac a=x in llist.cases*)
apply (*erule ssbst, erule ssbst, erule LListD-Fun-Id-on-I, blast*)
done

12.8 The functional $Lmap$

lemma *Lmap-NIL* [*simp*]: $Lmap\ f\ NIL = NIL$
by (*rule Lmap-def [THEN def-LList-corec, THEN trans], simp*)

lemma *Lmap-CONS* [*simp*]: $Lmap\ f\ (CONS\ M\ N) = CONS\ (f\ M)\ (Lmap\ f\ N)$
by (*rule Lmap-def [THEN def-LList-corec, THEN trans], simp*)

Another type-checking proof by coinduction

lemma *Lmap-type*:

$$[| M \in llist(A); \ !!x. x \in A \implies f(x):B |] \implies Lmap\ f\ M \in llist(B)$$

apply (*erule imageI [THEN llist-coinduct], safe*)
apply (*erule llist.cases, simp-all*)
done

This type checking rule synthesises a sufficiently large set for f

lemma *Lmap-type2*: $M \in llist(A) \implies Lmap\ f\ M \in llist(f'A)$
apply (*erule Lmap-type*)
apply (*erule imageI*)
done

12.8.1 Two easy results about *Lmap*

```
lemma Lmap-compose:  $M \in \text{llist}(A) \implies \text{Lmap } (f \circ g) \ M = \text{Lmap } f \ (\text{Lmap } g \ M)$   
apply (simp add: o-def)  
apply (drule imageI)  
apply (erule LList-equalityI, safe)  
apply (erule llist.cases, simp-all)  
apply (rule LListD-Fun-NIL-I imageI UnI1 rangeI [THEN LListD-Fun-CONS-I, of - - - f]) +  
apply assumption  
done
```

```
lemma Lmap-ident:  $M \in \text{llist}(A) \implies \text{Lmap } (\%x. x) \ M = M$   
apply (drule imageI)  
apply (erule LList-equalityI, safe)  
apply (erule llist.cases, simp-all)  
apply (rule LListD-Fun-NIL-I imageI UnI1 rangeI [THEN LListD-Fun-CONS-I, of - - - %x. x]) +  
apply assumption  
done
```

12.9 *Lappend* – its two arguments cause some complications!

```
lemma Lappend-NIL-NIL [simp]:  $\text{Lappend } \text{NIL } \text{NIL} = \text{NIL}$   
apply (simp add: Lappend-def)  
apply (rule LList-corec [THEN trans], simp)  
done
```

```
lemma Lappend-NIL-CONS [simp]:  
   $\text{Lappend } \text{NIL } (\text{CONS } N \ N') = \text{CONS } N \ (\text{Lappend } \text{NIL } N')$   
apply (simp add: Lappend-def)  
apply (rule LList-corec [THEN trans], simp)  
done
```

```
lemma Lappend-CONS [simp]:  
   $\text{Lappend } (\text{CONS } M \ M') \ N = \text{CONS } M \ (\text{Lappend } M' \ N)$   
apply (simp add: Lappend-def)  
apply (rule LList-corec [THEN trans], simp)  
done
```

```
declare llist.intros [simp] LListD-Fun-CONS-I [simp]  
  range-eqI [simp] image-eqI [simp]
```

```
lemma Lappend-NIL [simp]:  $M \in \text{llist}(A) \implies \text{Lappend } \text{NIL } M = M$   
by (erule LList-fun-equalityI, simp-all)
```

```
lemma Lappend-NIL2:  $M \in \text{llist}(A) \implies \text{Lappend } M \ \text{NIL} = M$   
by (erule LList-fun-equalityI, simp-all)
```

12.9.1 Alternative type-checking proofs for *Lappend*

weak co-induction: bisimulation and case analysis on both variables

```

lemma Lappend-type: [|  $M \in \text{llist}(A)$ ;  $N \in \text{llist}(A)$  |] ==> Lappend  $M N \in \text{llist}(A)$ 
apply (rule-tac  $X = \bigcup u \in \text{llist}(A) . \bigcup v \in \text{llist}(A) . \{Lappend\ u\ v\}$  in llist-coinduct)
apply fast
apply safe
apply (erule-tac  $a = u$  in llist.cases)
apply (erule-tac  $a = v$  in llist.cases, simp-all, blast)
done

```

strong co-induction: bisimulation and case analysis on one variable

```

lemma Lappend-type': [|  $M \in \text{llist}(A)$ ;  $N \in \text{llist}(A)$  |] ==> Lappend  $M N \in \text{llist}(A)$ 
apply (rule-tac  $X = (\%u. Lappend\ u\ N)$  'llist ( $A$ )' in llist-coinduct)
apply (erule imageI)
apply (rule image-subsetI)
apply (erule-tac  $a = x$  in llist.cases)
apply (simp add: list-Fun-llist-I, simp)
done

```

12.10 Lazy lists as the type '*a llist* – strongly typed versions of above

12.10.1 *llist-case*: case analysis for '*a llist*

```

declare LListI [THEN Abs-LList-inverse, simp]
declare Rep-LList-inverse [simp]
declare Rep-LList [THEN LListD, simp]
declare rangeI [simp] inj-Leaf [simp]

```

```

lemma llist-case-LNil [simp]: llist-case  $c\ d\ LNil = c$ 
by (simp add: llist-case-def LNil-def)

```

```

lemma llist-case-LCons [simp]: llist-case  $c\ d\ (LCons\ M\ N) = d\ M\ N$ 
by (simp add: llist-case-def LCons-def)

```

Elimination is case analysis, not induction.

```

lemma llistE: [|  $l=LNil \implies P$ ;  $\forall x\ l'.\ l=LCons\ x\ l' \implies P$  |] ==>  $P$ 
apply (rule Rep-LList [THEN LListD, THEN llist.cases])
  apply (simp add: Rep-LList-LNil [symmetric] Rep-LList-inject, blast)
apply (erule LListI [THEN Rep-LList-cases], clarify)
apply (simp add: Rep-LList-LCons [symmetric] Rep-LList-inject, blast)
done

```

12.10.2 *llist-corec*: corecursion for '*a llist*

Lemma for the proof of *llist-corec*


```

lemma LList-corec-type2:
  LList-corec a
    (%z. case f z of None => None | Some(v,w) => Some(Leaf(v),w))
    ∈ llist(range Leaf)
apply (rule-tac X = range (%x. LList-corec x ?g) in llist-coinduct)
apply (rule rangeI, safe)
apply (subst LList-corec, force)
done

```

```

lemma llist-corec:
  llist-corec a f =
    (case f a of None => LNil | Some(z,w) => LCons z (llist-corec w f))
apply (unfold llist-corec-def LNil-def LCons-def)
apply (subst LList-corec)
apply (case-tac f a)
apply (simp add: LList-corec-type2)
apply (force simp add: LList-corec-type2)
done

```

definitional version of same

```

lemma def-llist-corec:
  [| !!x. h(x) = llist-corec x f |] ==>
    h(a) = (case f a of None => LNil | Some(z,w) => LCons z (h w))
by (simp add: llist-corec)

```

12.11 Proofs about type 'a llist functions

12.12 Deriving *llist-equalityI* – *llist* equality is a bisimulation

```

lemma LListD-Fun-subset-Times-llist:
  r ⊆ (llist A) <*> (llist A)
    ==> LListD-Fun (Id-on A) r ⊆ (llist A) <*> (llist A)
by (auto simp add: LListD-Fun-def)

```

```

lemma subset-Times-llist:
  prod-fun Rep-LList Rep-LList ‘ r ⊆
    (llist(range Leaf) <*> (llist(range Leaf)))
by (blast intro: Rep-LList [THEN LListD])

```

```

lemma prod-fun-lemma:
  r ⊆ (llist(range Leaf) <*> (llist(range Leaf)))
    ==> prod-fun (Rep-LList o Abs-LList) (Rep-LList o Abs-LList) ‘ r ⊆ r
apply safe
apply (erule subsetD [THEN SigmaE2], assumption)
apply (simp add: LListI [THEN Abs-LList-inverse])
done

```

```

lemma prod-fun-range-eq-Id-on:
  prod-fun Rep-LList Rep-LList ‘ range(%x. (x, x)) =
    Id-on(llist(range Leaf))

```

```

apply (rule equalityI, blast)
apply (fast elim: LListI [THEN Abs-LList-inverse, THEN subst])
done

```

Used with *lfilter*

```

lemma llistD-Fun-mono:
   $A \subseteq B \implies \text{llistD-Fun } A \subseteq \text{llistD-Fun } B$ 
apply (simp add: llistD-Fun-def prod-fun-def, auto)
apply (rule image-eqI)
prefer 2 apply (blast intro: rev-subsetD [OF - LListD-Fun-mono], force)
done

```

12.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality]

```

lemma llist-equalityI:
   $[(l1, l2) \in r; r \subseteq \text{llistD-Fun}(r \text{ Un range } (\%x.(x, x)))] \implies l1 = l2$ 
apply (simp add: llistD-Fun-def)
apply (rule Rep-LList-inject [THEN iffD1])
apply (rule-tac  $r = \text{prod-fun Rep-LList Rep-LList 'r and } A = \text{range (Leaf) in LList-equalityI}$ )
apply (erule prod-fun-imageI)
apply (erule image-mono [THEN subset-trans])
apply (rule image-compose [THEN subst])
apply (rule prod-fun-compose [THEN subst])
apply (subst image-Un)
apply (subst prod-fun-range-eq-Id-on)
apply (rule LListD-Fun-subset-Times-llist [THEN prod-fun-lemma])
apply (rule subset-Times-llist [THEN Un-least])
apply (rule Id-on-subset-Times)
done

```

12.12.2 Rules to prove the 2nd premise of *llist-equalityI*

```

lemma llistD-Fun-LNil-I [simp]:  $(LNil, LNil) \in \text{llistD-Fun}(r)$ 
apply (simp add: llistD-Fun-def LNil-def)
apply (rule LListD-Fun-NIL-I [THEN prod-fun-imageI])
done

```

```

lemma llistD-Fun-LCons-I [simp]:
   $(l1, l2):r \implies (LCons\ x\ l1, LCons\ x\ l2) \in \text{llistD-Fun}(r)$ 
apply (simp add: llistD-Fun-def LCons-def)
apply (rule rangeI [THEN LListD-Fun-CONS-I, THEN prod-fun-imageI])
apply (erule prod-fun-imageI)
done

```

Utilise the "strong" part, i.e. *gfp*(*f*)

```

lemma llistD-Fun-range-I:  $(l, l) \in \text{llistD-Fun}(r \text{ Un range } (\%x.(x, x)))$ 
apply (simp add: llistD-Fun-def)

```

```

apply (rule Rep-LList-inverse [THEN subst])
apply (rule prod-fun-imageI)
apply (subst image-Un)
apply (subst prod-fun-range-eq-Id-on)
apply (rule Rep-LList [THEN LListD, THEN LListD-Fun-Id-on-I])
done

```

A special case of *list-equality* for functions over lazy lists

```

lemma llist-fun-equalityI:
  [|  $f(LNil) = g(LNil)$ ;
    !! $x\ l.$  ( $f(LCons\ x\ l), g(LCons\ x\ l)$ )
       $\in llistD-Fun(range(\%u. (f(u), g(u)))\ Un\ range(\%v. (v, v)))$ 
  |] ==>  $f(l) = (g(l :: 'a\ llist) :: 'b\ llist)$ 
apply (rule-tac  $r = range\ (\%u. (f\ (u), g\ (u)))$  in llist-equalityI)
apply (rule rangeI, clarify)
apply (rule-tac  $l = u$  in llistE)
apply (simp-all add: llistD-Fun-range-I)
done

```

12.13 The functional *lmap*

```

lemma lmap-LNil [simp]:  $lmap\ f\ LNil = LNil$ 
by (rule lmap-def [THEN def-llist-corec, THEN trans], simp)

```

```

lemma lmap-LCons [simp]:  $lmap\ f\ (LCons\ M\ N) = LCons\ (f\ M)\ (lmap\ f\ N)$ 
by (rule lmap-def [THEN def-llist-corec, THEN trans], simp)

```

12.13.1 Two easy results about *lmap*

```

lemma lmap-compose [simp]:  $lmap\ (f\ o\ g)\ l = lmap\ f\ (lmap\ g\ l)$ 
by (rule-tac  $l = l$  in llist-fun-equalityI, simp-all)

```

```

lemma lmap-ident [simp]:  $lmap\ (\%x. x)\ l = l$ 
by (rule-tac  $l = l$  in llist-fun-equalityI, simp-all)

```

12.14 *iterates* – *llist-fun-equalityI* cannot be used!

```

lemma iterates:  $iterates\ f\ x = LCons\ x\ (iterates\ f\ (f\ x))$ 
by (rule iterates-def [THEN def-llist-corec, THEN trans], simp)

```

```

lemma lmap-iterates [simp]:  $lmap\ f\ (iterates\ f\ x) = iterates\ f\ (f\ x)$ 
apply (rule-tac  $r = range\ (\%u. (lmap\ f\ (iterates\ f\ u), iterates\ f\ (f\ u)))$  in llist-equalityI)
apply (rule rangeI, safe)
apply (rule-tac  $x1 = f\ (u)$  in iterates [THEN ssubst])
apply (rule-tac  $x1 = u$  in iterates [THEN ssubst], simp)
done

```

```

lemma iterates-lmap:  $iterates\ f\ x = LCons\ x\ (lmap\ f\ (iterates\ f\ x))$ 
apply (subst lmap-iterates)
apply (rule iterates)

```

done

12.15 A rather complex proof about iterates – cf Andy Pitts

12.15.1 Two lemmas about $\text{natrec } n \ x \ (\%m. g)$, which is essentially $(g \hat{\ }^n)(x)$

lemma *fun-power-lmap*: $\text{nat-rec } (LCons \ b \ l) \ (\%m. \text{lmap}(f)) \ n =$
 $LCons \ (\text{nat-rec } b \ (\%m. f) \ n) \ (\text{nat-rec } l \ (\%m. \text{lmap}(f)) \ n)$
by (*induct-tac* n , *simp-all*)

lemma *fun-power-Suc*: $\text{nat-rec } (g \ x) \ (\%m. g) \ n = \text{nat-rec } x \ (\%m. g) \ (Suc \ n)$
by (*induct-tac* n , *simp-all*)

lemmas *Pair-cong* = *refl* [*THEN cong*, *THEN cong*, *of concl: Pair*]

The bisimulation consists of $\{(\text{lmap}(f) \hat{\ }^n (h(u)), \text{lmap}(f) \hat{\ }^n (\text{iterates}(f, u)))\}$
for all u and all $n::\text{nat}$.

lemma *iterates-equality*:

$(!!x. h(x) = LCons \ x \ (\text{lmap } f \ (h \ x))) ==> h = \text{iterates}(f)$

apply (*rule ext*)

apply (*rule-tac*

$r = \bigcup u. \text{range } (\%n. (\text{nat-rec } (h \ u) \ (\%m \ y. \text{lmap } f \ y) \ n,$
 $\text{nat-rec } (\text{iterates } f \ u) \ (\%m \ y. \text{lmap } f \ y) \ n))$

in *llist-equalityI*)

apply (*rule UN1-I range-eqI Pair-cong nat-rec-0 [symmetric]*)+

apply *clarify*

apply (*subst iterates, atomize*)

apply (*drule-tac x=u in spec*)

apply (*erule ssubst*)

apply (*subst fun-power-lmap*)

apply (*subst fun-power-lmap*)

apply (*rule llistD-Fun-LCons-I*)

apply (*rule lmap-iterates [THEN subst]*)

apply (*subst fun-power-Suc*)

apply (*subst fun-power-Suc, blast*)

done

12.16 *lappend* – its two arguments cause some complications!

lemma *lappend-LNil-LNil [simp]*: $\text{lappend } LNil \ LNil = LNil$

apply (*simp add: lappend-def*)

apply (*rule llist-corec [THEN trans], simp*)

done

lemma *lappend-LNil-LCons [simp]*:

$\text{lappend } LNil \ (LCons \ l \ l') = LCons \ l \ (\text{lappend } LNil \ l')$

apply (*simp add: lappend-def*)

apply (*rule llist-corec [THEN trans], simp*)

```

lemma lappend-LCons [simp]:
  lappend (LCons l l') N = LCons l (lappend l' N)
apply (simp add: lappend-def)
apply (rule llist-corec [THEN trans], simp)
done

```

lemma *lappend-LNil2 [simp]: lappend l LNil = l*
by (*rule-tac l = l in llist-fun-equalityI, simp-all*)

```

lemma lappend-iterates [simp]: lappend (iterates f x) N = iterates f x
apply (rule-tac r = range (%u. (lappend (iterates f u) N, iterates f u)))
      in llist-equalityI)
apply (rule rangeI, safe)
apply (subst (1 2) iterates)
apply simp
done

```

Long proof requiring case analysis on both both arguments

Shorter proof of theorem above using *llist-equalityI* as strong coinduction

Without strong coinduction, three case analyses might be needed

end

13 The "filter" functional for coinductive lists – defined by a combination of induction and coinduction

theory *LFilter* **imports** *LList* **begin**

inductive-set

```

findRel      :: ('a => bool) => ('a llist * 'a llist) set
for p :: 'a => bool
where
  found: p x ==> (LCons x l, LCons x l) ∈ findRel p
| seek: [| ~ p x; (l,l') ∈ findRel p |] ==> (LCons x l, l') ∈ findRel p

```

declare *findRel.intros* [intro]

definition

```

find  :: ['a => bool, 'a llist] => 'a llist where
find p l = (SOME l'. (l,l'): findRel p | (l' = LNil & l ~: Domain(findRel p)))

```

definition

```

lfilter :: ['a => bool, 'a llist] => 'a llist where
lfilter p l = llist-corec l (%l. case find p l of
                                LNil => None
                                | LCons y z => Some(y,z))

```

13.1 *findRel*: basic laws

inductive-cases

```

findRel-LConsE [elim!]: (LCons x l, l'') ∈ findRel p

```

lemma *findRel-functional* [rule-format]:

```

(l,l'): findRel p ==> (l,l''): findRel p --> l'' = l'

```

by (erule *findRel.induct*, auto)

lemma *findRel-imp-LCons* [rule-format]:

```

(l,l'): findRel p ==> ∃ x l''. l' = LCons x l'' & p x

```

by (erule *findRel.induct*, auto)

lemma *findRel-LNil* [elim!]: (LNil,l): *findRel* p ==> R

by (blast elim: *findRel.cases*)

13.2 Properties of *Domain* (*findRel* p)

lemma *LCons-Domain-findRel* [simp]:

```

LCons x l ∈ Domain(findRel p) = (p x | l ∈ Domain(findRel p))

```

by auto

lemma *Domain-findRel-iff*:

$(l \in \text{Domain } (\text{findRel } p)) = (\exists x \, l'. (l, \text{LCons } x \, l') \in \text{findRel } p \ \& \ p \, x)$
by (*blast dest: findRel-imp-LCons*)

lemma *Domain-findRel-mono*:

$[\![\! \! x. p \, x \implies q \, x \! \!]\!] \implies \text{Domain } (\text{findRel } p) \leq \text{Domain } (\text{findRel } q)$
apply *clarify*
apply (*erule findRel.induct, blast+*)
done

13.3 *find*: basic equations

lemma *find-LNil [simp]*: $\text{find } p \, \text{LNil} = \text{LNil}$
by (*unfold find-def, blast*)

lemma *findRel-imp-find [simp]*: $(l, l') \in \text{findRel } p \implies \text{find } p \, l = l'$
apply (*unfold find-def*)
apply (*blast dest: findRel-functional*)
done

lemma *find-LCons-found*: $p \, x \implies \text{find } p \, (\text{LCons } x \, l) = \text{LCons } x \, l$
by (*blast intro: findRel-imp-find*)

lemma *diverge-find-LNil [simp]*: $l \sim: \text{Domain}(\text{findRel } p) \implies \text{find } p \, l = \text{LNil}$
by (*unfold find-def, blast*)

lemma *find-LCons-seek*: $\sim (p \, x) \implies \text{find } p \, (\text{LCons } x \, l) = \text{find } p \, l$
apply (*case-tac LCons x l \in Domain (findRel p)*)
apply *auto*
apply (*blast intro: findRel-imp-find*)
done

lemma *find-LCons [simp]*:
 $\text{find } p \, (\text{LCons } x \, l) = (\text{if } p \, x \text{ then } \text{LCons } x \, l \text{ else } \text{find } p \, l)$
by (*simp add: find-LCons-seek find-LCons-found*)

13.4 *lfilter*: basic equations

lemma *lfilter-LNil [simp]*: $\text{lfilter } p \, \text{LNil} = \text{LNil}$
by (*rule lfilter-def [THEN def-llist-corec, THEN trans], simp*)

lemma *diverge-lfilter-LNil [simp]*:
 $l \sim: \text{Domain}(\text{findRel } p) \implies \text{lfilter } p \, l = \text{LNil}$
by (*rule lfilter-def [THEN def-llist-corec, THEN trans], simp*)

lemma *lfilter-LCons-found*:
 $p \, x \implies \text{lfilter } p \, (\text{LCons } x \, l) = \text{LCons } x \, (\text{lfilter } p \, l)$
by (*rule lfilter-def [THEN def-llist-corec, THEN trans], simp*)

lemma *findRel-imp-lfilter [simp]*:
 $(l, \text{LCons } x \, l') \in \text{findRel } p \implies \text{lfilter } p \, l = \text{LCons } x \, (\text{lfilter } p \, l')$

```

by (rule lfilter-def [THEN def-llist-corec, THEN trans], simp)

lemma lfilter-LCons-seek:  $\sim (p\ x) \implies \text{lfilter } p\ (LCons\ x\ l) = \text{lfilter } p\ l$ 
apply (rule lfilter-def [THEN def-llist-corec, THEN trans], simp)
apply (case-tac  $LCons\ x\ l \in Domain\ (findRel\ p)$ )
  apply (simp add: Domain-findRel-iff, auto)
done

lemma lfilter-LCons [simp]:
   $\text{lfilter } p\ (LCons\ x\ l) =$ 
     $(if\ p\ x\ then\ LCons\ x\ (\text{lfilter } p\ l)\ else\ \text{lfilter } p\ l)$ 
by (simp add: lfilter-LCons-found lfilter-LCons-seek)

declare llistD-Fun-LNil-I [intro!] llistD-Fun-LCons-I [intro!]

lemma lfilter-eq-LNil:  $\text{lfilter } p\ l = LNil \implies l \sim: Domain(findRel\ p)$ 
apply (auto iff: Domain-findRel-iff)
done

lemma lfilter-eq-LCons [rule-format]:
   $\text{lfilter } p\ l = LCons\ x\ l' \implies$ 
     $(\exists l''.\ l' = \text{lfilter } p\ l'' \ \&\ (l, LCons\ x\ l'') \in findRel\ p)$ 
apply (subst lfilter-def [THEN def-llist-corec])
apply (case-tac  $l \in Domain\ (findRel\ p)$ )
  apply (auto iff: Domain-findRel-iff)
done

lemma lfilter-cases:  $\text{lfilter } p\ l = LNil \mid$ 
   $(\exists y\ l'.\ \text{lfilter } p\ l = LCons\ y\ (\text{lfilter } p\ l') \ \&\ p\ y)$ 
apply (case-tac  $l \in Domain\ (findRel\ p)$ )
apply (auto iff: Domain-findRel-iff)
done

```

13.5 *lfilter*: simple facts by coinduction

```

lemma lfilter-K-True:  $\text{lfilter } (\%x.\ True)\ l = l$ 
by (rule-tac  $l = l$  in llist-fun-equalityI, simp-all)

lemma lfilter-idem:  $\text{lfilter } p\ (\text{lfilter } p\ l) = \text{lfilter } p\ l$ 
apply (rule-tac  $l = l$  in llist-fun-equalityI, simp-all)
apply safe

```

Cases: $p\ x$ is true or false

```

apply (rule lfilter-cases [THEN disjE])
  apply (erule ssubst, auto)
done

```


13.6 Numerous lemmas required to prove *lfilter-conj*

lemma *findRel-conj-lemma* [rule-format]:

$(l, l') \in \text{findRel } q$
 $\implies l' = LCons\ x\ l'' \dashrightarrow p\ x \dashrightarrow (l, l') \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$

by (*erule findRel.induct, auto*)

lemmas *findRel-conj* = *findRel-conj-lemma* [*OF - refl*]

lemma *findRel-not-conj-Domain* [rule-format]:

$(l, l') \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$
 $\implies (l, LCons\ x\ l') \in \text{findRel } q \dashrightarrow \sim p\ x \dashrightarrow$
 $l' \in \text{Domain } (\text{findRel } (\%x. p\ x \ \&\ q\ x))$

by (*erule findRel.induct, auto*)

lemma *findRel-conj2* [rule-format]:

$(l, lxx) \in \text{findRel } q$
 $\implies lxx = LCons\ x\ lx \dashrightarrow (lx, lz) \in \text{findRel } (\%x. p\ x \ \&\ q\ x) \dashrightarrow \sim p\ x$
 $\dashrightarrow (l, lz) \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$

by (*erule findRel.induct, auto*)

lemma *findRel-lfilter-Domain-conj* [rule-format]:

$(lx, ly) \in \text{findRel } p$
 $\implies \forall l. lx = \text{lfilter } q\ l \dashrightarrow l \in \text{Domain } (\text{findRel } (\%x. p\ x \ \&\ q\ x))$

apply (*erule findRel.induct*)

apply (*blast dest!: sym [THEN lfilter-eq-LCons] intro: findRel-conj, auto*)

apply (*drule sym [THEN lfilter-eq-LCons], auto*)

apply (*drule spec*)

apply (*drule refl [THEN rev-mp]*)

apply (*blast intro: findRel-conj2*)

done

lemma *findRel-conj-lfilter* [rule-format]:

$(l, l'') \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$
 $\implies l'' = LCons\ y\ l' \dashrightarrow$
 $(\text{lfilter } q\ l, LCons\ y\ (\text{lfilter } q\ l')) \in \text{findRel } p$

by (*erule findRel.induct, auto*)

lemma *lfilter-conj-lemma*:

$(\text{lfilter } p\ (\text{lfilter } q\ l), \text{lfilter } (\%x. p\ x \ \&\ q\ x)\ l)$
 $\in \text{lListD-Fun } (\text{range } (\%u. (\text{lfilter } p\ (\text{lfilter } q\ u),$
 $\text{lfilter } (\%x. p\ x \ \&\ q\ x)\ u)))$

apply (*case-tac l \in Domain (findRel q)*)

apply (*subgoal-tac [2] l \sim: Domain (findRel (%x. p x & q x))*)

prefer 3 apply (*blast intro: rev-subsetD [OF - Domain-findRel-mono]*)

There are no *qs* in *l*: both lists are *LNil*

apply (*simp-all add: Domain-findRel-iff, clarify*)

case $q\ x$

apply (*case-tac* $p\ x$)
apply (*simp-all add: findRel-conj [THEN findRel-imp-lfilter]*)

case $q\ x$ and $\sim(p\ x)$

apply (*case-tac* $l' \in \text{Domain } (\text{findRel } (\%x. p\ x \ \&\ q\ x))$)

subcase: there is no $p \ \&\ q$ in l' and therefore none in l

apply (*subgoal-tac* [2] $l \sim: \text{Domain } (\text{findRel } (\%x. p\ x \ \&\ q\ x))$)
prefer 3 **apply** (*blast intro: findRel-not-conj-Domain*)
apply (*subgoal-tac* [2] $l\text{filter } q\ l' \sim: \text{Domain } (\text{findRel } p)$)
prefer 3 **apply** (*blast intro: findRel-lfilter-Domain-conj*)

... and therefore too, no p in $l\text{filter } q\ l'$. Both results are *LNil*

apply (*simp-all add: Domain-findRel-iff, clarify*)

subcase: there is a $p \ \&\ q$ in l' and therefore also one in l

apply (*subgoal-tac* $(l, LCons\ xa\ l'a) \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$)
prefer 2 **apply** (*blast intro: findRel-conj2*)
apply (*subgoal-tac* $(l\text{filter } q\ l', LCons\ xa\ (l\text{filter } q\ l'a)) \in \text{findRel } p$)
apply *simp*
apply (*blast intro: findRel-conj-lfilter*)
done

lemma *lfilter-conj*: $l\text{filter } p\ (l\text{filter } q\ l) = l\text{filter } (\%x. p\ x \ \&\ q\ x)\ l$
apply (*rule-tac* $l = l$ **in** *lfilter-fun-equalityI, simp-all*)
apply (*blast intro: lfilter-conj-lemma rev-subsetD [OF - llistD-Fun-mono]*)
done

13.7 Numerous lemmas required to prove $l\text{filter } p\ (lmap\ f\ l) = lmap\ f\ (l\text{filter } (\%x. p(f\ x))\ l)$

lemma *findRel-lmap-Domain*:
 $(l, l') \in \text{findRel } (\%x. p\ (f\ x)) \implies lmap\ f\ l \in \text{Domain } (\text{findRel } p)$
by (*erule findRel.induct, auto*)

lemma *lmap-eq-LCons* [*rule-format*]: $lmap\ f\ l = LCons\ x\ l' \implies$
 $(\exists y\ l''. x = f\ y \ \&\ l' = lmap\ f\ l'' \ \&\ l = LCons\ y\ l'')$
apply (*subst lmap-def [THEN def-llist-corec]*)
apply (*rule-tac* $l = l$ **in** *lmapE, auto*)
done

lemma *lmap-LCons-findRel-lemma* [*rule-format*]:
 $(lx, ly) \in \text{findRel } p$
 $\implies \forall l. lmap\ f\ l = lx \implies ly = LCons\ x\ l' \implies$
 $(\exists y\ l''. x = f\ y \ \&\ l' = lmap\ f\ l'' \ \&$

```

      (l, LCons y l'') ∈ findRel(%x. p(f x)))
apply (erule findRel.induct, simp-all)
apply (blast dest!: lmap-eq-LCons)+
done

lemmas lmap-LCons-findRel = lmap-LCons-findRel-lemma [OF - refl refl]

lemma lfilter-lmap: lfilter p (lmap f l) = lmap f (lfilter (p o f) l)
apply (rule-tac l = l in llist-fun-equalityI, simp-all)
apply safe
apply (case-tac lmap f l ∈ Domain (findRel p))
  apply (simp add: Domain-findRel-iff, clarify)
  apply (frule lmap-LCons-findRel, force)
apply (subgoal-tac l ~: Domain (findRel (%x. p (f x))), simp)
apply (blast intro: findRel-lmap-Domain)
done

end

```

14 Mutual Induction via Iterated Inductive Definitions

```

theory Com imports Main begin

typedecl loc
types state = loc => nat

datatype
  exp = N nat
    | X loc
    | Op nat => nat => nat exp exp
    | valOf com exp      (VALOF - RESULTIS - 60)
and
  com = SKIP
    | Assign loc exp      (infixl := 60)
    | Semi com com        (-;;- [60, 60] 60)
    | Cond exp com com    (IF - THEN - ELSE - 60)
    | While exp com       (WHILE - DO - 60)

```

14.1 Commands

Execution of commands

abbreviation (input)
 generic-rel (-/ -|[-]-> - [50,0,50] 50) **where**
 esig -|[-]eval-> ns == (esig,ns) ∈ eval

Command execution. Natural numbers represent Booleans: 0=True, 1=False

inductive-set

```

exec :: ((exp*state) * (nat*state)) set => ((com*state)*state)set
and exec-rel :: com * state => ((exp*state) * (nat*state)) set => state => bool
  (-/ -[-]-> - [50,0,50] 50)
for eval :: ((exp*state) * (nat*state)) set
where
  csig -[eval]-> s == (csig,s) ∈ exec eval

| Skip:    (SKIP,s) -[eval]-> s

| Assign:  (e,s) -[eval]-> (v,s') ==> (x := e, s) -[eval]-> s'(x:=v)

| Semi:    [| (c0,s) -[eval]-> s2; (c1,s2) -[eval]-> s1 |]
           ==> (c0 ;; c1, s) -[eval]-> s1

| IfTrue:  [| (e,s) -[eval]-> (0,s'); (c0,s') -[eval]-> s1 |]
           ==> (IF e THEN c0 ELSE c1, s) -[eval]-> s1

| IfFalse: [| (e,s) -[eval]-> (Suc 0, s'); (c1,s') -[eval]-> s1 |]
           ==> (IF e THEN c0 ELSE c1, s) -[eval]-> s1

| WhileFalse: (e,s) -[eval]-> (Suc 0, s1)
             ==> (WHILE e DO c, s) -[eval]-> s1

| WhileTrue: [| (e,s) -[eval]-> (0,s1);
                (c,s1) -[eval]-> s2; (WHILE e DO c, s2) -[eval]-> s3 |]
             ==> (WHILE e DO c, s) -[eval]-> s3

```

declare *exec.intros* [intro]

inductive-cases

```

[elim!]: (SKIP,s) -[eval]-> t
and [elim!]: (x:=a,s) -[eval]-> t
and [elim!]: (c1;;c2, s) -[eval]-> t
and [elim!]: (IF e THEN c1 ELSE c2, s) -[eval]-> t
and exec-WHILE-case: (WHILE b DO c,s) -[eval]-> t

```

Justifies using "exec" in the inductive definition of "eval"

lemma *exec-mono*: $A \leq B \implies \text{exec}(A) \leq \text{exec}(B)$

apply (rule subsetI)

apply (simp add: split-paired-all)

apply (erule exec.induct)

apply blast+

done

lemma [pred-set-conv]:

$((\lambda x x' y y'. ((x, x'), (y, y')) \in R) \leq (\lambda x x' y y'. ((x, x'), (y, y')) \in S)) = (R \leq S)$

```

by (auto simp add: le-fun-def le-bool-def mem-def)

lemma [pred-set-conv]:
  (( $\lambda x x' y. ((x, x'), y) \in R$ ) <= ( $\lambda x x' y. ((x, x'), y) \in S$ )) = ( $R \leq S$ )
by (auto simp add: le-fun-def le-bool-def mem-def)

declare [[unify-trace-bound = 30, unify-search-bound = 60]]

```

Command execution is functional (deterministic) provided evaluation is

```

theorem single-valued-exec: single-valued  $ev \implies$  single-valued(exec  $ev$ )
apply (simp add: single-valued-def)
apply (intro allI)
apply (rule impI)
apply (erule exec.induct)
apply (blast elim: exec-WHILE-case)+
done

```

14.2 Expressions

Evaluation of arithmetic expressions

```

inductive-set
  eval    :: ((exp*state) * (nat*state)) set
  and eval-rel :: [exp*state, nat*state] => bool (infixl -|-> 50)
  where
    esig -|-> ns == (esig, ns) ∈ eval

  | N [intro!]: (N(n),s) -|-> (n,s)

  | X [intro!]: (X(x),s) -|-> (s(x),s)

  | Op [intro]: [| (e0,s) -|-> (n0,s0); (e1,s0) -|-> (n1,s1) |]
    ==> (Op f e0 e1, s) -|-> (f n0 n1, s1)

  | valOf [intro]: [| (c,s) -[eval]-> s0; (e,s0) -|-> (n,s1) |]
    ==> (VALOF c RESULTIS e, s) -|-> (n, s1)

monos exec-mono

```

inductive-cases

```

  [elim!]: (N(n),sigma) -|-> (n',s')
  and [elim!]: (X(x),sigma) -|-> (n,s')
  and [elim!]: (Op f a1 a2,sigma) -|-> (n,s')
  and [elim!]: (VALOF c RESULTIS e, s) -|-> (n, s1)

```

```

lemma var-assign-eval [intro!]: (X x, s(x:=n)) -|-> (n, s(x:=n))
by (rule fun-upd-same [THEN subst], fast)

```

Make the induction rule look nicer – though *eta-contract* makes the new version look worse than it is...

lemma *split-lemma*:

$\{((e,s),(n,s')). P\ e\ s\ n\ s'\} = \text{Collect } (\text{split } (\%v. \text{split } (\text{split } P\ v)))$
by *auto*

New induction rule. Note the form of the VALOF induction hypothesis

lemma *eval-induct*

$[case_names\ N\ X\ Op\ valOf, \text{consumes } 1, \text{induct set: eval}]$:
 $[[(e,s) \multimap (n,s');$
 $\quad !!n\ s. P\ (N\ n)\ s\ n\ s;$
 $\quad !!s\ x. P\ (X\ x)\ s\ (s\ x)\ s;$
 $\quad !!e0\ e1\ f\ n0\ n1\ s\ s0\ s1.$
 $\quad \quad [(e0,s) \multimap (n0,s0); P\ e0\ s\ n0\ s0;$
 $\quad \quad (e1,s0) \multimap (n1,s1); P\ e1\ s0\ n1\ s1$
 $\quad \quad] \implies P\ (Op\ f\ e0\ e1)\ s\ (f\ n0\ n1)\ s1;$
 $\quad !!c\ e\ n\ s\ s0\ s1.$
 $\quad \quad [(c,s) \multimap [eval\ Int\ \{((e,s),(n,s')). P\ e\ s\ n\ s'\}] \multimap s0;$
 $\quad \quad (c,s) \multimap [eval] \multimap s0;$
 $\quad \quad (e,s0) \multimap (n,s1); P\ e\ s0\ n\ s1\]]$
 $\quad \implies P\ (VALOF\ c\ RESULTIS\ e)\ s\ n\ s1$
 $]] \implies P\ e\ s\ n\ s'$
apply (*induct set: eval*)
apply *blast*
apply *blast*
apply *blast*
apply (*frule Int-lower1 [THEN exec-mono, THEN subsetD]*)
apply (*auto simp add: split-lemma*)
done

Lemma for *Function-eval*. The major premise is that (c,s) executes to $s1$ using *eval* restricted to its functional part. Note that the execution $(c,s) \multimap [eval] \multimap s2$ can use unrestricted *eval*! The reason is that the execution $(c,s) \multimap [eval\ Int\ \{\dots\}] \multimap s1$ assures us that execution is functional on the argument (c,s) .

lemma *com-Unique*:

$(c,s) \multimap [eval\ Int\ \{((e,s),(n,t)). \forall nt'. (e,s) \multimap nt' \multimap (n,t)=nt'\}] \multimap s1$
 $\implies \forall s2. (c,s) \multimap [eval] \multimap s2 \multimap s2=s1$
apply (*induct set: exec*)
 \quad **apply** *simp-all*
 \quad **apply** *blast*
 \quad **apply** *force*
 \quad **apply** *blast*
 \quad **apply** *blast*
 \quad **apply** *blast*
 \quad **apply** (*blast elim: exec-WHILE-case*)
apply (*erule-tac V = (?c,s2) \multimap [?ev] \multimap s3 in thin-rl*)
apply *clarify*

apply (*erule exec-WHILE-case*, *blast+*)
done

Expression evaluation is functional, or deterministic

theorem *single-valued-eval: single-valued eval*
apply (*unfold single-valued-def*)
apply (*intro allI*, *rule impI*)
apply (*simp (no-asm-simp) only: split-tupled-all*)
apply (*erule eval-induct*)
apply (*drule-tac* [4] *com-Unique*)
apply (*simp-all (no-asm-use)*)
apply *blast+*
done

lemma *eval-N-E* [*dest!*]: $(N\ n, s) \dashv\!\rightarrow (v, s') \implies (v = n \ \& \ s' = s)$
by (*induct e == N n s v s' set: eval*) *simp-all*

This theorem says that "WHILE TRUE DO c" cannot terminate

lemma *while-true-E*:
 $(c', s) \dashv\![eval]\rightarrow t \implies c' = \text{WHILE } (N\ 0) \text{ DO } c \implies \text{False}$
by (*induct set: exec*) *auto*

14.3 Equivalence of IF e THEN c;;(WHILE e DO c) ELSE SKIP and WHILE e DO c

lemma *while-if1*:
 $(c', s) \dashv\![eval]\rightarrow t \implies c' = \text{WHILE } e \text{ DO } c \implies$
 $(\text{IF } e \text{ THEN } c;;c' \text{ ELSE SKIP}, s) \dashv\![eval]\rightarrow t$
by (*induct set: exec*) *auto*

lemma *while-if2*:
 $(c', s) \dashv\![eval]\rightarrow t \implies c' = \text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP} \implies$
 $(\text{WHILE } e \text{ DO } c, s) \dashv\![eval]\rightarrow t$
by (*induct set: exec*) *auto*

theorem *while-if*:
 $((\text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP}, s) \dashv\![eval]\rightarrow t) =$
 $((\text{WHILE } e \text{ DO } c, s) \dashv\![eval]\rightarrow t)$
by (*blast intro: while-if1 while-if2*)

14.4 Equivalence of (IF e THEN c1 ELSE c2);;c and IF e THEN (c1;;c) ELSE (c2;;c)

lemma *if-semi1*:
 $(c', s) \dashv\![eval]\rightarrow t \implies c' = (\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c \implies$

$(IF\ e\ THEN\ (c1;;c)\ ELSE\ (c2;;c),\ s) \text{ --}[eval]\text{--> } t$
by (*induct set: exec*) *auto*

lemma *if-semi2*:

$(c',s) \text{ --}[eval]\text{--> } t$
 $\implies c' = IF\ e\ THEN\ (c1;;c)\ ELSE\ (c2;;c) \implies$
 $((IF\ e\ THEN\ c1\ ELSE\ c2);;c,\ s) \text{ --}[eval]\text{--> } t$
by (*induct set: exec*) *auto*

theorem *if-semi*: $((IF\ e\ THEN\ c1\ ELSE\ c2);;c,\ s) \text{ --}[eval]\text{--> } t =$
 $((IF\ e\ THEN\ (c1;;c)\ ELSE\ (c2;;c),\ s) \text{ --}[eval]\text{--> } t)$
by (*blast intro: if-semi1 if-semi2*)

14.5 Equivalence of VALOF c1 RESULTIS (VALOF c2 RESULTIS e) and VALOF c1;;c2 RESULTIS e

lemma *valof-valof1*:

$(e',s) \text{ --}|-\text{> } (v,s')$
 $\implies e' = VALOF\ c1\ RESULTIS\ (VALOF\ c2\ RESULTIS\ e) \implies$
 $(VALOF\ c1;;c2\ RESULTIS\ e,\ s) \text{ --}|-\text{> } (v,s')$
by (*induct set: eval*) *auto*

lemma *valof-valof2*:

$(e',s) \text{ --}|-\text{> } (v,s')$
 $\implies e' = VALOF\ c1;;c2\ RESULTIS\ e \implies$
 $(VALOF\ c1\ RESULTIS\ (VALOF\ c2\ RESULTIS\ e),\ s) \text{ --}|-\text{> } (v,s')$
by (*induct set: eval*) *auto*

theorem *valof-valof*:

$((VALOF\ c1\ RESULTIS\ (VALOF\ c2\ RESULTIS\ e),\ s) \text{ --}|-\text{> } (v,s')) =$
 $((VALOF\ c1;;c2\ RESULTIS\ e,\ s) \text{ --}|-\text{> } (v,s'))$
by (*blast intro: valof-valof1 valof-valof2*)

14.6 Equivalence of VALOF SKIP RESULTIS e and e

lemma *valof-skip1*:

$(e',s) \text{ --}|-\text{> } (v,s')$
 $\implies e' = VALOF\ SKIP\ RESULTIS\ e \implies$
 $(e,\ s) \text{ --}|-\text{> } (v,s')$
by (*induct set: eval*) *auto*

lemma *valof-skip2*:

$(e,s) \text{ --}|-\text{> } (v,s') \implies (VALOF\ SKIP\ RESULTIS\ e,\ s) \text{ --}|-\text{> } (v,s')$
by *blast*

theorem *valof-skip*:

$((VALOF\ SKIP\ RESULTIS\ e,\ s) \text{ --}|-\text{> } (v,s')) = ((e,\ s) \text{ --}|-\text{> } (v,s'))$
by (*blast intro: valof-skip1 valof-skip2*)

14.7 Equivalence of VALOF $x:=e$ RESULTIS x and e

lemma *valof-assign1*:

$(e', s) \dashv\vdash (v, s'')$

$\implies e' = \text{VALOF } x:=e \text{ RESULTIS } X x \implies$

$(\exists s'. (e, s) \dashv\vdash (v, s') \ \& \ (s'' = s'(x:=v)))$

by (*induct set: eval*) (*simp-all del: fun-upd-apply, clarify, auto*)

lemma *valof-assign2*:

$(e, s) \dashv\vdash (v, s') \implies (\text{VALOF } x:=e \text{ RESULTIS } X x, s) \dashv\vdash (v, s'(x:=v))$

by *blast*

end