

# Examples of Inductive and Coinductive Definitions in ZF

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## 1 Sample datatype definitions

`theory Datatypes imports Main begin`

## 1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters  $A$  and  $B$ .

**consts**

$data :: [i, i] \Rightarrow i$

**datatype**  $data(A, B) =$

$Con0$   
 $| Con1 (a \in A)$   
 $| Con2 (a \in A, b \in B)$   
 $| Con3 (a \in A, b \in B, d \in data(A, B))$

**lemma**  $data-unfold$ :  $data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$

**by** ( $fast\ intro!$ :  $data.intros [unfolded\ data.con-defs]$   
 $elim$ :  $data.cases [unfolded\ data.con-defs]$ )

Lemmas to justify using  $data$  in other recursive type definitions.

**lemma**  $data-mono$ :  $[| A \subseteq C; B \subseteq D |] \Rightarrow data(A, B) \subseteq data(C, D)$

**apply** ( $unfold\ data.defs$ )  
**apply** ( $rule\ lfp-mono$ )  
**apply** ( $rule\ data.bnd-mono$ ) +  
**apply** ( $rule\ univ-mono\ Un-mono\ basic-monos\ | assumption$ ) +  
**done**

**lemma**  $data-univ$ :  $data(univ(A), univ(A)) \subseteq univ(A)$

**apply** ( $unfold\ data.defs\ data.con-defs$ )  
**apply** ( $rule\ lfp-lowerbound$ )  
**apply** ( $rule-tac\ [2]\ subset-trans\ [OF\ A-subset-univ\ Un-upper1,\ THEN\ univ-mono]$ )  
**apply** ( $fast\ intro!$ :  $zero-in-univ\ Inl-in-univ\ Inr-in-univ\ Pair-in-univ$ )  
**done**

**lemma**  $data-subset-univ$ :

$[| A \subseteq univ(C); B \subseteq univ(C) |] \Rightarrow data(A, B) \subseteq univ(C)$   
**by** ( $rule\ subset-trans\ [OF\ data-mono\ data-univ]$ )

## 1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...  
(back in 1994 that is).

**consts**

$enum :: i$

**datatype**  $enum =$

$C00\ | C01\ | C02\ | C03\ | C04\ | C05\ | C06\ | C07\ | C08\ | C09$   
 $| C10\ | C11\ | C12\ | C13\ | C14\ | C15\ | C16\ | C17\ | C18\ | C19$   
 $| C20\ | C21\ | C22\ | C23\ | C24\ | C25\ | C26\ | C27\ | C28\ | C29$   
 $| C30\ | C31\ | C32\ | C33\ | C34\ | C35\ | C36\ | C37\ | C38\ | C39$   
 $| C40\ | C41\ | C42\ | C43\ | C44\ | C45\ | C46\ | C47\ | C48\ | C49$

| C50 | C51 | C52 | C53 | C54 | C55 | C56 | C57 | C58 | C59

end

## 2 Binary trees

theory *Binary-Trees* imports *Main* begin

### 2.1 Datatype definition

**consts**

*bt* :: *i* ==> *i*

**datatype** *bt*(*A*) =

*Lf* | *Br* (*a* ∈ *A*, *t1* ∈ *bt*(*A*), *t2* ∈ *bt*(*A*))

**declare** *bt.intros* [*simp*]

**lemma** *Br-neq-left*: *l* ∈ *bt*(*A*) ==> *Br*(*x*, *l*, *r*) ≠ *l*

**by** (*induct arbitrary: x r set: bt*) *auto*

**lemma** *Br-iff*: *Br*(*a*, *l*, *r*) = *Br*(*a'*, *l'*, *r'*) <-> *a* = *a'* & *l* = *l'* & *r* = *r'*

— Proving a freeness theorem.

**by** (*fast elim!: bt.free-elim*s)

**inductive-cases** *BrE*: *Br*(*a*, *l*, *r*) ∈ *bt*(*A*)

— An elimination rule, for type-checking.

Lemmas to justify using *bt* in other recursive type definitions.

**lemma** *bt-mono*: *A* ⊆ *B* ==> *bt*(*A*) ⊆ *bt*(*B*)

**apply** (*unfold bt.defs*)

**apply** (*rule lfp-mono*)

**apply** (*rule bt.bnd-mono*)+

**apply** (*rule univ-mono basic-monos | assumption*)+

**done**

**lemma** *bt-univ*: *bt*(*univ*(*A*)) ⊆ *univ*(*A*)

**apply** (*unfold bt.defs bt.con-defs*)

**apply** (*rule lfp-lowerbound*)

**apply** (*rule-tac* [2] *A-subset-univ [THEN univ-mono]*)

**apply** (*fast intro!: zero-in-univ Inl-in-univ Inr-in-univ Pair-in-univ*)

**done**

**lemma** *bt-subset-univ*: *A* ⊆ *univ*(*B*) ==> *bt*(*A*) ⊆ *univ*(*B*)

**apply** (*rule subset-trans*)

**apply** (*erule bt-mono*)

**apply** (*rule bt-univ*)

**done**

**lemma** *bt-rec-type*:

```
[| t ∈ bt(A);  
  c ∈ C(Lf);  
  !!x y z r s. [| x ∈ A; y ∈ bt(A); z ∈ bt(A); r ∈ C(y); s ∈ C(z) |] ==>  
    h(x, y, z, r, s) ∈ C(Br(x, y, z))  
|] ==> bt-rec(c, h, t) ∈ C(t)  
— Type checking for recursor – example only; not really needed.  
apply (induct-tac t)  
apply simp-all  
done
```

## 2.2 Number of nodes, with an example of tail-recursion

**consts** *n-nodes* ::  $i \Rightarrow i$

**primrec**

```
n-nodes(Lf) = 0  
n-nodes(Br(a, l, r)) = succ(n-nodes(l) #+ n-nodes(r))
```

**lemma** *n-nodes-type* [simp]:  $t \in \text{bt}(A) \Rightarrow n\text{-nodes}(t) \in \text{nat}$

**by** (induct set: bt) auto

**consts** *n-nodes-aux* ::  $i \Rightarrow i$

**primrec**

```
n-nodes-aux(Lf) = ( $\lambda k \in \text{nat}. k$ )  
n-nodes-aux(Br(a, l, r)) =  
  ( $\lambda k \in \text{nat}. n\text{-nodes-aux}(r) \text{ ‘ } (n\text{-nodes-aux}(l) \text{ ‘ } \text{succ}(k))$ )
```

**lemma** *n-nodes-aux-eq*:

```
 $t \in \text{bt}(A) \Rightarrow k \in \text{nat} \Rightarrow n\text{-nodes-aux}(t) \text{ ‘ } k = n\text{-nodes}(t) \text{ \#+ } k$   
apply (induct arbitrary: k set: bt)  
apply simp  
apply (atomize, simp)  
done
```

**definition**

```
n-nodes-tail ::  $i \Rightarrow i$  where  
n-nodes-tail(t) == n-nodes-aux(t) ‘ 0
```

**lemma**  $t \in \text{bt}(A) \Rightarrow n\text{-nodes-tail}(t) = n\text{-nodes}(t)$

**by** (simp add: *n-nodes-tail-def* *n-nodes-aux-eq*)

## 2.3 Number of leaves

**consts**

*n-leaves* ::  $i \Rightarrow i$

**primrec**

```
n-leaves(Lf) = 1  
n-leaves(Br(a, l, r)) = n-leaves(l) #+ n-leaves(r)
```

**lemma** *n-leaves-type* [*simp*]:  $t \in bt(A) \implies n\text{-leaves}(t) \in nat$   
**by** (*induct set: bt*) *auto*

## 2.4 Reflecting trees

**consts**

*bt-reflect* ::  $i \implies i$

**primrec**

*bt-reflect*(*Lf*) = *Lf*

*bt-reflect*(*Br*(*a*, *l*, *r*)) = *Br*(*a*, *bt-reflect*(*r*), *bt-reflect*(*l*))

**lemma** *bt-reflect-type* [*simp*]:  $t \in bt(A) \implies bt\text{-reflect}(t) \in bt(A)$   
**by** (*induct set: bt*) *auto*

Theorems about *n-leaves*.

**lemma** *n-leaves-reflect*:  $t \in bt(A) \implies n\text{-leaves}(bt\text{-reflect}(t)) = n\text{-leaves}(t)$   
**by** (*induct set: bt*) (*simp-all add: add-commute n-leaves-type*)

**lemma** *n-leaves-nodes*:  $t \in bt(A) \implies n\text{-leaves}(t) = succ(n\text{-nodes}(t))$   
**by** (*induct set: bt*) (*simp-all add: add-succ-right*)

Theorems about *bt-reflect*.

**lemma** *bt-reflect-bt-reflect-ident*:  $t \in bt(A) \implies bt\text{-reflect}(bt\text{-reflect}(t)) = t$   
**by** (*induct set: bt*) *simp-all*

**end**

## 3 Terms over an alphabet

**theory** *Term* **imports** *Main* **begin**

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

**consts**

*term* ::  $i \implies i$

**datatype** *term*(*A*) = *Apply* ( $a \in A, l \in list(term(A))$ )

**monos** *list-mono*

**type-elim** *list-univ* [*THEN subsetD*, *elim-format*]

**declare** *Apply* [*TC*]

**definition**

*term-rec* ::  $[i, [i, i, i] \implies i] \implies i$  **where**

*term-rec*(*t*, *d*) ==

*Vrec*(*t*,  $\lambda t\ g. term\text{-case}(\lambda x\ zs. d(x, zs, map(\lambda z. g\ 'z, zs)), t)$ )

**definition**

```
term-map :: [i => i, i] => i where
term-map(f,t) == term-rec(t, λx zs rs. Apply(f(x), rs))
```

**definition**

```
term-size :: i => i where
term-size(t) == term-rec(t, λx zs rs. succ(list-add(rs)))
```

**definition**

```
reflect :: i => i where
reflect(t) == term-rec(t, λx zs rs. Apply(x, rev(rs)))
```

**definition**

```
preorder :: i => i where
preorder(t) == term-rec(t, λx zs rs. Cons(x, flat(rs)))
```

**definition**

```
postorder :: i => i where
postorder(t) == term-rec(t, λx zs rs. flat(rs) @ [x])
```

**lemma** *term-unfold*:  $term(A) = A * list(term(A))$

```
by (fast intro!: term.intros [unfolded term.con-defs]
    elim: term.cases [unfolded term.con-defs])
```

**lemma** *term-induct2*:

```
[| t ∈ term(A);
  !!x. [| x ∈ A |] ==> P(Apply(x,Nil));
  !!x z zs. [| x ∈ A; z ∈ term(A); zs: list(term(A)); P(Apply(x,zs))
    |] ==> P(Apply(x, Cons(z,zs)))
  |] ==> P(t)
```

— Induction on *term*(A) followed by induction on *list*.

```
apply (induct-tac t)
```

```
apply (erule list.induct)
```

```
apply (auto dest: list-CollectD)
```

```
done
```

**lemma** *term-induct-eqn* [consumes 1, case-names Apply]:

```
[| t ∈ term(A);
  !!x zs. [| x ∈ A; zs: list(term(A)); map(f,zs) = map(g,zs) |] ==>
    f(Apply(x,zs)) = g(Apply(x,zs))
  |] ==> f(t) = g(t)
```

— Induction on *term*(A) to prove an equation.

```
apply (induct-tac t)
```

```
apply (auto dest: map-list-Collect list-CollectD)
```

```
done
```

Lemmas to justify using *term* in other recursive type definitions.

**lemma** *term-mono*:  $A \subseteq B ==> term(A) \subseteq term(B)$

```
apply (unfold term.defs)
```

```

apply (rule lfp-mono)
  apply (rule term.bnd-mono)+
apply (rule univ-mono basic-monos| assumption)+
done

lemma term-univ: term(univ(A))  $\subseteq$  univ(A)
  — Easily provable by induction also
  apply (unfold term.defs term.con-defs)
  apply (rule lfp-lowerbound)
  apply (rule-tac [2] A-subset-univ [THEN univ-mono])
  apply safe
  apply (assumption | rule Pair-in-univ list-univ [THEN subsetD])+
  done

lemma term-subset-univ:  $A \subseteq \text{univ}(B) \implies \text{term}(A) \subseteq \text{univ}(B)$ 
  apply (rule subset-trans)
  apply (erule term-mono)
  apply (rule term-univ)
  done

lemma term-into-univ:  $[\mid t \in \text{term}(A); A \subseteq \text{univ}(B) \mid] \implies t \in \text{univ}(B)$ 
  by (rule term-subset-univ [THEN subsetD])

term-rec – by Vset recursion.

lemma map-lemma:  $[\mid l \in \text{list}(A); \text{Ord}(i); \text{rank}(l) < i \mid]$ 
   $\implies \text{map}(\lambda z. (\lambda x \in \text{Vset}(i). h(x)) \text{ ‘ } z, l) = \text{map}(h, l)$ 
  — map works correctly on the underlying list of terms.
  apply (induct set: list)
  apply simp
  apply (subgoal-tac rank (a) < i & rank (l) < i)
  apply (simp add: rank-of-Ord)
  apply (simp add: list.con-defs)
  apply (blast dest: rank-rls [THEN lt-trans])
  done

lemma term-rec [simp]:  $ts \in \text{list}(A) \implies$ 
   $\text{term-rec}(\text{Apply}(a, ts), d) = d(a, ts, \text{map}(\lambda z. \text{term-rec}(z, d), ts))$ 
  — Typing premise is necessary to invoke map-lemma.
  apply (rule term-rec-def [THEN def-Vrec, THEN trans])
  apply (unfold term.con-defs)
  apply (simp add: rank-pair2 map-lemma)
  done

lemma term-rec-type:
  assumes t:  $t \in \text{term}(A)$ 
  and a:  $!!x \text{ zs } r. [\mid x \in A; \text{zs} : \text{list}(\text{term}(A));$ 
     $r \in \text{list}(\bigcup t \in \text{term}(A). C(t)) \mid]$ 
     $\implies d(x, \text{zs}, r) : C(\text{Apply}(x, \text{zs}))$ 
  shows  $\text{term-rec}(t, d) \in C(t)$ 

```



— Slightly odd typing condition on  $r$  in the second premise!

```

using t
apply induct
apply (frule list-CollectD)
apply (subst term-rec)
  apply (assumption | rule a)+
apply (erule list.induct)
  apply (simp add: term-rec)
apply (auto simp add: term-rec)
done

```

```

lemma def-term-rec:
  [| !!t. j(t) == term-rec(t,d);  ts: list(A) |] ==>
    j(Apply(a,ts)) = d(a, ts, map(λZ. j(Z), ts))
  apply (simp only:)
  apply (erule term-rec)
done

```

```

lemma term-rec-simple-type [TC]:
  [| t ∈ term(A);
    !!x zs r. [| x ∈ A;  zs: list(term(A));  r ∈ list(C) |]
      ==> d(x, zs, r): C
  |] ==> term-rec(t,d) ∈ C
  apply (erule term-rec-type)
  apply (drule subset-refl [THEN UN-least, THEN list-mono, THEN subsetD])
  apply simp
done

```

*term-map.*

```

lemma term-map [simp]:
  ts ∈ list(A) ==>
    term-map(f, Apply(a, ts)) = Apply(f(a), map(term-map(f), ts))
  by (rule term-map-def [THEN def-term-rec])

```

```

lemma term-map-type [TC]:
  [| t ∈ term(A);  !!x. x ∈ A ==> f(x): B |] ==> term-map(f,t) ∈ term(B)
  apply (unfold term-map-def)
  apply (erule term-rec-simple-type)
  apply fast
done

```

```

lemma term-map-type2 [TC]:
  t ∈ term(A) ==> term-map(f,t) ∈ term({f(u). u ∈ A})
  apply (erule term-map-type)
  apply (erule RepFunI)
done

```

*term-size.*

**lemma** *term-size* [simp]:  
 $ts \in \text{list}(A) \implies \text{term-size}(\text{Apply}(a, ts)) = \text{succ}(\text{list-add}(\text{map}(\text{term-size}, ts)))$   
**by** (rule *term-size-def* [THEN *def-term-rec*])

**lemma** *term-size-type* [TC]:  $t \in \text{term}(A) \implies \text{term-size}(t) \in \text{nat}$   
**by** (auto simp add: *term-size-def*)

*reflect*.

**lemma** *reflect* [simp]:  
 $ts \in \text{list}(A) \implies \text{reflect}(\text{Apply}(a, ts)) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, ts)))$   
**by** (rule *reflect-def* [THEN *def-term-rec*])

**lemma** *reflect-type* [TC]:  $t \in \text{term}(A) \implies \text{reflect}(t) \in \text{term}(A)$   
**by** (auto simp add: *reflect-def*)

*preorder*.

**lemma** *preorder* [simp]:  
 $ts \in \text{list}(A) \implies \text{preorder}(\text{Apply}(a, ts)) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, ts)))$   
**by** (rule *preorder-def* [THEN *def-term-rec*])

**lemma** *preorder-type* [TC]:  $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$   
**by** (simp add: *preorder-def*)

*postorder*.

**lemma** *postorder* [simp]:  
 $ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$   
**by** (rule *postorder-def* [THEN *def-term-rec*])

**lemma** *postorder-type* [TC]:  $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$   
**by** (simp add: *postorder-def*)

Theorems about *term-map*.

**declare** *map-compose* [simp]

**lemma** *term-map-ident*:  $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$   
**by** (induct rule: *term-induct-eqn*) simp

**lemma** *term-map-compose*:  
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$   
**by** (induct rule: *term-induct-eqn*) simp

**lemma** *term-map-reflect*:  
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$   
**by** (induct rule: *term-induct-eqn*) (simp add: *rev-map-distrib* [symmetric])

Theorems about *term-size*.

**lemma** *term-size-term-map*:  $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f, t)) = \text{term-size}(t)$   
**by** (*induct rule*: *term-induct-eqn*) *simp*

**lemma** *term-size-reflect*:  $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$   
**by** (*induct rule*: *term-induct-eqn*) (*simp add*: *rev-map-distrib [symmetric] list-add-rev*)

**lemma** *term-size-length*:  $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$   
**by** (*induct rule*: *term-induct-eqn*) (*simp add*: *length-flat*)

Theorems about *reflect*.

**lemma** *reflect-reflect-ident*:  $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$   
**by** (*induct rule*: *term-induct-eqn*) (*simp add*: *rev-map-distrib*)

Theorems about *preorder*.

**lemma** *preorder-term-map*:  
 $t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f, t)) = \text{map}(f, \text{preorder}(t))$   
**by** (*induct rule*: *term-induct-eqn*) (*simp add*: *map-flat*)

**lemma** *preorder-reflect-eq-rev-postorder*:  
 $t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$   
**by** (*induct rule*: *term-induct-eqn*)  
(*simp add*: *rev-app-distrib rev-flat rev-map-distrib [symmetric]*)

**end**

## 4 Datatype definition n-ary branching trees

**theory** *Ntree* **imports** *Main* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

**consts**

*ntree* ::  $i \Rightarrow i$   
*maptree* ::  $i \Rightarrow i$   
*maptree2* ::  $[i, i] \Rightarrow i$

**datatype** *ntree*( $A$ ) = *Branch* ( $a \in A, h \in (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$ )  
**monos** *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form  
**type-intros** *nat-fun-univ* [*THEN subsetD*]  
**type-elim** *UN-E*

**datatype** *maptree*( $A$ ) = *Sons* ( $a \in A, h \in \text{maptree}(A) \rightarrow \text{maptree}(A)$ )  
**monos** *FiniteFun-mono1* — Use monotonicity in BOTH args  
**type-intros** *FiniteFun-univ1* [*THEN subsetD*]

**datatype** *maptree2*( $A, B$ ) = *Sons2* ( $a \in A, h \in B \rightarrow \text{maptree2}(A, B)$ )

**monos** *FiniteFun-mono* [*OF subset-refl*]  
**type-intros** *FiniteFun-in-univ'*

**definition**

*ntree-rec* ::  $[[i, i, i] \Rightarrow i, i] \Rightarrow i$  **where**  
*ntree-rec*(*b*) ==  
*Vrecursor*( $\lambda pr. ntree\text{-}case(\lambda x\ h. b(x, h, \lambda i \in domain(h). pr'(h'i)))$ )

**definition**

*ntree-copy* ::  $i \Rightarrow i$  **where**  
*ntree-copy*(*z*) == *ntree-rec*( $\lambda x\ h\ r. Branch(x, r), z$ )

*ntree*

**lemma** *ntree-unfold*:  $ntree(A) = A \times (\bigcup n \in nat. n \rightarrow ntree(A))$   
**by** (*blast intro: ntree.intros [unfolded ntree.con-defs]*)  
*elim: ntree.cases [unfolded ntree.con-defs]*)

**lemma** *ntree-induct* [*consumes 1*, *case-names Branch*, *induct set: ntree*]:

**assumes** *t*:  $t \in ntree(A)$   
**and step**:  $!!x\ n\ h. [| x \in A; n \in nat; h \in n \rightarrow ntree(A); \forall i \in n. P(h'i)] \Rightarrow P(Branch(x, h))$   
**shows**  $P(t)$   
— A nicer induction rule than the standard one.  
**using** *t*  
**apply** *induct*  
**apply** (*erule UN-E*)  
**apply** (*assumption* | *rule step*) +  
**apply** (*fast elim: fun-weaken-type*)  
**apply** (*fast dest: apply-type*)  
**done**

**lemma** *ntree-induct-eqn* [*consumes 1*]:

**assumes** *t*:  $t \in ntree(A)$   
**and** *f*:  $f \in ntree(A) \rightarrow B$   
**and** *g*:  $g \in ntree(A) \rightarrow B$   
**and step**:  $!!x\ n\ h. [| x \in A; n \in nat; h \in n \rightarrow ntree(A); f\ O\ h = g\ O\ h ] \Rightarrow$   
 $f\ ' Branch(x, h) = g\ ' Branch(x, h)$   
**shows**  $f\ t = g\ t$   
— Induction on *ntree*(*A*) to prove an equation  
**using** *t*  
**apply** *induct*  
**apply** (*assumption* | *rule step*) +  
**apply** (*insert f g*)  
**apply** (*rule fun-extension*)  
**apply** (*assumption* | *rule comp-fun*) +  
**apply** (*simp add: comp-fun-apply*)  
**done**

Lemmas to justify using *Ntree* in other recursive type definitions.

**lemma** *ntree-mono*:  $A \subseteq B \implies \text{ntree}(A) \subseteq \text{ntree}(B)$   
**apply** (*unfold ntree.defs*)  
**apply** (*rule lfp-mono*)  
**apply** (*rule ntree.bnd-mono*) +  
**apply** (*assumption | rule univ-mono basic-monos*) +  
**done**

**lemma** *ntree-univ*:  $\text{ntree}(\text{univ}(A)) \subseteq \text{univ}(A)$   
— Easily provable by induction also  
**apply** (*unfold ntree.defs ntree.con-defs*)  
**apply** (*rule lfp-lowerbound*)  
**apply** (*rule-tac [2] A-subset-univ [THEN univ-mono]*)  
**apply** (*blast intro: Pair-in-univ nat-fun-univ [THEN subsetD]*)  
**done**

**lemma** *ntree-subset-univ*:  $A \subseteq \text{univ}(B) \implies \text{ntree}(A) \subseteq \text{univ}(B)$   
**by** (*rule subset-trans [OF ntree-mono ntree-univ]*)

*ntree* recursion.

**lemma** *ntree-rec-Branch*:  
 $\text{function}(h) \implies$   
 $\text{ntree-rec}(b, \text{Branch}(x, h)) = b(x, h, \lambda i \in \text{domain}(h). \text{ntree-rec}(b, h'i))$   
**apply** (*rule ntree-rec-def [THEN def-Vrecursor, THEN trans]*)  
**apply** (*simp add: ntree.con-defs rank-pair2 [THEN [2] lt-trans] rank-apply*)  
**done**

**lemma** *ntree-copy-Branch* [*simp*]:  
 $\text{function}(h) \implies$   
 $\text{ntree-copy}(\text{Branch}(x, h)) = \text{Branch}(x, \lambda i \in \text{domain}(h). \text{ntree-copy}(h'i))$   
**by** (*simp add: ntree-copy-def ntree-rec-Branch*)

**lemma** *ntree-copy-is-ident*:  $z \in \text{ntree}(A) \implies \text{ntree-copy}(z) = z$   
**by** (*induct z set: ntree*)  
(*auto simp add: domain-of-fun Pi-Collect-iff fun-is-function*)

*maptree*

**lemma** *maptree-unfold*:  $\text{maptree}(A) = A \times (\text{maptree}(A) -||> \text{maptree}(A))$   
**by** (*fast intro!: maptree.intros [unfolded maptree.con-defs]*)  
*elim*: *maptree.cases* [*unfolded maptree.con-defs*])

**lemma** *maptree-induct* [*consumes 1, induct set: maptree*]:  
**assumes** *t*:  $t \in \text{maptree}(A)$   
**and** *step*:  $!!x \ n \ h. [| x \in A; h \in \text{maptree}(A) -||> \text{maptree}(A);$   
 $\forall y \in \text{field}(h). P(y)$   
 $]| \implies P(\text{Sons}(x, h))$   
**shows**  $P(t)$   
— A nicer induction rule than the standard one.

```

using t
apply induct
apply (assumption | rule step)+
apply (erule Collect-subset [THEN FiniteFun-mono1, THEN subsetD])
apply (drule FiniteFun.dom-subset [THEN subsetD])
apply (drule Fin.dom-subset [THEN subsetD])
apply fast
done

maptree2

lemma maptree2-unfold: maptree2(A, B) = A × (B -||> maptree2(A, B))
  by (fast intro!: maptree2.intros [unfolded maptree2.con-defs]
      elim: maptree2.cases [unfolded maptree2.con-defs])

lemma maptree2-induct [consumes 1, induct set: maptree2]:
  assumes t: t ∈ maptree2(A, B)
    and step: !!x n h. [| x ∈ A; h ∈ B -||> maptree2(A,B); ∀ y ∈ range(h). P(y)
                      |] ==> P(Sons2(x,h))
  shows P(t)
  using t
  apply induct
  apply (assumption | rule step)+
  apply (erule FiniteFun-mono [OF subset-refl Collect-subset, THEN subsetD])
  apply (drule FiniteFun.dom-subset [THEN subsetD])
  apply (drule Fin.dom-subset [THEN subsetD])
  apply fast
  done

end

```

## 5 Trees and forests, a mutually recursive type definition

**theory** *Tree-Forest* **imports** *Main* **begin**

### 5.1 Datatype definition

```

consts
  tree :: i => i
  forest :: i => i
  tree-forest :: i => i

datatype tree(A) = Tcons (a ∈ A, f ∈ forest(A))
  and forest(A) = Fnil | Fcons (t ∈ tree(A), f ∈ forest(A))

lemmas tree'induct =

```

$tree\text{-}forest.mutual\text{-}induct$  [*THEN* *conjunct1*, *THEN* *spec*, *THEN* [2] *rev-mp*, of  
*concl*: - *t*, *standard*, *consumes* 1]  
**and**  $forest'\text{-}induct$  =  
 $tree\text{-}forest.mutual\text{-}induct$  [*THEN* *conjunct2*, *THEN* *spec*, *THEN* [2] *rev-mp*, of  
*concl*: - *f*, *standard*, *consumes* 1]

**declare**  $tree\text{-}forest.intros$  [*simp*, *TC*]

**lemma**  $tree\text{-}def$ :  $tree(A) == Part(tree\text{-}forest(A), Inl)$   
**by** (*simp only*:  $tree\text{-}forest.defs$ )

**lemma**  $forest\text{-}def$ :  $forest(A) == Part(tree\text{-}forest(A), Inr)$   
**by** (*simp only*:  $tree\text{-}forest.defs$ )

$tree\text{-}forest(A)$  as the union of  $tree(A)$  and  $forest(A)$ .

**lemma**  $tree\text{-}subset\text{-}TF$ :  $tree(A) \subseteq tree\text{-}forest(A)$   
**apply** (*unfold*  $tree\text{-}forest.defs$ )  
**apply** (*rule* *Part-subset*)  
**done**

**lemma**  $treeI$  [*TC*]:  $x \in tree(A) ==> x \in tree\text{-}forest(A)$   
**by** (*rule*  $tree\text{-}subset\text{-}TF$  [*THEN* *subsetD*])

**lemma**  $forest\text{-}subset\text{-}TF$ :  $forest(A) \subseteq tree\text{-}forest(A)$   
**apply** (*unfold*  $tree\text{-}forest.defs$ )  
**apply** (*rule* *Part-subset*)  
**done**

**lemma**  $treeI'$  [*TC*]:  $x \in forest(A) ==> x \in tree\text{-}forest(A)$   
**by** (*rule*  $forest\text{-}subset\text{-}TF$  [*THEN* *subsetD*])

**lemma**  $TF\text{-}equals\text{-}Un$ :  $tree(A) \cup forest(A) = tree\text{-}forest(A)$   
**apply** (*insert*  $tree\text{-}subset\text{-}TF$   $forest\text{-}subset\text{-}TF$ )  
**apply** (*auto intro!*: *equalityI*  $tree\text{-}forest.intros$  *elim*:  $tree\text{-}forest.cases$ )  
**done**

**lemma**  
**notes**  $rews = tree\text{-}forest.con\text{-}defs$   $tree\text{-}def$   $forest\text{-}def$   
**shows**  
 $tree\text{-}forest\text{-}unfold$ :  $tree\text{-}forest(A) =$   
 $(A \times forest(A)) + (\{0\} + tree(A) \times forest(A))$   
— NOT useful, but interesting ...  
**apply** (*unfold*  $tree\text{-}def$   $forest\text{-}def$ )  
**apply** (*fast intro!*:  $tree\text{-}forest.intros$  [*unfolded*  $rews$ , *THEN* *PartD1*]  
*elim*:  $tree\text{-}forest.cases$  [*unfolded*  $rews$ ])  
**done**

**lemma**  $tree\text{-}forest\text{-}unfold'$ :  
 $tree\text{-}forest(A) =$

$A \times \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inr}(w)) +$   
 $\{0\} + \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inl}(w)) * \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inr}(w))$   
**by** (rule tree-forest-unfold [unfolded tree-def forest-def])

**lemma** tree-unfold:  $\text{tree}(A) = \{\text{Inl}(x). x \in A \times \text{forest}(A)\}$   
**apply** (unfold tree-def forest-def)  
**apply** (rule Part-Inl [THEN subst])  
**apply** (rule tree-forest-unfold' [THEN subst-context])  
**done**

**lemma** forest-unfold:  $\text{forest}(A) = \{\text{Inr}(x). x \in \{0\} + \text{tree}(A) * \text{forest}(A)\}$   
**apply** (unfold tree-def forest-def)  
**apply** (rule Part-Inr [THEN subst])  
**apply** (rule tree-forest-unfold' [THEN subst-context])  
**done**

Type checking for recursor: Not needed; possibly interesting?

**lemma** TF-rec-type:  
 $\llbracket z \in \text{tree-forest}(A);$   
 $\quad !!x f r. \llbracket x \in A; f \in \text{forest}(A); r \in C(f)$   
 $\quad \rrbracket ==> b(x, f, r) \in C(Tcons(x, f));$   
 $c \in C(Fnil);$   
 $\quad !!t f r1 r2. \llbracket t \in \text{tree}(A); f \in \text{forest}(A); r1 \in C(t); r2 \in C(f)$   
 $\quad \rrbracket ==> d(t, f, r1, r2) \in C(Fcons(t, f))$   
 $\rrbracket ==> \text{tree-forest-rec}(b, c, d, z) \in C(z)$   
**by** (induct-tac z) simp-all

**lemma** tree-forest-rec-type:  
 $\llbracket !!x f r. \llbracket x \in A; f \in \text{forest}(A); r \in D(f)$   
 $\quad \rrbracket ==> b(x, f, r) \in C(Tcons(x, f));$   
 $c \in D(Fnil);$   
 $\quad !!t f r1 r2. \llbracket t \in \text{tree}(A); f \in \text{forest}(A); r1 \in C(t); r2 \in D(f)$   
 $\quad \rrbracket ==> d(t, f, r1, r2) \in D(Fcons(t, f))$   
 $\rrbracket ==> (\forall t \in \text{tree}(A). \text{tree-forest-rec}(b, c, d, t) \in C(t)) \wedge$   
 $(\forall f \in \text{forest}(A). \text{tree-forest-rec}(b, c, d, f) \in D(f))$   
 — Mutually recursive version.  
**apply** (unfold Ball-def)  
**apply** (rule tree-forest.mutual-induct)  
**apply** simp-all  
**done**

## 5.2 Operations

**consts**  
 $\text{map} :: [i ==> i, i] ==> i$   
 $\text{size} :: i ==> i$   
 $\text{preorder} :: i ==> i$   
 $\text{list-of-TF} :: i ==> i$   
 $\text{of-list} :: i ==> i$



$reflect :: i ==> i$

**primrec**

$list-of-TF \ (Tcons(x,f)) = [Tcons(x,f)]$   
 $list-of-TF \ (Fnil) = []$   
 $list-of-TF \ (Fcons(t,tf)) = Cons \ (t, list-of-TF(tf))$

**primrec**

$of-list([]) = Fnil$   
 $of-list(Cons(t,l)) = Fcons(t, of-list(l))$

**primrec**

$map \ (h, Tcons(x,f)) = Tcons(h(x), map(h,f))$   
 $map \ (h, Fnil) = Fnil$   
 $map \ (h, Fcons(t,tf)) = Fcons \ (map(h, t), map(h, tf))$

**primrec**

$size \ (Tcons(x,f)) = succ(size(f))$   
 $size \ (Fnil) = 0$   
 $size \ (Fcons(t,tf)) = size(t) \ \# + \ size(tf)$

**primrec**

$preorder \ (Tcons(x,f)) = Cons(x, preorder(f))$   
 $preorder \ (Fnil) = Nil$   
 $preorder \ (Fcons(t,tf)) = preorder(t) \ @ \ preorder(tf)$

**primrec**

$reflect \ (Tcons(x,f)) = Tcons(x, reflect(f))$   
 $reflect \ (Fnil) = Fnil$   
 $reflect \ (Fcons(t,tf)) =$   
 $of-list \ (list-of-TF \ (reflect(tf)) \ @ \ Cons(reflect(t), Nil))$

$list-of-TF$  and  $of-list$ .

**lemma**  $list-of-TF-type \ [TC]$ :

$z \in tree-forest(A) ==> list-of-TF(z) \in list(tree(A))$

**by**  $(induct \ set: tree-forest) \ simp-all$

**lemma**  $of-list-type \ [TC]$ :  $l \in list(tree(A)) ==> of-list(l) \in forest(A)$

**by**  $(induct \ set: list) \ simp-all$

$map$ .

**lemma**

**assumes**  $!!x. x \in A ==> h(x): B$

**shows**  $map-tree-type: t \in tree(A) ==> map(h,t) \in tree(B)$

**and**  $map-forest-type: f \in forest(A) ==> map(h,f) \in forest(B)$

**using**  $prems$

**by**  $(induct \ rule: tree'induct \ forest'induct) \ simp-all$

$size$ .

**lemma** *size-type* [TC]:  $z \in \text{tree-forest}(A) \implies \text{size}(z) \in \text{nat}$   
**by** (*induct set: tree-forest*) *simp-all*

*preorder*.

**lemma** *preorder-type* [TC]:  $z \in \text{tree-forest}(A) \implies \text{preorder}(z) \in \text{list}(A)$   
**by** (*induct set: tree-forest*) *simp-all*

Theorems about *list-of-TF* and *of-list*.

**lemma** *forest-induct* [*consumes 1, case-names Fnil Fcons*]:  
 $\llbracket f \in \text{forest}(A);$   
 $\quad R(\text{Fnil});$   
 $\quad \llbracket t f. \llbracket t \in \text{tree}(A); f \in \text{forest}(A); R(f) \rrbracket \implies R(\text{Fcons}(t, f))$   
 $\rrbracket \implies R(f)$   
— Essentially the same as list induction.  
**apply** (*erule tree-forest.mutual-induct*  
 $[\text{THEN conjunct2}, \text{THEN spec}, \text{THEN } [2] \text{ rev-mp}]$ )  
**apply** (*rule TrueI*)  
**apply** *simp*  
**apply** *simp*  
**done**

**lemma** *forest-iso*:  $f \in \text{forest}(A) \implies \text{of-list}(\text{list-of-TF}(f)) = f$   
**by** (*induct rule: forest-induct*) *simp-all*

**lemma** *tree-list-iso*:  $ts: \text{list}(\text{tree}(A)) \implies \text{list-of-TF}(\text{of-list}(ts)) = ts$   
**by** (*induct set: list*) *simp-all*

Theorems about *map*.

**lemma** *map-ident*:  $z \in \text{tree-forest}(A) \implies \text{map}(\lambda u. u, z) = z$   
**by** (*induct set: tree-forest*) *simp-all*

**lemma** *map-compose*:  
 $z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j, z)) = \text{map}(\lambda u. h(j(u)), z)$   
**by** (*induct set: tree-forest*) *simp-all*

Theorems about *size*.

**lemma** *size-map*:  $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h, z)) = \text{size}(z)$   
**by** (*induct set: tree-forest*) *simp-all*

**lemma** *size-length*:  $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$   
**by** (*induct set: tree-forest*) (*simp-all add: length-app*)

Theorems about *preorder*.

**lemma** *preorder-map*:  
 $z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h, z)) = \text{List-ZF.map}(h, \text{preorder}(z))$   
**by** (*induct set: tree-forest*) (*simp-all add: map-app-distrib*)

end

## 6 Infinite branching datatype definitions

theory *Brouwer* imports *Main-ZFC* begin

### 6.1 The Brouwer ordinals

consts

*brouwer* :: *i*

datatype  $\subseteq V_{\text{from}}(0, \text{csucc}(\text{nat}))$

*brouwer* = *Zero* | *Suc* (*b*  $\in$  *brouwer*) | *Lim* (*h*  $\in$  *nat*  $\rightarrow$  *brouwer*)

monos *Pi-mono*

type-intros *inf-datatype-intros*

lemma *brouwer-unfold*: *brouwer* =  $\{0\} + \text{brouwer} + (\text{nat} \rightarrow \text{brouwer})$

by (*fast intro!*: *brouwer.intros* [*unfolded brouwer.con-defs*])

*elim*: *brouwer.cases* [*unfolded brouwer.con-defs*])

lemma *brouwer-induct2* [*consumes 1, case-names Zero Suc Lim*]:

assumes *b*: *b*  $\in$  *brouwer*

and *cases*:

*P*(*Zero*)

!!*b*. [*b*  $\in$  *brouwer*; *P*(*b*) ]  $\Rightarrow$  *P*(*Suc*(*b*))

!!*h*. [*h*  $\in$  *nat*  $\rightarrow$  *brouwer*;  $\forall i \in \text{nat}. P(h'i)$  ]  $\Rightarrow$  *P*(*Lim*(*h*))

shows *P*(*b*)

— A nicer induction rule than the standard one.

using *b*

apply *induct*

apply (*rule cases*(1))

apply (*erule* (1) *cases*(2))

apply (*rule cases*(3))

apply (*fast elim*: *fun-weaken-type*)

apply (*fast dest*: *apply-type*)

done

### 6.2 The Martin-Löf wellordering type

consts

*Well* :: [*i, i*  $\Rightarrow$  *i*]  $\Rightarrow$  *i*

datatype  $\subseteq V_{\text{from}}(A \cup (\bigcup x \in A. B(x)), \text{csucc}(\text{nat} \cup |\bigcup x \in A. B(x)|))$

— The union with *nat* ensures that the cardinal is infinite.

*Well*(*A, B*) = *Sup* (*a*  $\in$  *A, f*  $\in$  *B*(*a*)  $\rightarrow$  *Well*(*A, B*))

monos *Pi-mono*

type-intros [*le-trans* [*OF UN-upper-cardinal le-nat-Un-cardinal*] *inf-datatype-intros*]

**lemma** *Well-unfold*:  $Well(A, B) = (\Sigma x \in A. B(x) \rightarrow Well(A, B))$   
**by** (*fast intro!*: *Well.intros* [*unfolded Well.con-defs*]  
*elim*: *Well.cases* [*unfolded Well.con-defs*])

**lemma** *Well-induct2* [*consumes 1, case-names step*]:  
**assumes** *w*:  $w \in Well(A, B)$   
**and** *step*:  $!!a f. [| a \in A; f \in B(a) \rightarrow Well(A, B); \forall y \in B(a). P(f'y) |]$   
 $\Rightarrow P(Sup(a, f))$   
**shows**  $P(w)$   
— A nicer induction rule than the standard one.  
**using** *w*  
**apply** *induct*  
**apply** (*assumption* | *rule step*) +  
**apply** (*fast elim*: *fun-weaken-type*)  
**apply** (*fast dest*: *apply-type*)  
**done**

**lemma** *Well-bool-unfold*:  $Well(bool, \lambda x. x) = 1 + (1 \rightarrow Well(bool, \lambda x. x))$   
— In fact it's isomorphic to *nat*, but we need a recursion operator  
— for *Well* to prove this.  
**apply** (*rule Well-unfold* [*THEN trans*])  
**apply** (*simp add*: *Sigma-bool Pi-empty1 succ-def*)  
**done**

**end**

## 7 The Mutilated Chess Board Problem, formalized inductively

**theory** *Mutil* **imports** *Main* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

**consts**

*domino* :: *i*  
*tiling* :: *i*  $\Rightarrow$  *i*

**inductive**

**domains** *domino*  $\subseteq Pow(nat \times nat)$

**intros**

*horiz*:  $[| i \in nat; j \in nat |] \Rightarrow \{<i, j>, <i, succ(j)>\} \in domino$   
*vertl*:  $[| i \in nat; j \in nat |] \Rightarrow \{<i, j>, <succ(i), j>\} \in domino$

**type-intros** *empty-subsetI cons-subsetI PowI SigmaI nat-succI*

**inductive**

**domains**  $tiling(A) \subseteq Pow(Union(A))$   
**intros**  
*empty*:  $0 \in tiling(A)$   
*Un*:  $[| a \in A; t \in tiling(A); a \text{ Int } t = 0 |] ==> a \text{ Un } t \in tiling(A)$   
**type-intros** *empty-subsetI Union-upper Un-least PowI*  
**type-elim** *PowD [elim-format]*

#### definition

*evnodd* ::  $[i, i] ==> i$  **where**  
*evnodd*( $A, b$ ) ==  $\{z \in A. \exists i j. z = \langle i, j \rangle \wedge (i \# + j) \bmod 2 = b\}$

### 7.1 Basic properties of evnodd

**lemma** *evnodd-iff*:  $\langle i, j \rangle: evnodd(A, b) \leftrightarrow \langle i, j \rangle: A \ \& \ (i \# + j) \bmod 2 = b$   
**by** (*unfold evnodd-def*) *blast*

**lemma** *evnodd-subset*:  $evnodd(A, b) \subseteq A$   
**by** (*unfold evnodd-def*) *blast*

**lemma** *Finite-evnodd*:  $Finite(X) ==> Finite(evnodd(X, b))$   
**by** (*rule lepoll-Finite, rule subset-imp-lepoll, rule evnodd-subset*)

**lemma** *evnodd-Un*:  $evnodd(A \text{ Un } B, b) = evnodd(A, b) \text{ Un } evnodd(B, b)$   
**by** (*simp add: evnodd-def Collect-Un*)

**lemma** *evnodd-Diff*:  $evnodd(A - B, b) = evnodd(A, b) - evnodd(B, b)$   
**by** (*simp add: evnodd-def Collect-Diff*)

**lemma** *evnodd-cons* [*simp*]:  
*evnodd*(*cons*( $\langle i, j \rangle, C$ ),  $b$ ) =  
*(if*  $(i \# + j) \bmod 2 = b$  *then* *cons*( $\langle i, j \rangle$ , *evnodd*( $C, b$ )) *else* *evnodd*( $C, b$ ))  
**by** (*simp add: evnodd-def Collect-cons*)

**lemma** *evnodd-0* [*simp*]:  $evnodd(0, b) = 0$   
**by** (*simp add: evnodd-def*)

### 7.2 Dominoes

**lemma** *domino-Finite*:  $d \in domino ==> Finite(d)$   
**by** (*blast intro!: Finite-cons Finite-0 elim: domino.cases*)

**lemma** *domino-singleton*:  
 $[| d \in domino; b < 2 |] ==> \exists i' j'. evnodd(d, b) = \{\langle i', j' \rangle\}$   
**apply** (*erule domino.cases*)  
**apply** (*rule-tac* [2]  $k1 = i \# + j$  **in** *mod2-cases* [*THEN disjE*])  
**apply** (*rule-tac*  $k1 = i \# + j$  **in** *mod2-cases* [*THEN disjE*])  
**apply** (*rule add-type | assumption*) +  
**apply** (*auto simp add: mod-succ succ-neq-self dest: ltD*)  
**done**

### 7.3 Tilings

The union of two disjoint tilings is a tiling

**lemma** *tiling-UnI*:

```

   $t \in \text{tiling}(A) \implies u \in \text{tiling}(A) \implies t \text{ Int } u = 0 \implies t \text{ Un } u \in \text{tiling}(A)$ 
  apply (induct set: tiling)
  apply (simp add: tiling.intros)
  apply (simp add: Un-assoc subset-empty-iff [THEN iff-sym])
  apply (blast intro: tiling.intros)
done

```

**lemma** *tiling-domino-Finite*:  $t \in \text{tiling}(\text{domino}) \implies \text{Finite}(t)$

```

  apply (induct set: tiling)
  apply (rule Finite-0)
  apply (blast intro!: Finite-Un intro: domino-Finite)
done

```

**lemma** *tiling-domino-0-1*:  $t \in \text{tiling}(\text{domino}) \implies |\text{evnodd}(t,0)| = |\text{evnodd}(t,1)|$

```

  apply (induct set: tiling)
  apply (simp add: evnodd-def)
  apply (rule-tac b1 = 0 in domino-singleton [THEN exE])
  prefer 2
  apply simp
  apply assumption
  apply (rule-tac b1 = 1 in domino-singleton [THEN exE])
  prefer 2
  apply simp
  apply assumption
  apply safe
  apply (subgoal-tac  $\forall p b. p \in \text{evnodd}(a,b) \longrightarrow p \notin \text{evnodd}(t,b)$ )
  apply (simp add: evnodd-Un Un-cons tiling-domino-Finite
    evnodd-subset [THEN subset-Finite] Finite-imp-cardinal-cons)
  apply (blast dest!: evnodd-subset [THEN subsetD] elim: equalityE)
done

```

**lemma** *dominoes-tile-row*:

```

   $[[i \in \text{nat}; n \in \text{nat}]] \implies \{i\} * (n \# + n) \in \text{tiling}(\text{domino})$ 
  apply (induct-tac n)
  apply (simp add: tiling.intros)
  apply (simp add: Un-assoc [symmetric] Sigma-succ2)
  apply (rule tiling.intros)
  prefer 2 apply assumption
  apply (rename-tac n')
  apply (subgoal-tac
     $\{i\} * \{\text{succ}(n' \# + n')\} \text{ Un } \{i\} * \{n' \# + n'\} =$ 
 $\{<i, n' \# + n'>, <i, \text{succ}(n' \# + n')>\})$ 
  prefer 2 apply blast
  apply (simp add: domino.horiz)
  apply (blast elim: mem-irrefl mem-asym)

```

```

done

lemma dominoes-tile-matrix:
  [| m ∈ nat; n ∈ nat |] ==> m * (n #+ n) ∈ tiling(domino)
  apply (induct-tac m)
  apply (simp add: tiling.intros)
  apply (simp add: Sigma-succ1)
  apply (blast intro: tiling-UnI dominoes-tile-row elim: mem-irrefl)
done

lemma eq-lt-E: [| x=y; x<y |] ==> P
  by auto

theorem mutil-not-tiling: [| m ∈ nat; n ∈ nat;
  t = (succ(m)#+succ(m))*(succ(n)#+succ(n));
  t' = t - {<0,0>} - {<succ(m#+m), succ(n#+n)>} |]
  ==> t' ∉ tiling(domino)
  apply (rule notI)
  apply (drule tiling-domino-0-1)
  apply (erule-tac x = |?A| in eq-lt-E)
  apply (subgoal-tac t ∈ tiling (domino))
  prefer 2
  apply (simp only: nat-succI add-type dominoes-tile-matrix)
  apply (simp add: evnodd-Diff mod2-add-self mod2-succ-succ
    tiling-domino-0-1 [symmetric])
  apply (rule lt-trans)
  apply (rule Finite-imp-cardinal-Diff,
    simp add: tiling-domino-Finite Finite-evnodd Finite-Diff,
    simp add: evnodd-iff nat-0-le [THEN ltD] mod2-add-self)+
done

end

```

**theory FoldSet imports Main begin**

**consts** fold-set :: [*i*, *i*, [*i*,*i*]=>*i*, *i*] => *i*

**inductive**

**domains** fold-set(*A*, *B*, *f*,*e*) <= Fin(*A*)\**B*

**intros**

*emptyI*: *e*∈*B* ==> <0, *e*>∈fold-set(*A*, *B*, *f*,*e*)

*consI*: [| *x*∈*A*; *x* ∉ *C*; <*C*,*y*> : fold-set(*A*, *B*,*f*,*e*); *f*(*x*,*y*):*B* |]  
 ==> <cons(*x*,*C*), *f*(*x*,*y*)>∈fold-set(*A*, *B*, *f*, *e*)

**type-intros** Fin.intros

**definition**

*fold* :: [*i*, [*i*,*i*]=>*i*, *i*, *i*] => *i* (fold[-]'(-,-,-)) **where**

$fold[B](f, e, A) == THE\ x.\ <A, x> \in fold\text{-}set(A, B, f, e)$

**definition**

$setsum :: [i=>i, i] => i$  **where**  
 $setsum(g, C) == if\ Finite(C)\ then$   
 $fold[int](\%x\ y.\ g(x)\ \$+\ y, \#0, C)\ else\ \#0$

**inductive-cases**  $empty\text{-}fold\text{-}setE: <0, x> : fold\text{-}set(A, B, f, e)$

**inductive-cases**  $cons\text{-}fold\text{-}setE: <cons(x, C), y> : fold\text{-}set(A, B, f, e)$

**lemma**  $cons\text{-}lemma1: [| x \notin C; x \notin B |] ==> cons(x, B) = cons(x, C) <-> B = C$   
**by** ( $auto\ elim: equalityE$ )

**lemma**  $cons\text{-}lemma2: [| cons(x, B) = cons(y, C); x \neq y; x \notin B; y \notin C |]$   
 $==> B - \{y\} = C - \{x\} \ \&\ x \in C \ \&\ y \in B$   
**apply** ( $auto\ elim: equalityE$ )  
**done**

**lemma**  $fold\text{-}set\text{-}mono\text{-}lemma:$   
 $<C, x> : fold\text{-}set(A, B, f, e)$   
 $==> ALL\ D.\ A \leq D \ --> <C, x> : fold\text{-}set(D, B, f, e)$   
**apply** ( $erule\ fold\text{-}set.induct$ )  
**apply** ( $auto\ intro: fold\text{-}set.intros$ )  
**done**

**lemma**  $fold\text{-}set\text{-}mono: C \leq A ==> fold\text{-}set(C, B, f, e) \leq fold\text{-}set(A, B, f, e)$   
**apply**  $clarify$   
**apply** ( $frule\ fold\text{-}set.dom\text{-}subset\ [THEN\ subsetD],\ clarify$ )  
**apply** ( $auto\ dest: fold\text{-}set\text{-}mono\text{-}lemma$ )  
**done**

**lemma**  $fold\text{-}set\text{-}lemma:$   
 $<C, x> \in fold\text{-}set(A, B, f, e) ==> <C, x> \in fold\text{-}set(C, B, f, e) \ \&\ C \leq A$   
**apply** ( $erule\ fold\text{-}set.induct$ )  
**apply** ( $auto\ intro!: fold\text{-}set.intros\ intro: fold\text{-}set\text{-}mono\ [THEN\ subsetD]$ )  
**done**

**lemma**  $Diff1\text{-}fold\text{-}set:$   
 $[| <C - \{x\}, y> : fold\text{-}set(A, B, f, e); x \in C; x \in A; f(x, y):B |]$   
 $==> <C, f(x, y)> : fold\text{-}set(A, B, f, e)$   
**apply** ( $frule\ fold\text{-}set.dom\text{-}subset\ [THEN\ subsetD]$ )  
**apply** ( $erule\ cons\text{-}Diff\ [THEN\ subst],\ rule\ fold\text{-}set.intros,\ auto$ )  
**done**



```

locale fold-typing =
  fixes A and B and e and f
  assumes ftype [intro,simp]:  $[[x \in A; y \in B]] \implies f(x,y) \in B$ 
    and etype [intro,simp]:  $e \in B$ 
    and fcomm:  $[[x \in A; y \in A; z \in B]] \implies f(x, f(y, z)) = f(y, f(x, z))$ 

lemma (in fold-typing) Fin-imp-fold-set:
   $C \in \text{Fin}(A) \implies (\exists x. <C, x> : \text{fold-set}(A, B, f, e))$ 
apply (erule Fin-induct)
apply (auto dest: fold-set.dom-subset [THEN subsetD]
  intro: fold-set.intros etype ftype)
done

lemma Diff-sing-imp:
   $[[C - \{b\} = D - \{a\}; a \neq b; b \in C]] \implies C = \text{cons}(b, D) - \{a\}$ 
by (blast elim: equalityE)

lemma (in fold-typing) fold-set-determ-lemma [rule-format]:
  n  $\in \text{nat}$ 
   $\implies \text{ALL } C. |C| < n \dashv\dashv$ 
    ( $\text{ALL } x. <C, x> : \text{fold-set}(A, B, f, e) \dashv\dashv$ 
      ( $\text{ALL } y. <C, y> : \text{fold-set}(A, B, f, e) \dashv\dashv y=x$ ))
apply (erule nat-induct)
apply (auto simp add: le-iff)
apply (erule fold-set.cases)
apply (force elim!: empty-fold-setE)
apply (erule fold-set.cases)
apply (force elim!: empty-fold-setE, clarify)

apply (frule-tac a = Ca in fold-set.dom-subset [THEN subsetD, THEN SigmaD1])
apply (frule-tac a = Cb in fold-set.dom-subset [THEN subsetD, THEN SigmaD1])
apply (simp add: Fin-into-Finite [THEN Finite-imp-cardinal-cons])
apply (case-tac x=xb, auto)
apply (simp add: cons-lemma1, blast)

case  $x \neq xb$ 

apply (drule cons-lemma2, safe)
apply (frule Diff-sing-imp, assumption+)

* LEVEL 17

apply (subgoal-tac  $|Ca| \text{ le } |Cb|$ )
prefer 2
apply (rule succ-le-imp-le)
apply (simp add: Fin-into-Finite Finite-imp-succ-cardinal-Diff
  Fin-into-Finite [THEN Finite-imp-cardinal-cons])
apply (rule-tac  $C1 = Ca - \{xb\}$  in Fin-imp-fold-set [THEN exE])

```

```

apply (blast intro: Diff-subset [THEN Fin-subset])

* LEVEL 24 *

apply (frule Diff1-fold-set, blast, blast)
apply (blast dest!: ftype fold-set.dom-subset [THEN subsetD])
apply (subgoal-tac ya = f(xb,xa) )
prefer 2 apply (blast del: equalityCE)
apply (subgoal-tac <Cb-{x}, xa> : fold-set(A,B,f,e))
prefer 2 apply simp
apply (subgoal-tac yb = f (x, xa) )
apply (drule-tac [2] C = Cb in Diff1-fold-set, simp-all)
apply (blast intro: fcomm dest!: fold-set.dom-subset [THEN subsetD])
apply (blast intro: ftype dest!: fold-set.dom-subset [THEN subsetD], blast)
done

```

```

lemma (in fold-typing) fold-set-determ:
  [| <C, x> ∈ fold-set(A, B, f, e);
    <C, y> ∈ fold-set(A, B, f, e) |] ==> y=x
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (drule Fin-into-Finite)
apply (unfold Finite-def, clarify)
apply (rule-tac n = succ (n) in fold-set-determ-lemma)
apply (auto intro: eqpoll-imp-lepoll [THEN lepoll-cardinal-le])
done

```

```

lemma (in fold-typing) fold-equality:
  <C,y> : fold-set(A,B,f,e) ==> fold[B](f,e,C) = y
apply (unfold fold-def)
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (rule the-equality)
apply (rule-tac [2] A=C in fold-typing.fold-set-determ)
apply (force dest: fold-set-lemma)
apply (auto dest: fold-set-lemma)
apply (simp add: fold-typing-def, auto)
apply (auto dest: fold-set-lemma intro: ftype etype fcomm)
done

```

```

lemma fold-0 [simp]: e : B ==> fold[B](f,e,0) = e
apply (unfold fold-def)
apply (blast elim!: empty-fold-setE intro: fold-set.intros)
done

```

This result is the right-to-left direction of the subsequent result

```

lemma (in fold-typing) fold-set-imp-cons:
  [| <C, y> : fold-set(C, B, f, e); C : Fin(A); c : A; c ∉ C |]
  ==> <cons(c, C), f(c,y)> : fold-set(cons(c, C), B, f, e)
apply (frule FinD [THEN fold-set-mono, THEN subsetD])

```

```

apply assumption
apply (frule fold-set.dom-subset [of A, THEN subsetD])
apply (blast intro!: fold-set.consI intro: fold-set-mono [THEN subsetD])
done

lemma (in fold-typing) fold-cons-lemma [rule-format]:
  [| C : Fin(A); c : A; c ∉ C |]
    ==> <cons(c, C), v> : fold-set(cons(c, C), B, f, e) <->
      (EX y. <C, y> : fold-set(C, B, f, e) & v = f(c, y))
apply auto
prefer 2 apply (blast intro: fold-set-imp-cons)
apply (frule-tac Fin.consI [of c, THEN FinD, THEN fold-set-mono, THEN subsetD], assumption+)
apply (frule-tac fold-set.dom-subset [of A, THEN subsetD])
apply (drule FinD)
apply (rule-tac A1 = cons(c,C) and f1=f and B1=B and C1=C and e1=e
in fold-typing.Fin-imp-fold-set [THEN exE])
apply (blast intro: fold-typing.intro ftype etype fcomm)
apply (blast intro: Fin-subset [of - cons(c,C)] Finite-into-Fin
  dest: Fin-into-Finite)
apply (rule-tac x = x in exI)
apply (auto intro: fold-set.intros)
apply (drule-tac fold-set-lemma [of C], blast)
apply (blast intro!: fold-set.consI
  intro: fold-set-determ fold-set-mono [THEN subsetD]
  dest: fold-set.dom-subset [THEN subsetD])
done

lemma (in fold-typing) fold-cons:
  [| C ∈ Fin(A); c ∈ A; c ∉ C |]
    ==> fold[B](f, e, cons(c, C)) = f(c, fold[B](f, e, C))
apply (unfold fold-def)
apply (simp add: fold-cons-lemma)
apply (rule the-equality, auto)
apply (subgoal-tac [2] <C, y> ∈ fold-set(A, B, f, e))
apply (drule Fin-imp-fold-set)
apply (auto dest: fold-set-lemma simp add: fold-def [symmetric] fold-equality)
apply (blast intro: fold-set-mono [THEN subsetD] dest!: FinD)
done

lemma (in fold-typing) fold-type [simp, TC]:
  C ∈ Fin(A) ==> fold[B](f, e, C):B
apply (erule Fin-induct)
apply (simp-all add: fold-cons ftype etype)
done

lemma (in fold-typing) fold-commute [rule-format]:
  [| C ∈ Fin(A); c ∈ A |]
    ==> (∀ y ∈ B. f(c, fold[B](f, y, C)) = fold[B](f, f(c, y), C))

```

```

apply (erule Fin-induct)
apply (simp-all add: fold-typing.fold-cons [of  $A \ B - f$ ]
        fold-typing.fold-type [of  $A \ B - f$ ]
        fold-typing-def fcomm)
done

lemma (in fold-typing) fold-nest-Un-Int:
  [|  $C \in \text{Fin}(A)$ ;  $D \in \text{Fin}(A)$  |]
  ==> fold[B](f, fold[B](f, e, D), C) =
    fold[B](f, fold[B](f, e, (C Int D)), C Un D)
apply (erule Fin-induct, auto)
apply (simp add: Un-cons Int-cons-left fold-type fold-commute
        fold-typing.fold-cons [of  $A - - f$ ]
        fold-typing-def fcomm cons-absorb)
done

lemma (in fold-typing) fold-nest-Un-disjoint:
  [|  $C \in \text{Fin}(A)$ ;  $D \in \text{Fin}(A)$ ;  $C \text{ Int } D = 0$  |]
  ==> fold[B](f, e, C Un D) = fold[B](f, fold[B](f, e, D), C)
by (simp add: fold-nest-Un-Int)

lemma Finite-cons-lemma: Finite(C) ==>  $C \in \text{Fin}(\text{cons}(c, C))$ 
apply (drule Finite-into-Fin)
apply (blast intro: Fin-mono [THEN subsetD])
done

```

## 7.4 The Operator *setsum*

```

lemma setsum-0 [simp]: setsum(g, 0) = #0
by (simp add: setsum-def)

lemma setsum-cons [simp]:
  Finite(C) ==>
    setsum(g, cons(c, C)) =
      (if c : C then setsum(g, C) else g(c) $+ setsum(g, C))
apply (auto simp add: setsum-def Finite-cons cons-absorb)
apply (rule-tac  $A = \text{cons}(c, C)$  in fold-typing.fold-cons)
apply (auto intro: fold-typing.intro Finite-cons-lemma)
done

lemma setsum-K0: setsum((%i. #0), C) = #0
apply (case-tac Finite (C) )
  prefer 2 apply (simp add: setsum-def)
apply (erule Finite-induct, auto)
done

lemma setsum-Un-Int:
  [| Finite(C); Finite(D) |]

```

```

==> setsum(g, C Un D) $+ setsum(g, C Int D)
    = setsum(g, C) $+ setsum(g, D)
apply (erule Finite-induct)
apply (simp-all add: Int-cons-right cons-absorb Un-cons Int-commute Finite-Un
        Int-lower1 [THEN subset-Finite])
done

lemma setsum-type [simp, TC]: setsum(g, C):int
apply (case-tac Finite (C) )
prefer 2 apply (simp add: setsum-def)
apply (erule Finite-induct, auto)
done

lemma setsum-Un-disjoint:
  [| Finite(C); Finite(D); C Int D = 0 |]
  ==> setsum(g, C Un D) = setsum(g, C) $+ setsum(g, D)
apply (subst setsum-Un-Int [symmetric])
apply (subgoal-tac [3] Finite (C Un D) )
apply (auto intro: Finite-Un)
done

lemma Finite-RepFun [rule-format (no-asm)]:
  Finite(I) ==> (∀ i∈I. Finite(C(i))) --> Finite(RepFun(I, C))
apply (erule Finite-induct, auto)
done

lemma setsum-UN-disjoint [rule-format (no-asm)]:
  Finite(I)
  ==> (∀ i∈I. Finite(C(i))) -->
    (∀ i∈I. ∀ j∈I. i≠j --> C(i) Int C(j) = 0) -->
    setsum(f, ⋃ i∈I. C(i)) = setsum (%i. setsum(f, C(i)), I)
apply (erule Finite-induct, auto)
apply (subgoal-tac ∀ i∈B. x ≠ i)
prefer 2 apply blast
apply (subgoal-tac C (x) Int (⋃ i∈B. C (i)) = 0)
prefer 2 apply blast
apply (subgoal-tac Finite (⋃ i∈B. C (i)) & Finite (C (x)) & Finite (B) )
apply (simp (no-asm-simp) add: setsum-Un-disjoint)
apply (auto intro: Finite-Union Finite-RepFun)
done

lemma setsum-addf: setsum(%x. f(x) $+ g(x), C) = setsum(f, C) $+ setsum(g,
C)
apply (case-tac Finite (C) )
prefer 2 apply (simp add: setsum-def)
apply (erule Finite-induct, auto)
done

```

**lemma** *fold-set-cong*:  

$$[[ A=A'; B=B'; e=e'; (\forall x \in A'. \forall y \in B'. f(x,y) = f'(x,y)) ]] \\ \implies \text{fold-set}(A,B,f,e) = \text{fold-set}(A',B',f',e')$$
  
**apply** (*simp add: fold-set-def*)  
**apply** (*intro refl iff-refl lfp-cong Collect-cong disj-cong ex-cong, auto*)  
**done**

**lemma** *fold-cong*:  

$$[[ B=B'; A=A'; e=e'; \\ !!x y. [[x \in A'; y \in B']] \implies f(x,y) = f'(x,y) ]] \implies \\ \text{fold}[B](f,e,A) = \text{fold}[B'](f', e', A')$$
  
**apply** (*simp add: fold-def*)  
**apply** (*subst fold-set-cong*)  
**apply** (*rule-tac [5] refl, simp-all*)  
**done**

**lemma** *setsum-cong*:  

$$[[ A=B; !!x. x \in B \implies f(x) = g(x) ]] \implies \\ \text{setsum}(f, A) = \text{setsum}(g, B)$$
  
**by** (*simp add: setsum-def cong add: fold-cong*)

**lemma** *setsum-Un*:  

$$[[ \text{Finite}(A); \text{Finite}(B) ]] \\ \implies \text{setsum}(f, A \cup B) = \\ \text{setsum}(f, A) + \text{setsum}(f, B) - \text{setsum}(f, A \cap B)$$
  
**apply** (*subst setsum-Un-Int [symmetric], auto*)  
**done**

**lemma** *setsum-zneg-or-0* [*rule-format (no-asm)*]:  

$$\text{Finite}(A) \implies (\forall x \in A. g(x) \leq \#0) \longrightarrow \text{setsum}(g, A) \leq \#0$$
  
**apply** (*erule Finite-induct*)  
**apply** (*auto intro: zneg-or-0-add-zneg-or-0-imp-zneg-or-0*)  
**done**

**lemma** *setsum-succD-lemma* [*rule-format*]:  

$$\text{Finite}(A) \\ \implies \forall n \in \text{nat}. \text{setsum}(f, A) = \# \text{succ}(n) \longrightarrow (\exists a \in A. \#0 < f(a))$$
  
**apply** (*erule Finite-induct*)  
**apply** (*auto simp del: int-of-0 int-of-succ simp add: not-zless-iff-zle int-of-0 [symmetric]*)  
**apply** (*subgoal-tac setsum (f, B) \leq \#0*)  
**apply** *simp-all*  
**prefer 2 apply** (*blast intro: setsum-zneg-or-0*)  
**apply** (*subgoal-tac \# 1 \leq f (x) + setsum (f, B)*)  
**apply** (*drule zdiff-zle-iff [THEN iffD2]*)  
**apply** (*subgoal-tac \# 1 \leq \# 1 - setsum (f, B)*)  
**apply** (*drule-tac x = \# 1 in zle-trans*)  
**apply** (*rule-tac [2] j = \#1 in zless-zle-trans, auto*)

done

**lemma** *setsum-succD*:

$[[ \text{setsum}(f, A) = \# \text{succ}(n); n \in \text{nat} ]] \implies \exists a \in A. \#0 \leq f(a)$   
**apply** (*case-tac Finite (A)*)  
**apply** (*blast intro: setsum-succD-lemma*)  
**apply** (*unfold setsum-def*)  
**apply** (*auto simp del: int-of-0 int-of-succ simp add: int-succ-int-1 [symmetric]*  
*int-of-0 [symmetric]*)  
done

**lemma** *g-zpos-imp-setsum-zpos* [*rule-format*]:

$\text{Finite}(A) \implies (\forall x \in A. \#0 \leq g(x)) \longrightarrow \#0 \leq \text{setsum}(g, A)$   
**apply** (*erule Finite-induct*)  
**apply** (*simp (no-asm)*)  
**apply** (*auto intro: zpos-add-zpos-imp-zpos*)  
done

**lemma** *g-zpos-imp-setsum-zpos2* [*rule-format*]:

$[[ \text{Finite}(A); \forall x. \#0 \leq g(x) ]] \implies \#0 \leq \text{setsum}(g, A)$   
**apply** (*erule Finite-induct*)  
**apply** (*auto intro: zpos-add-zpos-imp-zpos*)  
done

**lemma** *g-zspos-imp-setsum-zspos* [*rule-format*]:

$\text{Finite}(A) \implies (\forall x \in A. \#0 \leq g(x)) \longrightarrow A \neq 0 \longrightarrow (\#0 \leq \text{setsum}(g, A))$   
**apply** (*erule Finite-induct*)  
**apply** (*auto intro: zspos-add-zspos-imp-zspos*)  
done

**lemma** *setsum-Diff* [*rule-format*]:

$\text{Finite}(A) \implies \forall a. M(a) = \#0 \longrightarrow \text{setsum}(M, A) = \text{setsum}(M, A - \{a\})$   
**apply** (*erule Finite-induct*)  
**apply** (*simp-all add: Diff-cons-eq Finite-Diff*)  
done

end

## 8 The accessible part of a relation

**theory** *Acc* **imports** *Main* **begin**

Inductive definition of  $\text{acc}(r)$ ; see [?].

**consts**

$\text{acc} :: i \implies i$

**inductive**

**domains**  $\text{acc}(r) \subseteq \text{field}(r)$

```

intros
  vimage: [|  $r - \{\{a\} : Pow(acc(r)); a \in field(r)\}$  |] ==>  $a \in acc(r)$ 
monos      Pow-mono

```

The introduction rule must require  $a \in field(r)$ , otherwise  $acc(r)$  would be a proper class!

The intended introduction rule:

```

lemma accI: [| !! $b$ .  $\langle b, a \rangle : r$  ==>  $b \in acc(r)$ ;  $a \in field(r)$  |] ==>  $a \in acc(r)$ 
  by (blast intro: acc.intros)

```

```

lemma acc-downward: [|  $b \in acc(r)$ ;  $\langle a, b \rangle : r$  |] ==>  $a \in acc(r)$ 
  by (erule acc.cases) blast

```

```

lemma acc-induct [consumes 1, case-names vimage, induct set: acc]:
  [|  $a \in acc(r)$ ;
    !! $x$ . [|  $x \in acc(r)$ ;  $\forall y$ .  $\langle y, x \rangle : r \longrightarrow P(y)$  |] ==>  $P(x)$ 
  |] ==>  $P(a)$ 
  by (erule acc.induct) (blast intro: acc.intros)

```

```

lemma wf-on-acc:  $wf[acc(r)](r)$ 
  apply (rule wf-onI2)
  apply (erule acc-induct)
  apply fast
done

```

```

lemma acc-wfI:  $field(r) \subseteq acc(r) \implies wf(r)$ 
  by (erule wf-on-acc [THEN wf-on-subset-A, THEN wf-on-field-imp-wf])

```

```

lemma acc-wfD:  $wf(r) \implies field(r) \subseteq acc(r)$ 
  apply (rule subsetI)
  apply (erule wf-induct2, assumption)
  apply (blast intro: accI) +
done

```

```

lemma wf-acc-iff:  $wf(r) \iff field(r) \subseteq acc(r)$ 
  by (rule iffI, erule acc-wfD, erule acc-wfI)

```

**end**

```

theory Multiset
imports FoldSet Acc
begin

```

```

abbreviation (input)
  — Short cut for multiset space
  Mult ::  $i \Rightarrow i$  where

```



$Mult(A) == A -||> nat-\{0\}$

**definition**

$funrestrict :: [i, i] => i$  **where**  
 $funrestrict(f, A) == \lambda x \in A. f'x$

**definition**

$multiset :: i => o$  **where**  
 $multiset(M) == \exists A. M \in A -> nat-\{0\} \ \& \ Finite(A)$

**definition**

$mset-of :: i => i$  **where**  
 $mset-of(M) == domain(M)$

**definition**

$munion :: [i, i] => i$  (**infixl**  $+\#$  65) **where**  
 $M +\# N == \lambda x \in mset-of(M) \cup mset-of(N).$   
 $if \ x \in mset-of(M) \ Int \ mset-of(N) \ then \ (M'x) \ \# + \ (N'x)$   
 $else \ (if \ x \in mset-of(M) \ then \ M'x \ else \ N'x)$

**definition**

$normalize :: i => i$  **where**  
 $normalize(f) ==$   
 $if \ (\exists A. f \in A -> nat \ \& \ Finite(A)) \ then$   
 $funrestrict(f, \{x \in mset-of(f). \ 0 < f'x\})$   
 $else \ 0$

**definition**

$mdiff :: [i, i] => i$  (**infixl**  $-\#$  65) **where**  
 $M -\# N == normalize(\lambda x \in mset-of(M).$   
 $if \ x \in mset-of(N) \ then \ M'x \ \# - \ N'x \ else \ M'x)$

**definition**

$msingle :: i => i$  (**{#-#}**) **where**  
 $\{ \# a \# \} == \{ < a, 1 > \}$

**definition**

$MCollect :: [i, i=>o] => i$  **where**  
 $MCollect(M, P) == funrestrict(M, \{x \in mset-of(M). P(x)\})$

**definition**

$mcount :: [i, i] => i$  **where**  
 $mcount(M, a) == if \ a \in mset-of(M) \ then \ M'a \ else \ 0$

**definition**

$msize :: i \Rightarrow i$  **where**  
 $msize(M) == setsum(\%a. \$\# mcount(M,a), mset-of(M))$

**abbreviation**

$melem :: [i,i] \Rightarrow o$   $((-/\ : \# -) [50, 51] 50)$  **where**  
 $a : \# M == a \in mset-of(M)$

**syntax**

$@MColl :: [pttrn, i, o] \Rightarrow i$   $((1\{\# - : -/ -\#\}))$

**syntax** (*xsymbols*)

$@MColl :: [pttrn, i, o] \Rightarrow i$   $((1\{\# - \in -/ -\#\}))$

**translations**

$\{\#x \in M. P\# \} == CONST MCollect(M, \%x. P)$

**definition**

$multirel1 :: [i,i] \Rightarrow i$  **where**  
 $multirel1(A, r) ==$   
 $\{<M, N> \in Mult(A)*Mult(A).$   
 $\exists a \in A. \exists M0 \in Mult(A). \exists K \in Mult(A).$   
 $N=M0 +\# \{ \#a\# \} \ \& \ M=M0 +\# K \ \& \ (\forall b \in mset-of(K). <b,a> \in r)\}$

**definition**

$multirel :: [i, i] \Rightarrow i$  **where**  
 $multirel(A, r) == multirel1(A, r) \wedge +$

**definition**

$omultiset :: i \Rightarrow o$  **where**  
 $omultiset(M) == \exists i. Ord(i) \ \& \ M \in Mult(field(Memrel(i)))$

**definition**

$mless :: [i, i] \Rightarrow o$  **(infixl <# 50) where**  
 $M <\# N == \exists i. Ord(i) \ \& \ <M, N> \in multirel(field(Memrel(i)), Memrel(i))$

**definition**

$mle :: [i, i] \Rightarrow o$  **(infixl <#= 50) where**  
 $M <\#= N == (omultiset(M) \ \& \ M = N) \mid M <\# N$

**8.1 Properties of the original "restrict" from ZF.thy**

**lemma** *funrestrict-subset*:  $[| f \in Pi(C,B); \ A \subseteq C |] \Rightarrow funrestrict(f,A) \subseteq f$   
**by** (*auto simp add: funrestrict-def lam-def intro: apply-Pair*)

**lemma** *funrestrict-type*:

```

    [| !!x. x ∈ A ==> f'x ∈ B(x) |] ==> funrestrict(f,A) ∈ Pi(A,B)
  by (simp add: funrestrict-def lam-type)

lemma funrestrict-type2: [| f ∈ Pi(C,B); A ⊆ C |] ==> funrestrict(f,A) ∈ Pi(A,B)
by (blast intro: apply-type funrestrict-type)

lemma funrestrict [simp]: a ∈ A ==> funrestrict(f,A) ' a = f'a
by (simp add: funrestrict-def)

lemma funrestrict-empty [simp]: funrestrict(f,0) = 0
by (simp add: funrestrict-def)

lemma domain-funrestrict [simp]: domain(funrestrict(f,C)) = C
by (auto simp add: funrestrict-def lam-def)

lemma fun-cons-funrestrict-eq:
  f ∈ cons(a, b) -> B ==> f = cons(<a, f ' a>, funrestrict(f, b))
apply (rule equalityI)
prefer 2 apply (blast intro: apply-Pair funrestrict-subset [THEN subsetD])
apply (auto dest!: Pi-memberD simp add: funrestrict-def lam-def)
done

declare domain-of-fun [simp]
declare domainE [rule del]

A useful simplification rule

lemma multiset-fun-iff:
  (f ∈ A -> nat-{0}) <-> f ∈ A->nat&(∀ a ∈ A. f'a ∈ nat & 0 < f'a)
apply safe
apply (rule-tac B1 = nat-{0} in Pi-mono [THEN subsetD])
apply (auto intro!: Ord-0-lt
  dest: apply-type Diff-subset [THEN Pi-mono, THEN subsetD]
  simp add: range-of-fun apply-iff)
done

lemma multiset-into-Mult: [| multiset(M); mset-of(M) ⊆ A |] ==> M ∈ Mult(A)
apply (simp add: multiset-def)
apply (auto simp add: multiset-fun-iff mset-of-def)
apply (rule-tac B1 = nat-{0} in FiniteFun-mono [THEN subsetD], simp-all)
apply (rule Finite-into-Fin [THEN [2] Fin-mono [THEN subsetD], THEN fun-FiniteFunI])
apply (simp-all (no-asm-simp) add: multiset-fun-iff)
done

lemma Mult-into-multiset: M ∈ Mult(A) ==> multiset(M) & mset-of(M) ⊆ A
apply (simp add: multiset-def mset-of-def)
apply (frule FiniteFun-is-fun)
apply (drule FiniteFun-domain-Fin)
apply (frule FinD, clarify)

```

**apply** (*rule-tac*  $x = \text{domain } (M)$  **in**  $exI$ )  
**apply** (*blast intro: Fin-into-Finite*)  
**done**

**lemma** *Mult-iff-multiset*:  $M \in \text{Mult}(A) \iff \text{multiset}(M) \ \& \ \text{mset-of}(M) \subseteq A$   
**by** (*blast dest: Mult-into-multiset intro: multiset-into-Mult*)

**lemma** *multiset-iff-Mult-mset-of*:  $\text{multiset}(M) \iff M \in \text{Mult}(\text{mset-of}(M))$   
**by** (*auto simp add: Mult-iff-multiset*)

The *multiset* operator

**lemma** *multiset-0* [*simp*]:  $\text{multiset}(0)$   
**by** (*auto intro: FiniteFun.intros simp add: multiset-iff-Mult-mset-of*)

The *mset-of* operator

**lemma** *multiset-set-of-Finite* [*simp*]:  $\text{multiset}(M) \implies \text{Finite}(\text{mset-of}(M))$   
**by** (*simp add: multiset-def mset-of-def, auto*)

**lemma** *mset-of-0* [*iff*]:  $\text{mset-of}(0) = 0$   
**by** (*simp add: mset-of-def*)

**lemma** *mset-is-0-iff*:  $\text{multiset}(M) \implies \text{mset-of}(M) = 0 \iff M = 0$   
**by** (*auto simp add: multiset-def mset-of-def*)

**lemma** *mset-of-single* [*iff*]:  $\text{mset-of}(\{ \#a \# \}) = \{a\}$   
**by** (*simp add: msingle-def mset-of-def*)

**lemma** *mset-of-union* [*iff*]:  $\text{mset-of}(M + \# N) = \text{mset-of}(M) \cup \text{mset-of}(N)$   
**by** (*simp add: mset-of-def munion-def*)

**lemma** *mset-of-diff* [*simp*]:  $\text{mset-of}(M) \subseteq A \implies \text{mset-of}(M - \# N) \subseteq A$   
**by** (*auto simp add: mdiff-def multiset-def normalize-def mset-of-def*)

**lemma** *msingle-not-0* [*iff*]:  $\{ \#a \# \} \neq 0 \ \& \ 0 \neq \{ \#a \# \}$   
**by** (*simp add: msingle-def*)

**lemma** *msingle-eq-iff* [*iff*]:  $(\{ \#a \# \} = \{ \#b \# \}) \iff (a = b)$   
**by** (*simp add: msingle-def*)

**lemma** *msingle-multiset* [*iff, TC*]:  $\text{multiset}(\{ \#a \# \})$   
**apply** (*simp add: multiset-def msingle-def*)  
**apply** (*rule-tac*  $x = \{a\}$  **in**  $exI$ )  
**apply** (*auto intro: Finite-cons Finite-0 fun-extend3*)  
**done**

**lemmas** *Collect-Finite = Collect-subset [THEN subset-Finite, standard]*

**lemma** *normalize-idem [simp]: normalize(normalize(f)) = normalize(f)*  
**apply** (*simp add: normalize-def funrestrict-def mset-of-def*)  
**apply** (*case-tac  $\exists A. f \in A \rightarrow \text{nat} \ \& \ \text{Finite} \ (A)$* )  
**apply** *clarify*  
**apply** (*drule-tac  $x = \{x \in \text{domain} \ (f) \ . \ 0 < f \ 'x\}$  in spec*)  
**apply** *auto*  
**apply** (*auto intro!: lam-type simp add: Collect-Finite*)  
**done**

**lemma** *normalize-multiset [simp]: multiset(M) ==> normalize(M) = M*  
**by** (*auto simp add: multiset-def normalize-def mset-of-def funrestrict-def multiset-fun-iff*)

**lemma** *multiset-normalize [simp]: multiset(normalize(f))*  
**apply** (*simp add: normalize-def*)  
**apply** (*simp add: normalize-def mset-of-def multiset-def, auto*)  
**apply** (*rule-tac  $x = \{x \in A \ . \ 0 < f \ 'x\}$  in exI*)  
**apply** (*auto intro: Collect-subset [THEN subset-Finite] funrestrict-type*)  
**done**

**lemma** *munion-multiset [simp]: [ $\mid$  multiset(M); multiset(N)  $\mid$ ] ==> multiset(M*  
 $\text{+}\# \text{ N}$ *)*  
**apply** (*unfold multiset-def munion-def mset-of-def, auto*)  
**apply** (*rule-tac  $x = A \ \text{Un} \ Aa$  in exI*)  
**apply** (*auto intro!: lam-type intro: Finite-Un simp add: multiset-fun-iff zero-less-add*)  
**done**

**lemma** *mdiff-multiset [simp]: multiset(M  $\text{-}\# \text{ N}$ )*  
**by** (*simp add: mdiff-def*)

**lemma** *munion-0 [simp]: multiset(M) ==> M  $\text{+}\# \text{ 0} = M \ \& \ 0 \text{+}\# \text{ M} = M$*   
**apply** (*simp add: multiset-def*)  
**apply** (*auto simp add: munion-def mset-of-def*)  
**done**

**lemma** *munion-commute: M  $\text{+}\# \text{ N} = N \text{+}\# \text{ M}$*   
**by** (*auto intro!: lam-cong simp add: munion-def*)

**lemma** *munion-assoc*:  $(M +\# N) +\# K = M +\# (N +\# K)$   
**apply** (*unfold munion-def mset-of-def*)  
**apply** (*rule lam-cong, auto*)  
**done**

**lemma** *munion-lcommute*:  $M +\# (N +\# K) = N +\# (M +\# K)$   
**apply** (*unfold munion-def mset-of-def*)  
**apply** (*rule lam-cong, auto*)  
**done**

**lemmas** *munion-ac = munion-commute munion-assoc munion-lcommute*

**lemma** *mdiff-self-eq-0* [*simp*]:  $M -\# M = 0$   
**by** (*simp add: mdiff-def normalize-def mset-of-def*)

**lemma** *mdiff-0* [*simp*]:  $0 -\# M = 0$   
**by** (*simp add: mdiff-def normalize-def*)

**lemma** *mdiff-0-right* [*simp*]:  $\text{multiset}(M) ==> M -\# 0 = M$   
**by** (*auto simp add: multiset-def mdiff-def normalize-def multiset-fun-iff mset-of-def funrestrict-def*)

**lemma** *mdiff-union-inverse2* [*simp*]:  $\text{multiset}(M) ==> M +\# \{\#a\# \} -\# \{\#a\# \} = M$   
**apply** (*unfold multiset-def munion-def mdiff-def msingle-def normalize-def mset-of-def*)  
**apply** (*auto cong add: if-cong simp add: ltD multiset-fun-iff funrestrict-def subset-Un-iff2 [THEN iffD1]*)  
**prefer** 2 **apply** (*force intro!: lam-type*)  
**apply** (*subgoal-tac [2]  $\{x \in A \cup \{a\} . x \neq a \wedge x \in A\} = A$* )  
**apply** (*rule fun-extension, auto*)  
**apply** (*drule-tac  $x = A \text{ Un } \{a\}$  in spec*)  
**apply** (*simp add: Finite-Un*)  
**apply** (*force intro!: lam-type*)  
**done**

**lemma** *mcount-type* [*simp, TC*]:  $\text{multiset}(M) ==> \text{mcount}(M, a) \in \text{nat}$   
**by** (*auto simp add: multiset-def mcount-def mset-of-def multiset-fun-iff*)

**lemma** *mcount-0* [*simp*]:  $\text{mcount}(0, a) = 0$   
**by** (*simp add: mcount-def*)

**lemma** *mcount-single* [*simp*]:  $\text{mcount}(\{\#b\# \}, a) = (\text{if } a=b \text{ then } 1 \text{ else } 0)$   
**by** (*simp add: mcount-def mset-of-def msingle-def*)

**lemma** *mcount-union* [*simp*]:  $[\text{multiset}(M); \text{multiset}(N)]$

```

==> mcount(M +# N, a) = mcount(M, a) #+ mcount(N, a)
apply (auto simp add: multiset-def multiset-fun-iff mcount-def munion-def mset-of-def)
done

```

```

lemma mcount-diff [simp]:
  multiset(M) ==> mcount(M -# N, a) = mcount(M, a) #- mcount(N, a)
apply (simp add: multiset-def)
apply (auto dest!: not-lt-imp-le
  simp add: mdiff-def multiset-fun-iff mcount-def normalize-def mset-of-def)
apply (force intro!: lam-type)
apply (force intro!: lam-type)
done

```

```

lemma mcount-elim: [| multiset(M); a ∈ mset-of(M) |] ==> 0 < mcount(M, a)
apply (simp add: multiset-def, clarify)
apply (simp add: mcount-def mset-of-def)
apply (simp add: multiset-fun-iff)
done

```

```

lemma msize-0 [simp]: msize(0) = #0
by (simp add: msize-def)

```

```

lemma msize-single [simp]: msize({#a#}) = #1
by (simp add: msize-def)

```

```

lemma msize-type [simp, TC]: msize(M) ∈ int
by (simp add: msize-def)

```

```

lemma msize-zpositive: multiset(M) ==> #0 ≤ msize(M)
by (auto simp add: msize-def intro: g-zpos-imp-setsum-zpos)

```

```

lemma msize-int-of-nat: multiset(M) ==> ∃ n ∈ nat. msize(M) = #n
apply (rule not-zneg-int-of)
apply (simp-all (no-asm-simp) add: msize-type [THEN znegative-iff-zless-0] not-zless-iff-zle
  msize-zpositive)
done

```

```

lemma not-empty-multiset-imp-exist:
  [| M ≠ 0; multiset(M) |] ==> ∃ a ∈ mset-of(M). 0 < mcount(M, a)
apply (simp add: multiset-def)
apply (erule not-emptyE)
apply (auto simp add: mset-of-def mcount-def multiset-fun-iff)
apply (blast dest!: fun-is-rel)
done

```

```

lemma msize-eq-0-iff: multiset(M) ==> msize(M) = #0 <-> M = 0
apply (simp add: msize-def, auto)

```

```

apply (rule-tac  $P = \text{setsum } (?u, ?v) \neq \#0$  in swap)
apply blast
apply (drule not-empty-multiset-imp-exist, assumption, clarify)
apply (subgoal-tac Finite (mset-of (M) - {a}))
  prefer 2 apply (simp add: Finite-Diff)
apply (subgoal-tac setsum (%x. $# mcount (M, x), cons (a, mset-of (M) - {a}))=#0)
  prefer 2 apply (simp add: cons-Diff, simp)
apply (subgoal-tac #0  $\leq$  setsum (%x. $# mcount (M, x), mset-of (M) - {a}))
)
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
apply (auto simp add: Finite-Diff not-zless-iff-zle [THEN iff-sym] znegative-iff-zless-0
[THEN iff-sym])
apply (rule not-zneg-int-of [THEN bexE])
apply (auto simp del: int-of-0 simp add: int-of-add [symmetric] int-of-0 [symmetric])
done

```

**lemma** setsum-mcount-Int:

$$\text{Finite}(A) \implies \text{setsum}(\%a. \# \text{mcount}(N, a), A \text{ Int mset-of}(N)) \\ = \text{setsum}(\%a. \# \text{mcount}(N, a), A)$$

```

apply (induct rule: Finite-induct)
apply auto
apply (subgoal-tac Finite (B Int mset-of (N)))
prefer 2 apply (blast intro: subset-Finite)
apply (auto simp add: mcount-def Int-cons-left)
done

```

**lemma** msize-union [simp]:

$$[ \text{multiset}(M); \text{multiset}(N) ] \implies \text{msize}(M + \# N) = \text{msize}(M) + \text{msize}(N)$$

```

apply (simp add: msize-def setsum-Un setsum-addf int-of-add setsum-mcount-Int)
apply (subst Int-commute)
apply (simp add: setsum-mcount-Int)
done

```

**lemma** msize-eq-succ-imp-elem:  $[ \text{msize}(M) = \# \text{succ}(n); n \in \text{nat} ] \implies \exists a. a \in \text{mset-of}(M)$

```

apply (unfold msize-def)
apply (blast dest: setsum-succD)
done

```

**lemma** equality-lemma:

$$[ \text{multiset}(M); \text{multiset}(N); \forall a. \text{mcount}(M, a) = \text{mcount}(N, a) ] \\ \implies \text{mset-of}(M) = \text{mset-of}(N)$$

```

apply (simp add: multiset-def)
apply (rule sym, rule equalityI)
apply (auto simp add: multiset-fun-iff mcount-def mset-of-def)
apply (drule-tac [!]  $x = x$  in spec)
apply (case-tac [2]  $x \in Aa$ , case-tac  $x \in A$ , auto)

```



done

**lemma** *multiset-equality*:

$[[ \text{multiset}(M); \text{multiset}(N) ] ] \implies M=N \iff (\forall a. \text{mcount}(M, a) = \text{mcount}(N, a))$

**apply** *auto*

**apply** (*subgoal-tac mset-of (M) = mset-of (N)*)

**prefer** 2 **apply** (*blast intro: equality-lemma*)

**apply** (*simp add: multiset-def mset-of-def*)

**apply** (*auto simp add: multiset-fun-iff*)

**apply** (*rule fun-extension*)

**apply** (*blast, blast*)

**apply** (*drule-tac x = x in spec*)

**apply** (*auto simp add: mcount-def mset-of-def*)

done

**lemma** *munion-eq-0-iff* [*simp*]:  $[[ \text{multiset}(M); \text{multiset}(N) ] ] \implies (M \# N = 0) \iff (M=0 \ \& \ N=0)$

**by** (*auto simp add: multiset-equality*)

**lemma** *empty-eq-munion-iff* [*simp*]:  $[[ \text{multiset}(M); \text{multiset}(N) ] ] \implies (0 = M \# N) \iff (M=0 \ \& \ N=0)$

**apply** (*rule iffI, drule sym*)

**apply** (*simp-all add: multiset-equality*)

done

**lemma** *munion-right-cancel* [*simp*]:

$[[ \text{multiset}(M); \text{multiset}(N); \text{multiset}(K) ] ] \implies (M \# K = N \# K) \iff (M=N)$

**by** (*auto simp add: multiset-equality*)

**lemma** *munion-left-cancel* [*simp*]:

$[[ \text{multiset}(K); \text{multiset}(M); \text{multiset}(N) ] ] \implies (K \# M = K \# N) \iff (M = N)$

**by** (*auto simp add: multiset-equality*)

**lemma** *nat-add-eq-1-cases*:  $[[ m \in \text{nat}; n \in \text{nat} ] ] \implies (m \# n = 1) \iff (m=1 \ \& \ n=0) \mid (m=0 \ \& \ n=1)$

**by** (*induct-tac n*) *auto*

**lemma** *munion-is-single*:

$[[ \text{multiset}(M); \text{multiset}(N) ] ] \implies (M \# N = \{ \#a \# \}) \iff (M = \{ \#a \# \} \ \& \ N=0) \mid (M = 0 \ \& \ N = \{ \#a \# \})$

**apply** (*simp (no-asm-simp) add: multiset-equality*)

**apply** *safe*

**apply** *simp-all*

**apply** (*case-tac aa=a*)

```

apply (drule-tac [2]  $x = aa$  in spec)
apply (drule-tac  $x = a$  in spec)
apply (simp add: nat-add-eq-1-cases, simp)
apply (case-tac  $aaa=aa$ , simp)
apply (drule-tac  $x = aa$  in spec)
apply (simp add: nat-add-eq-1-cases)
apply (case-tac  $aaa=a$ )
apply (drule-tac [4]  $x = aa$  in spec)
apply (drule-tac [3]  $x = a$  in spec)
apply (drule-tac [2]  $x = aaa$  in spec)
apply (drule-tac  $x = aa$  in spec)
apply (simp-all add: nat-add-eq-1-cases)
done

```

```

lemma msingle-is-union: [| multiset( $M$ ); multiset( $N$ ) |]
  ==> ( $\{ \#a \# \} = M + \# N$ ) <-> ( $\{ \#a \# \} = M \ \& \ N=0 \mid M = 0 \ \& \ \{ \#a \# \}$ 
  =  $N$ )
apply (subgoal-tac ( $\{ \#a \# \} = M + \# N$ ) <-> ( $M + \# N = \{ \#a \# \}$ ) )
apply (simp (no-asm-simp) add: munion-is-single)
apply blast
apply (blast dest: sym)
done

```

```

lemma setsum-decr:
  Finite( $A$ )
  ==> ( $\forall M. \text{multiset}(M) \text{ --> }$ 
    ( $\forall a \in \text{mset-of}(M). \text{setsum}(\%z. \ \$\# \ \text{mcount}(M(a:=M'a \ \#- \ 1), z), A) =$ 
    (if  $a \in A$  then  $\text{setsum}(\%z. \ \$\# \ \text{mcount}(M, z), A) \ \$- \ \#1$ 
    else  $\text{setsum}(\%z. \ \$\# \ \text{mcount}(M, z), A)))$ )
apply (unfold multiset-def)
apply (erule Finite-induct)
apply (auto simp add: multiset-fun-iff)
apply (unfold mset-of-def mcount-def)
apply (case-tac  $x \in A$ , auto)
apply (subgoal-tac  $\ \$\# \ M \ ' \ x \ \$+ \ \#-1 = \ \$\# \ M \ ' \ x \ \$- \ \ \$\# \ 1$ )
apply (erule ssubst)
apply (rule int-of-diff, auto)
done

```

```

lemma setsum-decr2:
  Finite( $A$ )
  ==>  $\forall M. \text{multiset}(M) \text{ --> } (\forall a \in \text{mset-of}(M).
    \text{setsum}(\%x. \ \$\# \ \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M)-\{a\}), x), A) =$ 
    (if  $a \in A$  then  $\text{setsum}(\%x. \ \$\# \ \text{mcount}(M, x), A) \ \$- \ \ \$\# \ M'a$ 
    else  $\text{setsum}(\%x. \ \$\# \ \text{mcount}(M, x), A)))$ 
apply (simp add: multiset-def)
apply (erule Finite-induct)

```

**apply** (*auto simp add: multiset-fun-iff mcount-def mset-of-def*)  
**done**

**lemma** *setsum-decr3*:  $[[ \text{Finite}(A); \text{multiset}(M); a \in \text{mset-of}(M) ]]$   
 $\implies \text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A - \{a\})$   
 $=$   
 $(\text{if } a \in A \text{ then } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a$   
 $\text{else } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A))$   
**apply** (*subgoal-tac setsum (%x. \$ # mcount (funrestrict (M, mset-of (M) - {a}), x), A - {a})*)  
 $= \text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A)$   
**apply** (*rule-tac [2] setsum-Diff [symmetric]*)  
**apply** (*rule sym, rule ssubst, blast*)  
**apply** (*rule sym, drule setsum-decr2, auto*)  
**apply** (*simp add: mcount-def mset-of-def*)  
**done**

**lemma** *nat-le-1-cases*:  $n \in \text{nat} \implies n \leq 1 \iff (n=0 \mid n=1)$   
**by** (*auto elim: natE*)

**lemma** *succ-pred-eq-self*:  $[[ 0 < n; n \in \text{nat} ]]$   $\implies \text{succ}(n \#- 1) = n$   
**apply** (*subgoal-tac 1 le n*)  
**apply** (*drule add-diff-inverse2, auto*)  
**done**

Specialized for use in the proof below.

**lemma** *multiset-funrestrict*:  
 $[[ \forall a \in A. M \# a \in \text{nat} \wedge 0 < M \# a; \text{Finite}(A) ]]$   
 $\implies \text{multiset}(\text{funrestrict}(M, A - \{a\}))$   
**apply** (*simp add: multiset-def multiset-fun-iff*)  
**apply** (*rule-tac x=A-{a} in exI*)  
**apply** (*auto intro: Finite-Diff funrestrict-type*)  
**done**

**lemma** *multiset-induct-aux*:  
**assumes** *prem1*:  $!!M a. [[ \text{multiset}(M); a \notin \text{mset-of}(M); P(M) ]]$   $\implies P(\text{cons}(<a, 1>, M))$   
**and** *prem2*:  $!!M b. [[ \text{multiset}(M); b \in \text{mset-of}(M); P(M) ]]$   $\implies P(M(b := M \# b \#+ 1))$   
**shows**  
 $[[ n \in \text{nat}; P(0) ]]$   
 $\implies (\forall M. \text{multiset}(M) \implies$   
 $(\text{setsum}(\%x. \$\# \text{mcount}(M, x), \{x \in \text{mset-of}(M). 0 < M \# x\}) = \$\# n) \implies$   
 $P(M))$   
**apply** (*erule nat-induct, clarify*)  
**apply** (*frule msize-eq-0-iff*)  
**apply** (*auto simp add: mset-of-def multiset-def multiset-fun-iff msize-def*)  
**apply** (*subgoal-tac setsum (%x. \$ # mcount (M, x), A) = \$ # succ (x)*)  
**apply** (*drule setsum-succD, auto*)  
**apply** (*case-tac 1 <M#a*)

```

apply (drule-tac [2] not-lt-imp-le)
apply (simp-all add: nat-le-1-cases)
apply (subgoal-tac  $M = (M \ (a := M'a \ \# - 1)) \ (a := (M \ (a := M'a \ \# - 1))'a \ \# + 1)$ 
)
apply (rule-tac [2]  $A = A \text{ and } B = \%x. \text{ nat and } D = \%x. \text{ nat in fun-extension}$ )
apply (rule-tac [3] update-type) +
apply (simp-all (no-asm-simp))
  apply (rule-tac [2] impI)
  apply (rule-tac [2] succ-pred-eq-self [symmetric])
apply (simp-all (no-asm-simp))
apply (rule subst, rule sym, blast, rule prem2)
apply (simp (no-asm) add: multiset-def multiset-fun-iff)
apply (rule-tac  $x = A \text{ in exI}$ )
apply (force intro: update-type)
apply (simp (no-asm-simp) add: mset-of-def mcount-def)
apply (drule-tac  $x = M \ (a := M' a \ \# - 1) \text{ in spec}$ )
apply (drule mp, drule-tac [2] mp, simp-all)
apply (rule-tac  $x = A \text{ in exI}$ )
apply (auto intro: update-type)
apply (subgoal-tac Finite ( $\{x \in \text{cons } (a, A) . x \neq a \longrightarrow 0 < M'x\}$ ))
prefer 2 apply (blast intro: Collect-subset [THEN subset-Finite] Finite-cons)
apply (drule-tac  $A = \{x \in \text{cons } (a, A) . x \neq a \longrightarrow 0 < M'x\} \text{ in setsum-decr}$ )
apply (drule-tac  $x = M \text{ in spec}$ )
apply (subgoal-tac multiset ( $M$ ))
prefer 2
  apply (simp add: multiset-def multiset-fun-iff)
  apply (rule-tac  $x = A \text{ in exI, force}$ )
apply (simp-all add: mset-of-def)
apply (drule-tac  $\text{psi} = \forall x \in A. ?u \ (x) \text{ in asm-rl}$ )
apply (drule-tac  $x = a \text{ in bspec}$ )
apply (simp (no-asm-simp))
apply (subgoal-tac cons ( $(a, A) = A$ ))
prefer 2 apply blast
apply simp
apply (subgoal-tac  $M = \text{cons } (<a, M'a>, \text{funrestrict } (M, A - \{a\}))$ )
prefer 2
  apply (rule fun-cons-funrestrict-eq)
  apply (subgoal-tac cons ( $(a, A - \{a\}) = A$ ))
  apply force
  apply force
apply (rule-tac  $a = \text{cons } (<a, 1>, \text{funrestrict } (M, A - \{a\})) \text{ in ssubst}$ )
apply simp
apply (frule multiset-funrestrict, assumption)
apply (rule prem1, assumption)
apply (simp add: mset-of-def)
apply (drule-tac  $x = \text{funrestrict } (M, A - \{a\}) \text{ in spec}$ )
apply (drule mp)
apply (rule-tac  $x = A - \{a\} \text{ in exI}$ )
apply (auto intro: Finite-Diff funrestrict-type simp add: funrestrict)

```

```

apply (frule-tac  $A = A$  and  $M = M$  and  $a = a$  in setsum-decr3)
apply (simp (no-asm-simp) add: multiset-def multiset-fun-iff)
apply blast
apply (simp (no-asm-simp) add: mset-of-def)
apply (drule-tac  $b = \text{if } ?u \text{ then } ?v \text{ else } ?w$  in sym, simp-all)
apply (subgoal-tac  $\{x \in A - \{a\} \mid 0 < \text{funrestrict } (M, A - \{x\}) \text{ ' } x\} = A - \{a\}$ )
apply (auto intro!: setsum-cong simp add: zdiff-eq-iff zadd-commute multiset-def multiset-fun-iff mset-of-def)
done

lemma multiset-induct2:
  [| multiset( $M$ );  $P(0)$ ;
    (!! $M$   $a$ . [| multiset( $M$ );  $a \notin \text{mset-of}(M)$ ;  $P(M)$  |] ==>  $P(\text{cons}(<a, 1>, M))$ );
    (!! $M$   $b$ . [| multiset( $M$ );  $b \in \text{mset-of}(M)$ ;  $P(M)$  |] ==>  $P(M(b := M'b \# + 1))$ )
  |]
  ==>  $P(M)$ 
apply (subgoal-tac  $\exists n \in \text{nat. setsum } (\lambda x. \$\# \text{ mcount } (M, x), \{x \in \text{mset-of } (M) \mid 0 < M \text{ ' } x\}) = \$\# n$ )
apply (rule-tac [2] not-zneg-int-of)
apply (simp-all (no-asm-simp) add: znegative-iff-zless-0 not-zless-iff-zle)
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
prefer 2 apply (blast intro: multiset-set-of-Finite Collect-subset [THEN subset-Finite])
prefer 2 apply (simp add: multiset-def multiset-fun-iff, clarify)
apply (rule multiset-induct-aux [rule-format], auto)
done

lemma munion-single-case1:
  [| multiset( $M$ );  $a \notin \text{mset-of}(M)$  |] ==>  $M + \# \{\#a\} = \text{cons}(<a, 1>, M)$ 
apply (simp add: multiset-def msingle-def)
apply (auto simp add: munion-def)
apply (unfold mset-of-def, simp)
apply (rule fun-extension, rule lam-type, simp-all)
apply (auto simp add: multiset-fun-iff fun-extend-apply)
apply (drule-tac  $c = a$  and  $b = 1$  in fun-extend3)
apply (auto simp add: cons-eq Un-commute [of - \{a\}])
done

lemma munion-single-case2:
  [| multiset( $M$ );  $a \in \text{mset-of}(M)$  |] ==>  $M + \# \{\#a\} = M(a := M'a \# + 1)$ 
apply (simp add: multiset-def)
apply (auto simp add: munion-def multiset-fun-iff msingle-def)
apply (unfold mset-of-def, simp)
apply (subgoal-tac  $A \text{ Un } \{a\} = A$ )
apply (rule fun-extension)
apply (auto dest: domain-type intro: lam-type update-type)
done

```

```

lemma multiset-induct:
  assumes  $M$ : multiset( $M$ )
    and  $P0$ :  $P(0)$ 
    and step:  $!!M\ a.\ [\text{multiset}(M); P(M)] \implies P(M +\# \{\#a\# \})$ 
  shows  $P(M)$ 
apply (rule multiset-induct2 [OF  $M$ ])
apply (simp-all add:  $P0$ )
apply (frule-tac [ $2$ ]  $a = b$  in munion-single-case2 [symmetric])
apply (frule-tac  $a = a$  in munion-single-case1 [symmetric])
apply (auto intro: step)
done

```

```

lemma MCollect-multiset [simp]:
   $\text{multiset}(M) \implies \text{multiset}(\{\#x \in M. P(x)\# \})$ 
apply (simp add: MCollect-def multiset-def mset-of-def, clarify)
apply (rule-tac  $x = \{x \in A. P(x)\}$  in exI)
apply (auto dest: CollectD1 [THEN [ $2$ ] apply-type]
    intro: Collect-subset [THEN subset-Finite] funrestrict-type)
done

```

```

lemma mset-of-MCollect [simp]:
   $\text{multiset}(M) \implies \text{mset-of}(\{\#x \in M. P(x)\# \}) \subseteq \text{mset-of}(M)$ 
by (auto simp add: mset-of-def MCollect-def multiset-def funrestrict-def)

```

```

lemma MCollect-mem-iff [iff]:
   $x \in \text{mset-of}(\{\#x \in M. P(x)\# \}) \iff x \in \text{mset-of}(M) \ \& \ P(x)$ 
by (simp add: MCollect-def mset-of-def)

```

```

lemma mcount-MCollect [simp]:
   $\text{mcount}(\{\#x \in M. P(x)\# \}, a) = (\text{if } P(a) \text{ then } \text{mcount}(M, a) \text{ else } 0)$ 
by (simp add: mcount-def MCollect-def mset-of-def)

```

```

lemma multiset-partition:  $\text{multiset}(M) \implies M = \{\#x \in M. P(x)\# \} +\# \{\#x \in M. \sim P(x)\# \}$ 
by (simp add: multiset-equality)

```

```

lemma natify-elem-is-self [simp]:
   $[\text{multiset}(M); a \in \text{mset-of}(M)] \implies \text{natify}(M'a) = M'a$ 
by (auto simp add: multiset-def mset-of-def multiset-fun-iff)

```

```

lemma munion-eq-conv-diff:  $[\text{multiset}(M); \text{multiset}(N)]$ 
 $\implies (M +\# \{\#a\# \} = N +\# \{\#b\# \}) \iff (M = N \ \& \ a = b \mid$ 
 $M = N -\# \{\#a\# \} +\# \{\#b\# \} \ \& \ N = M -\# \{\#b\# \} +\# \{\#a\# \})$ 
apply (simp del: mcount-single add: multiset-equality)

```

```

apply (rule iffI, erule-tac [2] disjE, erule-tac [3] conjE)
apply (case-tac a=b, auto)
apply (drule-tac x = a in spec)
apply (drule-tac [2] x = b in spec)
apply (drule-tac [3] x = aa in spec)
apply (drule-tac [4] x = a in spec, auto)
apply (subgoal-tac [!] mcount (N,a) :nat)
apply (erule-tac [3] natE, erule natE, auto)
done

```

**lemma** *melem-diff-single*:

```

multiset(M) ==>
  k ∈ mset-of(M -# {#a#}) <-> (k=a & 1 < mcount(M,a)) | (k≠ a & k ∈
mset-of(M))
apply (simp add: multiset-def)
apply (simp add: normalize-def mset-of-def msingle-def mdiff-def mcount-def)
apply (auto dest: domain-type intro: zero-less-diff [THEN iffD1]
      simp add: multiset-fun-iff apply-iff)
apply (force intro!: lam-type)
apply (force intro!: lam-type)
apply (force intro!: lam-type)
done

```

**lemma** *munion-eq-conv-exist*:

```

[[ M ∈ Mult(A); N ∈ Mult(A) ]]
==> (M +# {#a#} = N +# {#b#}) <->
  (M=N & a=b | (∃ K ∈ Mult(A). M= K +# {#b#} & N=K +# {#a#}))
by (auto simp add: Mult-iff-multiset melem-diff-single munion-eq-conv-diff)

```

## 8.2 Multiset Orderings

**lemma** *multirel1-type*:  $\text{multirel1}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$   
**by** (auto simp add: multirel1-def)

**lemma** *multirel1-0* [simp]:  $\text{multirel1}(0, r) = 0$   
**by** (auto simp add: multirel1-def)

**lemma** *multirel1-iff*:

```

<N, M> ∈ multirel1(A, r) <->
  (∃ a. a ∈ A &
  (∃ M0. M0 ∈ Mult(A) & (∃ K. K ∈ Mult(A) &
  M=M0 +# {#a#} & N=M0 +# K & (∀ b ∈ mset-of(K). <b,a> ∈ r))))
by (auto simp add: multirel1-def Mult-iff-multiset Bex-def)

```

Monotonicity of *multirel1*

**lemma** *multirel1-mono1*:  $A \subseteq B \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, r)$   
**apply** (auto simp add: multirel1-def)  
**apply** (auto simp add: Un-subset-iff Mult-iff-multiset)  
**apply** (rule-tac x = a **in** bexI)

```

apply (rule-tac  $x = M0$  in  $beI$ , simp)
apply (rule-tac  $x = K$  in  $beI$ )
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma multirel1-mono2:  $r \subseteq s \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(A, s)$ 
apply (simp add: multirel1-def, auto)
apply (rule-tac  $x = a$  in  $beI$ )
apply (rule-tac  $x = M0$  in  $beI$ )
apply (simp-all add: Mult-iff-multiset)
apply (rule-tac  $x = K$  in  $beI$ )
apply (simp-all add: Mult-iff-multiset, auto)
done

```

```

lemma multirel1-mono:
   $[[ A \subseteq B; r \subseteq s ]] \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, s)$ 
apply (rule subset-trans)
apply (rule multirel1-mono1)
apply (rule-tac [2] multirel1-mono2, auto)
done

```

### 8.3 Toward the proof of well-foundedness of multirel1

```

lemma not-less-0 [iff]:  $\langle M, 0 \rangle \notin \text{multirel1}(A, r)$ 
by (auto simp add: multirel1-def Mult-iff-multiset)

```

```

lemma less-munion:  $[[ \langle N, M0 +\# \{\#a\# \} \rangle \in \text{multirel1}(A, r); M0 \in \text{Mult}(A) ]]$ 
 $\implies$ 
   $(\exists M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \ \& \ N = M +\# \{\#a\# \}) \mid$ 
   $(\exists K. K \in \text{Mult}(A) \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r) \ \& \ N = M0 +\# K)$ 
apply (frule multirel1-type [THEN subsetD])
apply (simp add: multirel1-iff)
apply (auto simp add: munion-eq-conv-exist)
apply (rule-tac  $x = Ka +\# K$  in  $exI$ , auto, simp add: Mult-iff-multiset)
apply (simp (no-asm-simp) add: munion-left-cancel munion-assoc)
apply (auto simp add: munion-commute)
done

```

```

lemma multirel1-base:  $[[ M \in \text{Mult}(A); a \in A ]] \implies \langle M, M +\# \{\#a\# \} \rangle \in$ 
 $\text{multirel1}(A, r)$ 
apply (auto simp add: multirel1-iff)
apply (simp add: Mult-iff-multiset)
apply (rule-tac  $x = a$  in  $exI$ , clarify)
apply (rule-tac  $x = M$  in  $exI$ , simp)
apply (rule-tac  $x = 0$  in  $exI$ , auto)
done

```

```

lemma acc-0:  $\text{acc}(0) = 0$ 
by (auto intro!: equalityI dest: acc.dom-subset [THEN subsetD])

```



```

lemma lemma1: [|  $\forall b \in A. \langle b, a \rangle \in r \longrightarrow$ 
  ( $\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\# \} : \text{acc}(\text{multirel1}(A, r))$ );
   $M0 \in \text{acc}(\text{multirel1}(A, r)); a \in A;$ 
   $\forall M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \longrightarrow M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$ 
|]
  ==>  $M0 +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$ 
apply (subgoal-tac  $M0 \in \text{Mult}(A)$  )
prefer 2
apply (erule acc.cases)
apply (erule fieldE)
apply (auto dest: multirel1-type [THEN subsetD])
apply (rule accI)
apply (rename-tac  $N$ )
apply (drule less-munion, blast)
apply (auto simp add: Mult-iff-multiset)
apply (erule-tac  $P = \forall x \in \text{mset-of } (K) . \langle x, a \rangle \in r$  in rev-mp)
apply (erule-tac  $P = \text{mset-of } (K) \subseteq A$  in rev-mp)
apply (erule-tac  $M = K$  in multiset-induct)

apply (simp (no-asm-simp))

apply (simp add: Ball-def Un-subset-iff, clarify)
apply (drule-tac  $x = aa$  in spec, simp)
apply (subgoal-tac  $aa \in A$ )
prefer 2 apply blast
apply (drule-tac  $x = M0 +\# M$  and  $P =$ 
   $\%x. x \in \text{acc}(\text{multirel1}(A, r)) \longrightarrow ?Q(x)$  in spec)
apply (simp add: munion-assoc [symmetric])

apply (auto intro!: multirel1-base [THEN fieldI2] simp add: Mult-iff-multiset)
done

lemma lemma2: [|  $\forall b \in A. \langle b, a \rangle \in r$ 
   $\longrightarrow (\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\# \} : \text{acc}(\text{multirel1}(A, r))$ );
   $M \in \text{acc}(\text{multirel1}(A, r)); a \in A$  |] ==>  $M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A,$ 
 $r))$ 
apply (erule acc-induct)
apply (blast intro: lemma1)
done

lemma lemma3: [| wf[A](r);  $a \in A$  |]
  ==>  $\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$ 
apply (erule-tac  $a = a$  in wf-on-induct, blast)
apply (blast intro: lemma2)
done

lemma lemma4: multiset(M) ==> mset-of(M)  $\subseteq A \longrightarrow$ 

```

$wf[A](r) \dashv\dashv M \in field(multirel1(A, r)) \dashv\dashv M \in acc(multirel1(A, r))$   
**apply** (*erule multiset-induct*)

**apply** *clarify*  
**apply** (*rule accI, force*)  
**apply** (*simp add: multirel1-def*)

**apply** *clarify*  
**apply** *simp*  
**apply** (*subgoal-tac mset-of (M)  $\subseteq A$* )  
**prefer** 2 **apply** *blast*  
**apply** *clarify*  
**apply** (*drule-tac a = a in lemma3, blast*)  
**apply** (*subgoal-tac M  $\in field(multirel1(A, r))$* )  
**apply** *blast*  
**apply** (*rule multirel1-base [THEN fieldI1]*)  
**apply** (*auto simp add: Mult-iff-multiset*)  
**done**

**lemma** *all-accessible*:  $[| wf[A](r); M \in Mult(A); A \neq 0 |] \implies M \in acc(multirel1(A, r))$   
**apply** (*erule not-emptyE*)  
**apply** (*rule lemma4 [THEN mp, THEN mp, THEN mp]*)  
**apply** (*rule-tac [4] multirel1-base [THEN fieldI1]*)  
**apply** (*auto simp add: Mult-iff-multiset*)  
**done**

**lemma** *wf-on-multirel1*:  $wf[A](r) \implies wf[A - ||> nat - \{0\}](multirel1(A, r))$   
**apply** (*case-tac A=0*)  
**apply** (*simp (no-asm-simp)*)  
**apply** (*rule wf-imp-wf-on*)  
**apply** (*rule wf-on-field-imp-wf*)  
**apply** (*simp (no-asm-simp) add: wf-on-0*)  
**apply** (*rule-tac A = acc(multirel1(A, r)) in wf-on-subset-A*)  
**apply** (*rule wf-on-acc*)  
**apply** (*blast intro: all-accessible*)  
**done**

**lemma** *wf-multirel1*:  $wf(r) \implies wf(multirel1(field(r), r))$   
**apply** (*simp (no-asm-use) add: wf-iff-wf-on-field*)  
**apply** (*drule wf-on-multirel1*)  
**apply** (*rule-tac A = field(r) - ||> nat - \{0\} in wf-on-subset-A*)  
**apply** (*simp (no-asm-simp)*)  
**apply** (*rule field-rel-subset*)  
**apply** (*rule multirel1-type*)  
**done**

```

lemma multirel-type:  $\text{multirel}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$ 
apply (simp add: multirel-def)
apply (rule trancl-type [THEN subset-trans])
apply (auto dest: multirel1-type [THEN subsetD])
done

```

```

lemma multirel-mono:
   $[[ A \subseteq B; r \subseteq s ]] \implies \text{multirel}(A, r) \subseteq \text{multirel}(B, s)$ 
apply (simp add: multirel-def)
apply (rule trancl-mono)
apply (rule multirel1-mono, auto)
done

```

```

lemma add-diff-eq:  $k \in \text{nat} \implies 0 < k \longrightarrow n \# + k \# - 1 = n \# + (k \# - 1)$ 
by (erule nat-induct, auto)

```

```

lemma mdiff-union-single-conv:  $[[ a \in \text{mset-of}(J); \text{multiset}(I); \text{multiset}(J) ]] \implies$ 
 $I \# + J \# - \{ \# a \# \} = I \# + (J \# - \{ \# a \# \})$ 
apply (simp (no-asm-simp) add: multiset-equality)
apply (case-tac a  $\notin$  mset-of (I))
apply (auto simp add: mcount-def mset-of-def multiset-def multiset-fun-iff)
apply (auto dest: domain-type simp add: add-diff-eq)
done

```

```

lemma diff-add-commute:  $[[ n \leq m; m \in \text{nat}; n \in \text{nat}; k \in \text{nat} ]] \implies m \# -$ 
 $n \# + k = m \# + k \# - n$ 
by (auto simp add: le-iff less-iff-succ-add)

```

```

lemma multirel-implies-one-step:
 $\langle M, N \rangle \in \text{multirel}(A, r) \implies$ 
 $\text{trans}[A](r) \longrightarrow$ 
 $(\exists I J K.$ 
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$ 
 $N = I \# + J \ \& \ M = I \# + K \ \& \ J \neq 0 \ \&$ 
 $(\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r))$ 
apply (simp add: multirel-def Ball-def Bex-def)
apply (erule converse-trancl-induct)
apply (simp-all add: multirel1-iff Mult-iff-multiset)

```

```

apply clarify
apply (rule-tac x = M0 in exI, force)

```

```

apply clarify

```

```

apply (case-tac  $a \in \text{mset-of } (Ka)$  )
apply (rule-tac  $x = I$  in  $exI$ , simp (no-asm-simp))
apply (rule-tac  $x = J$  in  $exI$ , simp (no-asm-simp))
apply (rule-tac  $x = (Ka -\# \{\#a\# \}) +\# K$  in  $exI$ , simp (no-asm-simp))
apply (simp-all add: Un-subset-iff)
apply (simp (no-asm-simp) add: munion-assoc [symmetric])
apply (drule-tac  $t = \%M. M -\# \{\#a\# \}$  in subst-context)
apply (simp add: mdiff-union-single-conv melem-diff-single, clarify)
apply (erule disjE, simp)
apply (erule disjE, simp)
apply (drule-tac  $x = a$  and  $P = \%x. x :\# Ka \longrightarrow ?Q(x)$  in spec)
apply clarify
apply (rule-tac  $x = xa$  in  $exI$ )
apply (simp (no-asm-simp))
apply (blast dest: trans-onD)

apply (subgoal-tac  $a :\# I$ )
apply (rule-tac  $x = I -\# \{\#a\# \}$  in  $exI$ , simp (no-asm-simp))
apply (rule-tac  $x = J +\# \{\#a\# \}$  in  $exI$ )
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule-tac  $x = Ka +\# K$  in  $exI$ )
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule conjI)
apply (simp (no-asm-simp) add: multiset-equality mcount-elem [THEN succ-pred-eq-self])
apply (rule conjI)
apply (drule-tac  $t = \%M. M -\# \{\#a\# \}$  in subst-context)
apply (simp add: mdiff-union-inverse2)
apply (simp-all (no-asm-simp) add: multiset-equality)
apply (rule diff-add-commute [symmetric])
apply (auto intro: mcount-elem)
apply (subgoal-tac  $a \in \text{mset-of } (I +\# Ka)$  )
apply (drule-tac [2] sym, auto)
done

lemma melem-imp-eq-diff-union [simp]: [ $a \in \text{mset-of}(M)$ ; multiset( $M$ ) ] ==>
 $M -\# \{\#a\# \} +\# \{\#a\# \} = M$ 
by (simp add: multiset-equality mcount-elem [THEN succ-pred-eq-self])

lemma msize-eq-succ-imp-eq-union:
  [ $\text{msize}(M) = \$\# \text{succ}(n)$ ;  $M \in \text{Mult}(A)$ ;  $n \in \text{nat}$  ]
  ==>  $\exists a N. M = N +\# \{\#a\# \} \ \& \ N \in \text{Mult}(A) \ \& \ a \in A$ 
apply (drule msize-eq-succ-imp-elem, auto)
apply (rule-tac  $x = a$  in  $exI$ )
apply (rule-tac  $x = M -\# \{\#a\# \}$  in  $exI$ )
apply (frule Mult-into-multiset)
apply (simp (no-asm-simp))
apply (auto simp add: Mult-iff-multiset)
done

```

**lemma** *one-step-implies-multirel-lemma* [rule-format (no-asm)]:

$n \in \text{nat} ==>$

$(\forall I J K.$

$I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$

$(\text{msize}(J) = \$\# \ n \ \& \ J \neq 0 \ \& \ (\forall k \in \text{mset-of}(K). \ \exists j \in \text{mset-of}(J). \ <k, j> \in r))$

$--> \ <I +\# \ K, I +\# \ J> \in \text{multirel}(A, r))$

**apply** (*simp add: Mult-iff-multiset*)

**apply** (*erule nat-induct, clarify*)

**apply** (*drule-tac M = J in msize-eq-0-iff, auto*)

**apply** (*subgoal-tac msize (J) = \$ \# succ (x) )*

**prefer 2 apply simp**

**apply** (*frule-tac A = A in msize-eq-succ-imp-eq-union*)

**apply** (*simp-all add: Mult-iff-multiset, clarify*)

**apply** (*rename-tac J', simp*)

**apply** (*case-tac J' = 0*)

**apply** (*simp add: multirel-def*)

**apply** (*rule r-into-trancl, clarify*)

**apply** (*simp add: multirel1-iff Mult-iff-multiset, force*)

**apply** (*drule sym, rotate-tac -1, simp*)

**apply** (*erule-tac V = \$ \# x = msize (J') in thin-rl*)

**apply** (*frule-tac M = K and P = %x. <x, a> \in r in multiset-partition*)

**apply** (*erule-tac P = \forall k \in \text{mset-of} (K) . ?P (k) in rev-mp*)

**apply** (*erule ssubst*)

**apply** (*simp add: Ball-def, auto*)

**apply** (*subgoal-tac < (I +\# { \# x \in K. <x, a> \in r \#} ) +\# { \# x \in K. <x, a> \notin r \#}, (I +\# { \# x \in K. <x, a> \in r \#} ) +\# J'> \in \text{multirel}(A, r) )*)

**prefer 2**

**apply** (*drule-tac x = I +\# { \# x \in K. <x, a> \in r \#} in spec*)

**apply** (*rotate-tac -1*)

**apply** (*drule-tac x = J' in spec*)

**apply** (*rotate-tac -1*)

**apply** (*drule-tac x = { \# x \in K. <x, a> \notin r \#} in spec, simp*) **apply blast**

**apply** (*simp add: munion-assoc [symmetric] multirel-def*)

**apply** (*rule-tac b = I +\# { \# x \in K. <x, a> \in r \#} +\# J' in trancl-trans, blast*)

**apply** (*rule r-into-trancl*)

**apply** (*simp add: multirel1-iff Mult-iff-multiset*)

**apply** (*rule-tac x = a in exI*)

**apply** (*simp (no-asm-simp)*)

**apply** (*rule-tac x = I +\# J' in exI*)

**apply** (*auto simp add: munion-ac Un-subset-iff*)

**done**

**lemma** *one-step-implies-multirel*:

$[| \ J \neq 0; \ \forall k \in \text{mset-of}(K). \ \exists j \in \text{mset-of}(J). \ <k, j> \in r;$

```

       $I \in \text{Mult}(A); J \in \text{Mult}(A); K \in \text{Mult}(A) \mid \mid$ 
       $\implies \langle I + \#K, I + \#J \rangle \in \text{multirel}(A, r)$ 
apply (subgoal-tac multiset ( $J$ ) )
prefer 2 apply (simp add: Mult-iff-multiset)
apply (frule-tac  $M = J$  in mset-int-of-nat)
apply (auto intro: one-step-implies-multirel-lemma)
done

```

```

lemma multirel-irrefl-lemma:
   $\text{Finite}(A) \implies \text{part-ord}(A, r) \dashrightarrow (\forall x \in A. \exists y \in A. \langle x, y \rangle \in r) \dashrightarrow A = \emptyset$ 
apply (erule Finite-induct)
apply (auto dest: subset-consI [THEN [2] part-ord-subset])
apply (auto simp add: part-ord-def irrefl-def)
apply (drule-tac  $x = xa$  in bspec)
apply (drule-tac [2]  $a = xa$  and  $b = x$  in trans-onD, auto)
done

```

```

lemma irrefl-on-multirel:
   $\text{part-ord}(A, r) \implies \text{irrefl}(\text{Mult}(A), \text{multirel}(A, r))$ 
apply (simp add: irrefl-def)
apply (subgoal-tac  $\text{trans}[A](r)$  )
prefer 2 apply (simp add: part-ord-def, clarify)
apply (drule multirel-implies-one-step, clarify)
apply (simp add: Mult-iff-multiset, clarify)
apply (subgoal-tac  $\text{Finite}(\text{mset-of}(K))$ )
apply (frule-tac  $r = r$  in multirel-irrefl-lemma)
apply (frule-tac  $B = \text{mset-of}(K)$  in part-ord-subset)
apply simp-all
apply (auto simp add: multiset-def mset-of-def)
done

```

```

lemma trans-on-multirel:  $\text{trans}[\text{Mult}(A)](\text{multirel}(A, r))$ 
apply (simp add: multirel-def trans-on-def)
apply (blast intro: trancl-trans)
done

```

```

lemma multirel-trans:
   $\mid \mid \langle M, N \rangle \in \text{multirel}(A, r); \langle N, K \rangle \in \text{multirel}(A, r) \mid \mid \implies \langle M, K \rangle \in \text{multirel}(A, r)$ 
apply (simp add: multirel-def)
apply (blast intro: trancl-trans)
done

```

```

lemma trans-multirel:  $\text{trans}(\text{multirel}(A, r))$ 
apply (simp add: multirel-def)

```

**apply** (*rule trans-trancl*)  
**done**

**lemma** *part-ord-multirel*:  $\text{part-ord}(A, r) \implies \text{part-ord}(\text{Mult}(A), \text{multirel}(A, r))$   
**apply** (*simp (no-asm) add: part-ord-def*)  
**apply** (*blast intro: irrefl-on-multirel trans-on-multirel*)  
**done**

**lemma** *munion-multirel1-mono*:  
 $[\langle M, N \rangle \in \text{multirel1}(A, r); K \in \text{Mult}(A)] \implies \langle K +\# M, K +\# N \rangle \in \text{multirel1}(A, r)$   
**apply** (*frule multirel1-type [THEN subsetD]*)  
**apply** (*auto simp add: multirel1-iff Mult-iff-multiset*)  
**apply** (*rule-tac x = a in exI*)  
**apply** (*simp (no-asm-simp)*)  
**apply** (*rule-tac x = K +\# M0 in exI*)  
**apply** (*simp (no-asm-simp) add: Un-subset-iff*)  
**apply** (*rule-tac x = Ka in exI*)  
**apply** (*simp (no-asm-simp) add: munion-assoc*)  
**done**

**lemma** *munion-multirel-mono2*:  
 $[\langle M, N \rangle \in \text{multirel}(A, r); K \in \text{Mult}(A)] \implies \langle K +\# M, K +\# N \rangle \in \text{multirel}(A, r)$   
**apply** (*frule multirel-type [THEN subsetD]*)  
**apply** (*simp (no-asm-use) add: multirel-def*)  
**apply** *clarify*  
**apply** (*drule-tac psi = \langle M, N \rangle \in multirel1 (A, r) ^+ in asm-rl*)  
**apply** (*erule rev-mp*)  
**apply** (*erule rev-mp*)  
**apply** (*erule rev-mp*)  
**apply** (*erule trancl-induct, clarify*)  
**apply** (*blast intro: munion-multirel1-mono r-into-trancl, clarify*)  
**apply** (*subgoal-tac y \in Mult(A)*)  
**prefer** 2  
**apply** (*blast dest: multirel-type [unfolded multirel-def, THEN subsetD]*)  
**apply** (*subgoal-tac \langle K +\# y, K +\# z \rangle \in multirel1 (A, r)*)  
**prefer** 2 **apply** (*blast intro: munion-multirel1-mono*)  
**apply** (*blast intro: r-into-trancl trancl-trans*)  
**done**

**lemma** *munion-multirel-mono1*:  
 $[\langle M, N \rangle \in \text{multirel}(A, r); K \in \text{Mult}(A)] \implies \langle M +\# K, N +\# K \rangle \in \text{multirel}(A, r)$   
**apply** (*frule multirel-type [THEN subsetD]*)  
**apply** (*rule-tac P = \%x. \langle x, ?u \rangle \in multirel(A, r) in munion-commute [THEN subst]*)

```

apply (subst munion-commute [of N])
apply (rule munion-multirel-mono2)
apply (auto simp add: Mult-iff-multiset)
done

lemma munion-multirel-mono:
  [|<M,K> ∈ multirel(A, r); <N,L> ∈ multirel(A, r)|]
  ==> <M +# N, K +# L> ∈ multirel(A, r)
apply (subgoal-tac M ∈ Mult(A) & N ∈ Mult(A) & K ∈ Mult(A) & L ∈ Mult(A)
)
prefer 2 apply (blast dest: multirel-type [THEN subsetD])
apply (blast intro: munion-multirel-mono1 multirel-trans munion-multirel-mono2)
done

```

## 8.4 Ordinal Multisets

```

lemmas field-Memrel-mono = Memrel-mono [THEN field-mono, standard]

```

```

lemmas multirel-Memrel-mono = multirel-mono [OF field-Memrel-mono Memrel-mono]

```

```

lemma omultiset-is-multiset [simp]: omultiset(M) ==> multiset(M)
apply (simp add: omultiset-def)
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma munion-omultiset [simp]: [| omultiset(M); omultiset(N) |] ==> omultiset(M +# N)
apply (simp add: omultiset-def, clarify)
apply (rule-tac x = i Un ia in exI)
apply (simp add: Mult-iff-multiset Ord-Un Un-subset-iff)
apply (blast intro: field-Memrel-mono)
done

```

```

lemma mdiff-omultiset [simp]: omultiset(M) ==> omultiset(M -# N)
apply (simp add: omultiset-def, clarify)
apply (simp add: Mult-iff-multiset)
apply (rule-tac x = i in exI)
apply (simp (no-asm-simp))
done

```

```

lemma irrefl-Memrel: Ord(i) ==> irrefl(field(Memrel(i)), Memrel(i))
apply (rule irreflI, clarify)
apply (subgoal-tac Ord (x) )
prefer 2 apply (blast intro: Ord-in-Ord)
apply (drule-tac i = x in ltI [THEN lt-irrefl], auto)

```



**done**

**lemma** *trans-iff-trans-on*:  $\text{trans}(r) <-> \text{trans}[\text{field}(r)](r)$   
**by** (*simp add: trans-on-def trans-def, auto*)

**lemma** *part-ord-Memrel*:  $\text{Ord}(i) \implies \text{part-ord}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$   
**apply** (*simp add: part-ord-def*)  
**apply** (*simp (no-asm) add: trans-iff-trans-on [THEN iff-sym]*)  
**apply** (*blast intro: trans-Memrel irreft-Memrel*)  
**done**

**lemmas** *part-ord-mless = part-ord-Memrel [THEN part-ord-multirel, standard]*

**lemma** *mless-not-refl*:  $\sim(M <\# M)$   
**apply** (*simp add: mless-def, clarify*)  
**apply** (*frule multirel-type [THEN subsetD]*)  
**apply** (*drule part-ord-mless*)  
**apply** (*simp add: part-ord-def irreft-def*)  
**done**

**lemmas** *mless-irreft = mless-not-refl [THEN notE, standard, elim!]*

**lemma** *mless-trans*:  $[K <\# M; M <\# N] \implies K <\# N$   
**apply** (*simp add: mless-def, clarify*)  
**apply** (*rule-tac x = i Un ia in exI*)  
**apply** (*blast dest: multirel-Memrel-mono [OF Un-upper1 Un-upper1, THEN subsetD]*  
 $\text{multirel-Memrel-mono [OF Un-upper2 Un-upper2, THEN subsetD]}$   
*intro: multirel-trans Ord-Un*)  
**done**

**lemma** *mless-not-sym*:  $M <\# N \implies \sim N <\# M$   
**apply** *clarify*  
**apply** (*rule mless-not-refl [THEN notE]*)  
**apply** (*erule mless-trans, assumption*)  
**done**

**lemma** *mless-asm*:  $[M <\# N; \sim P \implies N <\# M] \implies P$   
**by** (*blast dest: mless-not-sym*)

**lemma** *mle-refl* [*simp*]:  $\text{omultiset}(M) \implies M <\# M$   
**by** (*simp add: mle-def*)

**lemma** *mle-antisym*:

[[  $M <\# = N$ ;  $N <\# = M$  ]] ==>  $M = N$   
**apply** (*simp add: mle-def*)  
**apply** (*blast dest: mless-not-sym*)  
**done**

**lemma** *mle-trans*: [[  $K <\# = M$ ;  $M <\# = N$  ]] ==>  $K <\# = N$

**apply** (*simp add: mle-def*)  
**apply** (*blast intro: mless-trans*)  
**done**

**lemma** *mless-le-iff*:  $M <\# N \leftrightarrow (M <\# = N \ \& \ M \neq N)$

**by** (*simp add: mle-def, auto*)

**lemma** *munion-less-mono2*: [[  $M <\# N$ ; *omultiset*( $K$ ) ]] ==>  $K +\# M <\# K +\# N$

**apply** (*simp add: mless-def omultiset-def, clarify*)  
**apply** (*rule-tac x = i Un ia in exI*)  
**apply** (*simp add: Mult-iff-multiset Ord-Un Un-subset-iff*)  
**apply** (*rule munion-multirel-mono2*)  
**apply** (*blast intro: multirel-Memrel-mono [THEN subsetD]*)  
**apply** (*simp add: Mult-iff-multiset*)  
**apply** (*blast intro: field-Memrel-mono [THEN subsetD]*)  
**done**

**lemma** *munion-less-mono1*: [[  $M <\# N$ ; *omultiset*( $K$ ) ]] ==>  $M +\# K <\# N +\# K$

**by** (*force dest: munion-less-mono2 simp add: munion-commute*)

**lemma** *mless-imp-omultiset*:  $M <\# N ==> \text{omultiset}(M) \ \& \ \text{omultiset}(N)$

**by** (*auto simp add: mless-def omultiset-def dest: multirel-type [THEN subsetD]*)

**lemma** *munion-less-mono*: [[  $M <\# K$ ;  $N <\# L$  ]] ==>  $M +\# N <\# K +\# L$

**apply** (*frule-tac M = M in mless-imp-omultiset*)  
**apply** (*frule-tac M = N in mless-imp-omultiset*)  
**apply** (*blast intro: munion-less-mono1 munion-less-mono2 mless-trans*)  
**done**

**lemma** *mle-imp-omultiset*:  $M <\# = N ==> \text{omultiset}(M) \ \& \ \text{omultiset}(N)$

**by** (*auto simp add: mle-def mless-imp-omultiset*)

**lemma** *mle-mono*: [[  $M <\# = K$ ;  $N <\# = L$  ]] ==>  $M +\# N <\# = K +\# L$

```

apply (frule-tac  $M = M$  in mle-imp-omultiset)
apply (frule-tac  $M = N$  in mle-imp-omultiset)
apply (auto simp add: mle-def intro: munion-less-mono1 munion-less-mono2 munion-less-mono)
done

lemma omultiset-0 [iff]: omultiset(0)
by (auto simp add: omultiset-def Mult-iff-multiset)

lemma empty-leI [simp]: omultiset(M) ==> 0 <# M
apply (simp add: mle-def mless-def)
apply (subgoal-tac  $\exists i. \text{Ord } (i) \ \& \ M \in \text{Mult}(\text{field}(\text{Memrel}(i)))$ )
  prefer 2 apply (simp add: omultiset-def)
apply (case-tac  $M=0$ , simp-all, clarify)
apply (subgoal-tac  $<0 \ +\# \ 0, \ 0 \ +\# \ M> \in \text{multirel}(\text{field } (\text{Memrel}(i)), \text{Memrel}(i))$ )
apply (rule-tac [2] one-step-implies-multirel)
apply (auto simp add: Mult-iff-multiset)
done

lemma munion-upper1: [| omultiset(M); omultiset(N) |] ==> M <# M +# N
apply (subgoal-tac  $M \ +\# \ 0 \ <\# \ M \ +\# \ N$ )
apply (rule-tac [2] mle-mono, auto)
done

end

```

## 9 An operator to “map” a relation over a list

**theory** *Rmap* **imports** *Main* **begin**

**consts**

*rmap* ::  $i \Rightarrow i$

**inductive**

**domains** *rmap*( $r$ )  $\subseteq \text{list}(\text{domain}(r)) \times \text{list}(\text{range}(r))$

**intros**

*NilI*:  $\langle \text{Nil}, \text{Nil} \rangle \in \text{rmap}(r)$

*ConsI*:  $[| \langle x, y \rangle: r; \langle xs, ys \rangle \in \text{rmap}(r) |]$   
 $\implies \langle \text{Cons}(x, xs), \text{Cons}(y, ys) \rangle \in \text{rmap}(r)$

**type-intros** *domainI rangeI list.intros*

**lemma** *rmap-mono*:  $r \subseteq s \implies \text{rmap}(r) \subseteq \text{rmap}(s)$

**apply** (*unfold rmap.defs*)

**apply** (*rule lfp-mono*)

**apply** (*rule rmap.bnd-mono*) $+$

**apply** (*assumption* | *rule Sigma-mono list-mono domain-mono range-mono basic-monos*) $+$

```

done

inductive-cases
  Nil-rmap-case [elim!]: <Nil,zs> ∈ rmap(r)
  and Cons-rmap-case [elim!]: <Cons(x,xs),zs> ∈ rmap(r)

declare rmap.intros [intro]

lemma rmap-rel-type:  $r \subseteq A \times B \implies rmap(r) \subseteq list(A) \times list(B)$ 
  apply (rule rmap.dom-subset [THEN subset-trans])
  apply (assumption |
    rule domain-rel-subset range-rel-subset Sigma-mono list-mono)+
  done

lemma rmap-total:  $A \subseteq domain(r) \implies list(A) \subseteq domain(rmap(r))$ 
  apply (rule subsetI)
  apply (erule list.induct)
  apply blast+
  done

lemma rmap-functional:  $function(r) \implies function(rmap(r))$ 
  apply (unfold function-def)
  apply (rule impI [THEN allI, THEN allI])
  apply (erule rmap.induct)
  apply blast+
  done

If  $f$  is a function then  $rmap(f)$  behaves as expected.

lemma rmap-fun-type:  $f \in A \rightarrow B \implies rmap(f): list(A) \rightarrow list(B)$ 
  by (simp add: Pi-iff rmap-rel-type rmap-functional rmap-total)

lemma rmap-Nil:  $rmap(f) 'Nil = Nil$ 
  by (unfold apply-def) blast

lemma rmap-Cons: [ $f \in A \rightarrow B$ ;  $x \in A$ ;  $xs: list(A)$ ]
   $\implies rmap(f) 'Cons(x,xs) = Cons(f'x, rmap(f) 'xs)$ 
  by (blast intro: apply-equality apply-Pair rmap-fun-type rmap.intros)

end

```

## 10 Meta-theory of propositional logic

**theory PropLog imports Main begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If  $H \models p$  then  $G \models p$  where  $G \in \text{Fin}(H)$

## 10.1 The datatype of propositions

**consts**

*propn* :: *i*

**datatype** *propn* =

*Fls*

| *Var* (*n* ∈ *nat*) (#- [100] 100)

| *Imp* (*p* ∈ *propn*, *q* ∈ *propn*) (**infixr** ==> 90)

## 10.2 The proof system

**consts** *thms* :: *i* ==> *i*

**syntax** *-thms* :: [*i*,*i*] ==> *o* (**infixl** |- 50)

**translations**  $H \vdash p == p \in \text{thms}(H)$

**inductive**

**domains** *thms*(*H*) ⊆ *propn*

**intros**

*H*: [| *p* ∈ *H*; *p* ∈ *propn* |] ==>  $H \vdash p$

*K*: [| *p* ∈ *propn*; *q* ∈ *propn* |] ==>  $H \vdash p \Rightarrow q \Rightarrow p$

*S*: [| *p* ∈ *propn*; *q* ∈ *propn*; *r* ∈ *propn* |]

==>  $H \vdash (p \Rightarrow q \Rightarrow r) \Rightarrow (p \Rightarrow q) \Rightarrow p \Rightarrow r$

*DN*:  $p \in \text{propn} ==> H \vdash ((p \Rightarrow \text{Fls}) \Rightarrow \text{Fls}) \Rightarrow p$

*MP*: [|  $H \vdash p \Rightarrow q$ ;  $H \vdash p$ ; *p* ∈ *propn*; *q* ∈ *propn* |] ==>  $H \vdash q$

**type-intros** *propn.intros*

**declare** *propn.intros* [*simp*]

## 10.3 The semantics

### 10.3.1 Semantics of propositional logic.

**consts**

*is-true-fun* :: [*i*,*i*] ==> *i*

**primrec**

*is-true-fun*(*Fls*, *t*) = 0

*is-true-fun*(*Var*(*v*), *t*) = (if *v* ∈ *t* then 1 else 0)

*is-true-fun*(*p* ==> *q*, *t*) = (if *is-true-fun*(*p*, *t*) = 1 then *is-true-fun*(*q*, *t*) else 1)

**definition**

*is-true* :: [*i*,*i*] ==> *o* **where**

*is-true*(*p*, *t*) == *is-true-fun*(*p*, *t*) = 1

— this definition is required since predicates can't be recursive

**lemma** *is-true-Fls* [*simp*]: *is-true*(*Fls*, *t*)  $\leftrightarrow$  *False*  
**by** (*simp add: is-true-def*)

**lemma** *is-true-Var* [*simp*]: *is-true*( $\#v$ , *t*)  $\leftrightarrow$   $v \in t$   
**by** (*simp add: is-true-def*)

**lemma** *is-true-Imp* [*simp*]: *is-true*( $p \Rightarrow q$ , *t*)  $\leftrightarrow$  (*is-true*(*p*, *t*)  $\rightarrow$  *is-true*(*q*, *t*))  
**by** (*simp add: is-true-def*)

### 10.3.2 Logical consequence

For every valuation, if all elements of *H* are true then so is *p*.

**definition**

*logcon* :: [*i*, *i*]  $\Rightarrow$  *o* (infixl  $\mid=$  50) **where**  
*H*  $\mid= p$  ==  $\forall t. (\forall q \in H. \text{is-true}(q, t)) \rightarrow \text{is-true}(p, t)$

A finite set of hypotheses from *t* and the *Vars* in *p*.

**consts**

*hyps* :: [*i*, *i*]  $\Rightarrow$  *i*

**primrec**

*hyps*(*Fls*, *t*) = 0  
*hyps*(*Var*(*v*), *t*) = (if  $v \in t$  then  $\{\#v\}$  else  $\{\#v \Rightarrow Fls\}$ )  
*hyps*( $p \Rightarrow q$ , *t*) = *hyps*(*p*, *t*)  $\cup$  *hyps*(*q*, *t*)

### 10.4 Proof theory of propositional logic

**lemma** *thms-mono*:  $G \subseteq H \Rightarrow \text{thms}(G) \subseteq \text{thms}(H)$   
**apply** (*unfold thms.defs*)  
**apply** (*rule lfp-mono*)  
**apply** (*rule thms.bnd-mono*) +  
**apply** (*assumption* | *rule univ-mono basic-monos*) +  
**done**

**lemmas** *thms-in-pl* = *thms.dom-subset* [*THEN subsetD*]

**inductive-cases** *ImpE*:  $p \Rightarrow q \in \text{propn}$

**lemma** *thms-MP*: [ $H \mid= p \Rightarrow q$ ;  $H \mid= p$ ]  $\Rightarrow H \mid= q$   
— Stronger Modus Ponens rule: no typechecking!  
**apply** (*rule thms.MP*)  
**apply** (*erule asm-rl thms-in-pl thms-in-pl* [*THEN ImpE*]) +  
**done**

**lemma** *thms-I*:  $p \in \text{propn} \Rightarrow H \mid= p \Rightarrow p$   
— Rule is called *I* for Identity Combinator, not for Introduction.  
**apply** (*rule thms.S* [*THEN thms-MP*, *THEN thms-MP*])  
**apply** (*rule-tac* [5] *thms.K*)  
**apply** (*rule-tac* [4] *thms.K*)  
**apply** *simp-all*

done

#### 10.4.1 Weakening, left and right

**lemma** *weaken-left*:  $[| G \subseteq H; G|-p |] ==> H|-p$   
— Order of premises is convenient with *THEN*  
**by** (*erule thms-mono [THEN subsetD]*)

**lemma** *weaken-left-cons*:  $H |- p ==> cons(a,H) |- p$   
**by** (*erule subset-consI [THEN weaken-left]*)

**lemmas** *weaken-left-Un1* = *Un-upper1 [THEN weaken-left]*  
**lemmas** *weaken-left-Un2* = *Un-upper2 [THEN weaken-left]*

**lemma** *weaken-right*:  $[| H |- q; p \in propn |] ==> H |- p=>q$   
**by** (*simp-all add: thms.K [THEN thms-MP] thms-in-pl*)

#### 10.4.2 The deduction theorem

**theorem** *deduction*:  $[| cons(p,H) |- q; p \in propn |] ==> H |- p=>q$   
**apply** (*erule thms.induct*)  
  **apply** (*blast intro: thms-I thms.H [THEN weaken-right]*)  
  **apply** (*blast intro: thms.K [THEN weaken-right]*)  
  **apply** (*blast intro: thms.S [THEN weaken-right]*)  
  **apply** (*blast intro: thms.DN [THEN weaken-right]*)  
  **apply** (*blast intro: thms.S [THEN thms-MP [THEN thms-MP]]*)  
**done**

#### 10.4.3 The cut rule

**lemma** *cut*:  $[| H|-p; cons(p,H) |- q |] ==> H |- q$   
**apply** (*rule deduction [THEN thms-MP]*)  
  **apply** (*simp-all add: thms-in-pl*)  
**done**

**lemma** *thms-FlsE*:  $[| H |- Fls; p \in propn |] ==> H |- p$   
**apply** (*rule thms.DN [THEN thms-MP]*)  
  **apply** (*rule-tac [2] weaken-right*)  
  **apply** (*simp-all add: propn.intros*)  
**done**

**lemma** *thms-notE*:  $[| H |- p=>Fls; H |- p; q \in propn |] ==> H |- q$   
**by** (*erule thms-MP [THEN thms-FlsE]*)

#### 10.4.4 Soundness of the rules wrt truth-table semantics

**theorem** *soundness*:  $H |- p ==> H |= p$   
**apply** (*unfold logcon-def*)  
**apply** (*induct set: thms*)  
  **apply** *auto*

done

## 10.5 Completeness

### 10.5.1 Towards the completeness proof

**lemma** *Fls-Imp*:  $[[ H \mid - p \Rightarrow Fls; q \in propn ]] \Rightarrow H \mid - p \Rightarrow q$   
 apply (frule thms-in-pl)  
 apply (rule deduction)  
 apply (rule weaken-left-cons [THEN thms-notE])  
 apply (blast intro: thms.H elim: ImpE)+  
 done

**lemma** *Imp-Fls*:  $[[ H \mid - p; H \mid - q \Rightarrow Fls ]] \Rightarrow H \mid - (p \Rightarrow q) \Rightarrow Fls$   
 apply (frule thms-in-pl)  
 apply (frule thms-in-pl [of concl:  $q \Rightarrow Fls$ ])  
 apply (rule deduction)  
 apply (erule weaken-left-cons [THEN thms-MP])  
 apply (rule consI1 [THEN thms.H, THEN thms-MP])  
 apply (blast intro: weaken-left-cons elim: ImpE)+  
 done

**lemma** *hypos-thms-if*:  
 $p \in propn \Rightarrow hypos(p,t) \mid - (if\ is\_true(p,t)\ then\ p\ else\ p \Rightarrow Fls)$   
 — Typical example of strengthening the induction statement.  
 apply simp  
 apply (induct-tac p)  
 apply (simp-all add: thms-I thms.H)  
 apply (safe elim!: Fls-Imp [THEN weaken-left-Un1] Fls-Imp [THEN weaken-left-Un2])  
 apply (blast intro: weaken-left-Un1 weaken-left-Un2 weaken-right Imp-Fls)+  
 done

**lemma** *logcon-thms-p*:  $[[ p \in propn; 0 \mid = p ]] \Rightarrow hypos(p,t) \mid - p$   
 — Key lemma for completeness; yields a set of assumptions satisfying  $p$   
 apply (drule hypos-thms-if)  
 apply (simp add: logcon-def)  
 done

For proving certain theorems in our new propositional logic.

**lemmas** *propn-SIs* = *propn.intros deduction*  
 and *propn-Is* = *thms-in-pl thms.H thms.H [THEN thms-MP]*

The excluded middle in the form of an elimination rule.

**lemma** *thms-excluded-middle*:  
 $[[ p \in propn; q \in propn ]] \Rightarrow H \mid - (p \Rightarrow q) \Rightarrow ((p \Rightarrow Fls) \Rightarrow q) \Rightarrow q$   
 apply (rule deduction [THEN deduction])  
 apply (rule thms.DN [THEN thms-MP])  
 apply (best intro!: propn-SIs intro: propn-Is)+  
 done



**lemma** *thms-excluded-middle-rule*:

$[| \text{cons}(p, H) \vdash q; \text{cons}(p \Rightarrow \text{Fls}, H) \vdash q; p \in \text{propn} |] \Rightarrow H \vdash q$   
 — Hard to prove directly because it requires cuts  
**apply** (*rule thms-excluded-middle* [*THEN thms-MP*, *THEN thms-MP*])  
**apply** (*blast intro!*: *propn-SIs intro*: *propn-Is*) +  
**done**

### 10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case  $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$  we also have  $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$ .

**lemma** *hyps-Diff*:

$p \in \text{propn} \Rightarrow \text{hyps}(p, t - \{v\}) \subseteq \text{cons}(\#v \Rightarrow \text{Fls}, \text{hyps}(p, t) - \{\#v\})$   
**by** (*induct set*: *propn*) *auto*

For the case  $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$  we also have  $\text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\} \subseteq \text{hyps}(p, \text{cons}(v, t))$ .

**lemma** *hyps-cons*:

$p \in \text{propn} \Rightarrow \text{hyps}(p, \text{cons}(v, t)) \subseteq \text{cons}(\#v, \text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\})$   
**by** (*induct set*: *propn*) *auto*

Two lemmas for use with *weaken-left*

**lemma** *cons-Diff-same*:  $B - C \subseteq \text{cons}(a, B - \text{cons}(a, C))$

**by** *blast*

**lemma** *cons-Diff-subset2*:  $\text{cons}(a, B - \{c\}) - D \subseteq \text{cons}(a, B - \text{cons}(c, D))$

**by** *blast*

The set  $\text{hyps}(p, t)$  is finite, and elements have the form  $\#v$  or  $\#v \Rightarrow \text{Fls}$ ; could probably prove the stronger  $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$ .

**lemma** *hyps-finite*:  $p \in \text{propn} \Rightarrow \text{hyps}(p, t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \Rightarrow \text{Fls}\})$

**by** (*induct set*: *propn*) *auto*

**lemmas** *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

Induction on the finite set of assumptions  $\text{hyps}(p, t0)$ . We may repeatedly subtract assumptions until none are left!

**lemma** *completeness-0-lemma* [*rule-format*]:

$[| p \in \text{propn}; 0 \vdash p |] \Rightarrow \forall t. \text{hyps}(p, t) - \text{hyps}(p, t0) \vdash p$   
**apply** (*frule hyps-finite*)  
**apply** (*erule Fin-induct*)  
**apply** (*simp add*: *logcon-thms-p Diff-0*)

inductive step

**apply** *safe*

Case  $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$

**apply** (*rule thms-excluded-middle-rule*)  
**apply** (*erule-tac* [3] *propn.intros*)  
**apply** (*blast intro: cons-Diff-same* [THEN *weaken-left*])  
**apply** (*blast intro: cons-Diff-subset2* [THEN *weaken-left*])  
*hyps-Diff* [THEN *Diff-weaken-left*])

Case  $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$

**apply** (*rule thms-excluded-middle-rule*)  
**apply** (*erule-tac* [3] *propn.intros*)  
**apply** (*blast intro: cons-Diff-subset2* [THEN *weaken-left*])  
*hyps-cons* [THEN *Diff-weaken-left*])  
**apply** (*blast intro: cons-Diff-same* [THEN *weaken-left*])  
**done**

### 10.5.3 Completeness theorem

**lemma** *completeness-0*:  $[p \in \text{propn}; 0 \models p] \Rightarrow 0 \vdash p$

— The base case for completeness

**apply** (*rule Diff-cancel* [THEN *subst*])  
**apply** (*blast intro: completeness-0-lemma*)  
**done**

**lemma** *logcon-Imp*:  $[ \text{cons}(p, H) \models q ] \Rightarrow H \models p \Rightarrow q$

— A semantic analogue of the Deduction Theorem

**by** (*simp add: logcon-def*)

**lemma** *completeness*:

$H \in \text{Fin}(\text{propn}) \Rightarrow p \in \text{propn} \Rightarrow H \models p \Rightarrow H \vdash p$

**apply** (*induct arbitrary: p set: Fin*)  
**apply** (*safe intro!: completeness-0*)  
**apply** (*rule weaken-left-cons* [THEN *thms-MP*])  
**apply** (*blast intro!: logcon-Imp propn.intros*)  
**apply** (*blast intro: propn-Is*)  
**done**

**theorem** *thms-iff*:  $H \in \text{Fin}(\text{propn}) \Rightarrow H \vdash p \Leftrightarrow H \models p \wedge p \in \text{propn}$

**by** (*blast intro: soundness completeness thms-in-pl*)

**end**

## 11 Lists of $n$ elements

**theory** *ListN* **imports** *Main* **begin**

Inductive definition of lists of  $n$  elements; see [?].

```

consts listn :: i=>i
inductive
  domains listn(A) ⊆ nat × list(A)
  intros
    NilI: <0,Nil> ∈ listn(A)
    ConsI: [| a ∈ A; <n,l> ∈ listn(A) |] ==> <succ(n), Cons(a,l)> ∈ listn(A)
  type-intros nat-typechecks list.intros

lemma list-into-listn: l ∈ list(A) ==> <length(l),l> ∈ listn(A)
  by (induct set: list) (simp-all add: listn.intros)

lemma listn-iff: <n,l> ∈ listn(A) <-> l ∈ list(A) & length(l)=n
  apply (rule iffI)
  apply (erule listn.induct)
  apply auto
  apply (blast intro: list-into-listn)
  done

lemma listn-image-eq: listn(A) “{n} = {l ∈ list(A). length(l)=n}
  apply (rule equality-iffI)
  apply (simp add: listn-iff separation image-singleton-iff)
  done

lemma listn-mono: A ⊆ B ==> listn(A) ⊆ listn(B)
  apply (unfold listn.defs)
  apply (rule lfp-mono)
  apply (rule listn.bnd-mono)+
  apply (assumption | rule univ-mono Sigma-mono list-mono basic-monos)+
  done

lemma listn-append:
  [| <n,l> ∈ listn(A); <n',l'> ∈ listn(A) |] ==> <n#+n', l@l'> ∈ listn(A)
  apply (erule listn.induct)
  apply (frule listn.dom-subset [THEN subsetD])
  apply (simp-all add: listn.intros)
  done

inductive-cases
  Nil-listn-case: <i,Nil> ∈ listn(A)
  and Cons-listn-case: <i,Cons(x,l)> ∈ listn(A)

inductive-cases
  zero-listn-case: <0,l> ∈ listn(A)
  and succ-listn-case: <succ(i),l> ∈ listn(A)

end

```

## 12 Combinatory Logic example: the Church-Rosser Theorem

**theory** *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

### 12.1 Definitions

Datatype definition of combinators  $S$  and  $K$ .

```
consts comb :: i
datatype comb =
  K
  | S
  | app (p ∈ comb, q ∈ comb)    (infixl @@ 90)
```

Inductive definition of contractions,  $-1->$  and (multi-step) reductions,  $---->$ .

```
consts
  contract :: i
syntax
  -contract      :: [i,i] ==> o    (infixl -1-> 50)
  -contract-multi :: [i,i] ==> o    (infixl ----> 50)
translations
  p -1-> q == <p,q> ∈ contract
  p ----> q == <p,q> ∈ contract^*
```

```
syntax (xsymbols)
  comb.app    :: [i, i] ==> i      (infixl · 90)
```

**inductive**

**domains** *contract*  $\subseteq$  *comb*  $\times$  *comb*

**intros**

```
K: [| p ∈ comb; q ∈ comb |] ==> K·p·q -1-> p
S: [| p ∈ comb; q ∈ comb; r ∈ comb |] ==> S·p·q·r -1-> (p·r)·(q·r)
Ap1: [| p -1-> q; r ∈ comb |] ==> p·r -1-> q·r
Ap2: [| p -1-> q; r ∈ comb |] ==> r·p -1-> r·q
```

**type-intros** *comb.intros*

Inductive definition of parallel contractions,  $=1=>$  and (multi-step) parallel reductions,  $===>$ .

**consts**

*parcontract* :: *i*

**syntax**

```
-parcontract :: [i,i] ==> o    (infixl =1=> 50)
-parcontract-multi :: [i,i] ==> o    (infixl ===> 50)
```

**translations**

$p = 1 \Rightarrow q == \langle p, q \rangle \in \text{parcontract}$   
 $p \Rightarrow \Rightarrow q == \langle p, q \rangle \in \text{parcontract}^+ +$

**inductive**

**domains**  $\text{parcontract} \subseteq \text{comb} \times \text{comb}$

**intros**

*refl*:  $[\mid p \in \text{comb} \mid] \Rightarrow p = 1 \Rightarrow p$   
*K*:  $[\mid p \in \text{comb}; q \in \text{comb} \mid] \Rightarrow K \cdot p \cdot q = 1 \Rightarrow p$   
*S*:  $[\mid p \in \text{comb}; q \in \text{comb}; r \in \text{comb} \mid] \Rightarrow S \cdot p \cdot q \cdot r = 1 \Rightarrow (p \cdot r) \cdot (q \cdot r)$   
*Ap*:  $[\mid p = 1 \Rightarrow q; r = 1 \Rightarrow s \mid] \Rightarrow p \cdot r = 1 \Rightarrow q \cdot s$   
**type-intros**  $\text{comb.intros}$

Misc definitions.

**definition**

$I :: i$  **where**  
 $I == S \cdot K \cdot K$

**definition**

*diamond*  $:: i \Rightarrow o$  **where**  
*diamond*( $r$ )  $==$   
 $\forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow (\exists z. \langle y, z \rangle \in r \ \& \ \langle y', z \rangle \in r))$

## 12.2 Transitive closure preserves the Church-Rosser property

**lemma** *diamond-strip-lemmaD* [rule-format]:

$[\mid \text{diamond}(r); \langle x, y \rangle : r^+ \mid] \Rightarrow$   
 $\forall y'. \langle x, y' \rangle : r \longrightarrow (\exists z. \langle y', z \rangle : r^+ \ \& \ \langle y, z \rangle : r)$   
**apply** (*unfold diamond-def*)  
**apply** (*erule trancl-induct*)  
**apply** (*blast intro: r-into-trancl*)  
**apply** *clarify*  
**apply** (*drule spec [THEN mp], assumption*)  
**apply** (*blast intro: r-into-trancl trans-trancl [THEN transD]*)  
**done**

**lemma** *diamond-trancl*:  $\text{diamond}(r) \Rightarrow \text{diamond}(r^+)$

**apply** (*simp (no-asm-simp) add: diamond-def*)  
**apply** (*rule impI [THEN allI, THEN allI]*)  
**apply** (*erule trancl-induct*)  
**apply** *auto*  
**apply** (*best intro: r-into-trancl trans-trancl [THEN transD]*  
*dest: diamond-strip-lemmaD*)  
**done**

**inductive-cases** *Ap-E* [*elim!*]:  $p \cdot q \in \text{comb}$

**declare** *comb.intros* [*intro!*]

### 12.3 Results about Contraction

For type checking: replaces  $a -1-> b$  by  $a, b \in \text{comb}$ .

**lemmas** *contract-combE2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaE2*]

**and** *contract-combD1* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD1*]

**and** *contract-combD2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD2*]

**lemma** *field-contract-eq*: *field(contract) = comb*

**by** (*blast intro: contract.K elim!: contract-combE2*)

**lemmas** *reduction-refl* =

*field-contract-eq* [*THEN equalityD2*, *THEN subsetD*, *THEN rtranc1-refl*]

**lemmas** *rtranc1-into-rtranc12* =

*r-into-rtranc1* [*THEN trans-rtranc1* [*THEN transD*]]

**declare** *reduction-refl* [*intro!*] *contract.K* [*intro!*] *contract.S* [*intro!*]

**lemmas** *reduction-rls* =

*contract.K* [*THEN rtranc1-into-rtranc12*]

*contract.S* [*THEN rtranc1-into-rtranc12*]

*contract.Ap1* [*THEN rtranc1-into-rtranc12*]

*contract.Ap2* [*THEN rtranc1-into-rtranc12*]

**lemma**  $p \in \text{comb} \implies I \cdot p \dashrightarrow p$

— Example only: not used

**by** (*unfold I-def*) (*blast intro: reduction-rls*)

**lemma** *comb-I*:  $I \in \text{comb}$

**by** (*unfold I-def*) *blast*

### 12.4 Non-contraction results

Derive a case for each combinator constructor.

**inductive-cases**

*K-contractE* [*elim!*]:  $K -1-> r$

**and** *S-contractE* [*elim!*]:  $S -1-> r$

**and** *Ap-contractE* [*elim!*]:  $p \cdot q -1-> r$

**lemma** *I-contract-E*:  $I -1-> r \implies P$

**by** (*auto simp add: I-def*)

**lemma** *K1-contractD*:  $K \cdot p -1-> r \implies (\exists q. r = K \cdot q \ \& \ p -1-> q)$

**by** *auto*

**lemma** *Ap-reduce1*:  $[p \dashrightarrow q; r \in \text{comb}] \implies p \cdot r \dashrightarrow q \cdot r$

**apply** (*frule rtranc1-type* [*THEN subsetD*, *THEN SigmaD1*])

```

apply (drule field-contract-eq [THEN equalityD1, THEN subsetD])
apply (erule rtrancl-induct)
apply (blast intro: reduction-rls)
apply (erule trans-rtrancl [THEN transD])
apply (blast intro: contract-combD2 reduction-rls)
done

lemma Ap-reduce2: [| p ----> q; r ∈ comb |] ==> r.p ----> r.q
apply (frule rtrancl-type [THEN subsetD, THEN SigmaD1])
apply (drule field-contract-eq [THEN equalityD1, THEN subsetD])
apply (erule rtrancl-induct)
apply (blast intro: reduction-rls)
apply (blast intro: trans-rtrancl [THEN transD]
        contract-combD2 reduction-rls)
done

```

Counterexample to the diamond property for  $-1->$ .

```

lemma KIII-contract1:  $K \cdot I \cdot (I \cdot I) -1-> I$ 
by (blast intro: comb.intros contract.K comb-I)

lemma KIII-contract2:  $K \cdot I \cdot (I \cdot I) -1-> K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I))$ 
by (unfold I-def) (blast intro: comb.intros contract.intros)

lemma KIII-contract3:  $K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I)) -1-> I$ 
by (blast intro: comb.intros contract.K comb-I)

lemma not-diamond-contract:  $\neg \text{diamond}(\text{contract})$ 
apply (unfold diamond-def)
apply (blast intro: KIII-contract1 KIII-contract2 KIII-contract3
        elim!: I-contract-E)
done

```

## 12.5 Results about Parallel Contraction

For type checking: replaces  $a =1=> b$  by  $a, b \in \text{comb}$

```

lemmas parcontract-combE2 = parcontract.dom-subset [THEN subsetD, THEN
SigmaE2]
and parcontract-combD1 = parcontract.dom-subset [THEN subsetD, THEN Sig-
maD1]
and parcontract-combD2 = parcontract.dom-subset [THEN subsetD, THEN Sig-
maD2]

```

```

lemma field-parcontract-eq:  $\text{field}(\text{parcontract}) = \text{comb}$ 
by (blast intro: parcontract.K elim!: parcontract-combE2)

```

Derive a case for each combinator constructor.

**inductive-cases**

```

K-parcontractE [elim!]:  $K =1=> r$ 

```

and  $S\text{-parcontract}E$  [elim!]:  $S = 1 \Rightarrow r$   
 and  $Ap\text{-parcontract}E$  [elim!]:  $p \cdot q = 1 \Rightarrow r$

declare  $\text{parcontract.intros}$  [intro]

## 12.6 Basic properties of parallel contraction

lemma  $K1\text{-parcontract}D$  [dest!]:

$K \cdot p = 1 \Rightarrow r \Rightarrow (\exists p'. r = K \cdot p' \ \& \ p = 1 \Rightarrow p')$   
 by *auto*

lemma  $S1\text{-parcontract}D$  [dest!]:

$S \cdot p = 1 \Rightarrow r \Rightarrow (\exists p'. r = S \cdot p' \ \& \ p = 1 \Rightarrow p')$   
 by *auto*

lemma  $S2\text{-parcontract}D$  [dest!]:

$S \cdot p \cdot q = 1 \Rightarrow r \Rightarrow (\exists p' q'. r = S \cdot p' \cdot q' \ \& \ p = 1 \Rightarrow p' \ \& \ q = 1 \Rightarrow q')$   
 by *auto*

lemma  $\text{diamond-parcontract}$ :  $\text{diamond}(\text{parcontract})$

— Church-Rosser property for parallel contraction

apply (*unfold diamond-def*)

apply (*rule impI* [THEN *allI*, THEN *allI*])

apply (*erule parcontract.induct*)

apply (*blast elim!*: *comb.free-elims intro: parcontract-combD2*) +  
 done

Equivalence of  $p \dashrightarrow q$  and  $p \Rightarrow q$ .

lemma  $\text{contract-imp-parcontract}$ :  $p - 1 -> q \Rightarrow p = 1 \Rightarrow q$

by (*induct set: contract*) *auto*

lemma  $\text{reduce-imp-parreduce}$ :  $p \dashrightarrow q \Rightarrow p \Rightarrow q$

apply (*frule rtrancl-type* [THEN *subsetD*, THEN *SigmaD1*])

apply (*drule field-contract-eq* [THEN *equalityD1*, THEN *subsetD*])

apply (*erule rtrancl-induct*)

apply (*blast intro: r-into-trancl*)

apply (*blast intro: contract-imp-parcontract r-into-trancl*

*trans-trancl* [THEN *transD*])

done

lemma  $\text{parcontract-imp-reduce}$ :  $p = 1 \Rightarrow q \Rightarrow p \dashrightarrow q$

apply (*induct set: parcontract*)

apply (*blast intro: reduction-rls*)

apply (*blast intro: reduction-rls*)

apply (*blast intro: reduction-rls*)

apply (*blast intro: trans-rtrancl* [THEN *transD*])

*Ap-reduce1 Ap-reduce2 parcontract-combD1 parcontract-combD2*)

done



```

lemma parreduce-imp-reduce:  $p \implies q \implies p \dashv\dashv q$ 
  apply (frule trancl-type [THEN subsetD, THEN SigmaD1])
  apply (drule field-parcontract-eq [THEN equalityD1, THEN subsetD])
  apply (erule trancl-induct, erule parcontract-imp-reduce)
  apply (erule trans-rtrancl [THEN transD])
  apply (erule parcontract-imp-reduce)
done

lemma parreduce-iff-reduce:  $p \implies q \iff p \dashv\dashv q$ 
  by (blast intro: parreduce-imp-reduce reduce-imp-parreduce)

end

```

## 13 Primitive Recursive Functions: the inductive definition

**theory** Primrec **imports** Main **begin**

Proof adopted from [?].

See also [?, page 250, exercise 11].

### 13.1 Basic definitions

**definition**

```

SC :: i where
SC ==  $\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. \text{succ}(x), l)$ 

```

**definition**

```

CONSTANT ::  $i \implies i$  where
CONSTANT(k) ==  $\lambda l \in \text{list}(\text{nat}). k$ 

```

**definition**

```

PROJ ::  $i \implies i$  where
PROJ(i) ==  $\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. x, \text{drop}(i, l))$ 

```

**definition**

```

COMP ::  $[i, i] \implies i$  where
COMP(g, fs) ==  $\lambda l \in \text{list}(\text{nat}). g \text{ ' } \text{map}(\lambda f. f^l, fs)$ 

```

**definition**

```

PREC ::  $[i, i] \implies i$  where
PREC(f, g) ==
   $\lambda l \in \text{list}(\text{nat}). \text{list-case}(0,$ 
     $\lambda x \text{ xs}. \text{rec}(x, f^l \text{ xs}, \lambda y \text{ r}. g \text{ ' } \text{Cons}(r, \text{Cons}(y, \text{xs}))), l)$ 

```

— Note that  $g$  is applied first to  $\text{PREC}(f, g) \text{ ' } y$  and then to  $y$ !

**consts**

$ACK :: i \Rightarrow i$   
**primrec**  
 $ACK(0) = SC$   
 $ACK(succ(i)) = PREC (CONSTANT (ACK(i) \text{ ' } [1]), COMP(ACK(i), [PROJ(0)]))$

**abbreviation**

$ack :: [i, i] \Rightarrow i$  **where**  
 $ack(x, y) == ACK(x) \text{ ' } [y]$

Useful special cases of evaluation.

**lemma** *SC*:  $[[x \in nat; l \in list(nat)]] \Rightarrow SC \text{ ' } (Cons(x, l)) = succ(x)$   
**by** (*simp add: SC-def*)

**lemma** *CONSTANT*:  $l \in list(nat) \Rightarrow CONSTANT(k) \text{ ' } l = k$   
**by** (*simp add: CONSTANT-def*)

**lemma** *PROJ-0*:  $[[x \in nat; l \in list(nat)]] \Rightarrow PROJ(0) \text{ ' } (Cons(x, l)) = x$   
**by** (*simp add: PROJ-def*)

**lemma** *COMP-1*:  $l \in list(nat) \Rightarrow COMP(g, [f]) \text{ ' } l = g \text{ ' } [f \text{ ' } l]$   
**by** (*simp add: COMP-def*)

**lemma** *PREC-0*:  $l \in list(nat) \Rightarrow PREC(f, g) \text{ ' } (Cons(0, l)) = f \text{ ' } l$   
**by** (*simp add: PREC-def*)

**lemma** *PREC-succ*:  
 $[[x \in nat; l \in list(nat)]]$   
 $\Rightarrow PREC(f, g) \text{ ' } (Cons(succ(x), l)) =$   
 $g \text{ ' } Cons(PREC(f, g) \text{ ' } (Cons(x, l)), Cons(x, l))$   
**by** (*simp add: PREC-def*)

## 13.2 Inductive definition of the PR functions

**consts**

*prim-rec* :: *i*

**inductive**

**domains** *prim-rec*  $\subseteq list(nat) \rightarrow nat$

**intros**

$SC \in prim-rec$

$k \in nat \Rightarrow CONSTANT(k) \in prim-rec$

$i \in nat \Rightarrow PROJ(i) \in prim-rec$

$[[g \in prim-rec; fs \in list(prim-rec)]] \Rightarrow COMP(g, fs) \in prim-rec$

$[[f \in prim-rec; g \in prim-rec]] \Rightarrow PREC(f, g) \in prim-rec$

**monos** *list-mono*

**con-defs** *SC-def* *CONSTANT-def* *PROJ-def* *COMP-def* *PREC-def*

**type-intros** *nat-typechecks* *list.intros*

*lam-type* *list-case-type* *drop-type* *map-type*

*apply-type* *rec-type*

**lemma** *prim-rec-into-fun* [TC]:  $c \in \text{prim-rec} \implies c \in \text{list}(\text{nat}) \rightarrow \text{nat}$   
**by** (*erule subsetD [OF prim-rec.dom-subset]*)

**lemmas** [TC] = *apply-type [OF prim-rec-into-fun]*

**declare** *prim-rec.intros* [TC]  
**declare** *nat-into-Ord* [TC]  
**declare** *rec-type* [TC]

**lemma** *ACK-in-prim-rec* [TC]:  $i \in \text{nat} \implies \text{ACK}(i) \in \text{prim-rec}$   
**by** (*induct set: nat*) *simp-all*

**lemma** *ack-type* [TC]:  $[i \in \text{nat}; j \in \text{nat}] \implies \text{ack}(i,j) \in \text{nat}$   
**by** *auto*

### 13.3 Ackermann's function cases

**lemma** *ack-0*:  $j \in \text{nat} \implies \text{ack}(0,j) = \text{succ}(j)$   
— *PROPERTY A 1*  
**by** (*simp add: SC*)

**lemma** *ack-succ-0*:  $\text{ack}(\text{succ}(i), 0) = \text{ack}(i,1)$   
— *PROPERTY A 2*  
**by** (*simp add: CONSTANT PREC-0*)

**lemma** *ack-succ-succ*:  
 $[i \in \text{nat}; j \in \text{nat}] \implies \text{ack}(\text{succ}(i), \text{succ}(j)) = \text{ack}(i, \text{ack}(\text{succ}(i), j))$   
— *PROPERTY A 3*  
**by** (*simp add: CONSTANT PREC-succ COMP-1 PROJ-0*)

**lemmas** [*simp*] = *ack-0 ack-succ-0 ack-succ-succ ack-type*  
**and** [*simp del*] = *ACK.simps*

**lemma** *lt-ack2*:  $i \in \text{nat} \implies j \in \text{nat} \implies j < \text{ack}(i,j)$   
— *PROPERTY A 4*  
**apply** (*induct i arbitrary: j set: nat*)  
**apply** *simp*  
**apply** (*induct-tac j*)  
**apply** (*erule-tac [2] succ-leI [THEN lt-trans1]*)  
**apply** (*rule nat-0I [THEN nat-0-le, THEN lt-trans]*)  
**apply** *auto*  
**done**

**lemma** *ack-lt-ack-succ2*:  $[i \in \text{nat}; j \in \text{nat}] \implies \text{ack}(i,j) < \text{ack}(i, \text{succ}(j))$   
— *PROPERTY A 5-*, the single-step lemma  
**by** (*induct set: nat*) (*simp-all add: lt-ack2*)

```

lemma ack-lt-mono2: [|  $j < k$ ;  $i \in \text{nat}$ ;  $k \in \text{nat}$  |] ==>  $\text{ack}(i, j) < \text{ack}(i, k)$ 
  — PROPERTY A 5, monotonicity for <
  apply (frule lt-nat-in-nat, assumption)
  apply (erule succ-lt-induct)
    apply assumption
  apply (rule-tac [2] lt-trans)
  apply (auto intro: ack-lt-ack-succ2)
done

lemma ack-le-mono2: [|  $j \leq k$ ;  $i \in \text{nat}$ ;  $k \in \text{nat}$  |] ==>  $\text{ack}(i, j) \leq \text{ack}(i, k)$ 
  — PROPERTY A 5', monotonicity for ≤
  apply (rule-tac  $f = \lambda j. \text{ack}(i, j)$  in Ord-lt-mono-imp-le-mono)
  apply (assumption | rule ack-lt-mono2 ack-type [THEN nat-into-Ord])+
done

lemma ack2-le-ack1:
  [|  $i \in \text{nat}$ ;  $j \in \text{nat}$  |] ==>  $\text{ack}(i, \text{succ}(j)) \leq \text{ack}(\text{succ}(i), j)$ 
  — PROPERTY A 6
  apply (induct-tac  $j$ )
  apply simp-all
  apply (rule ack-le-mono2)
  apply (rule lt-ack2 [THEN succ-leI, THEN le-trans])
  apply auto
done

lemma ack-lt-ack-succ1: [|  $i \in \text{nat}$ ;  $j \in \text{nat}$  |] ==>  $\text{ack}(i, j) < \text{ack}(\text{succ}(i), j)$ 
  — PROPERTY A 7-, the single-step lemma
  apply (rule ack-lt-mono2 [THEN lt-trans2])
  apply (rule-tac [4] ack2-le-ack1)
  apply auto
done

lemma ack-lt-mono1: [|  $i < j$ ;  $j \in \text{nat}$ ;  $k \in \text{nat}$  |] ==>  $\text{ack}(i, k) < \text{ack}(j, k)$ 
  — PROPERTY A 7, monotonicity for <
  apply (frule lt-nat-in-nat, assumption)
  apply (erule succ-lt-induct)
  apply assumption
  apply (rule-tac [2] lt-trans)
  apply (auto intro: ack-lt-ack-succ1)
done

lemma ack-le-mono1: [|  $i \leq j$ ;  $j \in \text{nat}$ ;  $k \in \text{nat}$  |] ==>  $\text{ack}(i, k) \leq \text{ack}(j, k)$ 
  — PROPERTY A 7', monotonicity for ≤
  apply (rule-tac  $f = \lambda j. \text{ack}(j, k)$  in Ord-lt-mono-imp-le-mono)
  apply (assumption | rule ack-lt-mono1 ack-type [THEN nat-into-Ord])+
done

lemma ack-1:  $j \in \text{nat} ==> \text{ack}(1, j) = \text{succ}(\text{succ}(j))$ 

```

```

— PROPERTY A 8
by (induct set: nat) simp-all

lemma ack-2:  $j \in \text{nat} \implies \text{ack}(\text{succ}(1), j) = \text{succ}(\text{succ}(\text{succ}(j \# + j)))$ 
— PROPERTY A 9
by (induct set: nat) (simp-all add: ack-1)

lemma ack-nest-bound:
[[  $i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat}$  ]]
 $\implies \text{ack}(i1, \text{ack}(i2, j)) < \text{ack}(\text{succ}(\text{succ}(i1 \# + i2)), j)$ 
— PROPERTY A 10
apply (rule lt-trans2 [OF - ack2-le-ack1])
  apply simp
  apply (rule add-le-self [THEN ack-le-mono1, THEN lt-trans1])
  apply auto
  apply (force intro: add-le-self2 [THEN ack-lt-mono1, THEN ack-lt-mono2])
done

lemma ack-add-bound:
[[  $i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat}$  ]]
 $\implies \text{ack}(i1, j) \# + \text{ack}(i2, j) < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(i1 \# + i2)))), j)$ 
— PROPERTY A 11
apply (rule-tac  $j = \text{ack}(\text{succ}(1), \text{ack}(i1 \# + i2, j))$  in lt-trans)
  apply (simp add: ack-2)
  apply (rule-tac [2] ack-nest-bound [THEN lt-trans2])
  apply (rule add-le-mono [THEN leI, THEN leI])
  apply (auto intro: add-le-self add-le-self2 ack-le-mono1)
done

lemma ack-add-bound2:
[[  $i < \text{ack}(k, j); j \in \text{nat}; k \in \text{nat}$  ]]
 $\implies i \# + j < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(k)))), j)$ 
— PROPERTY A 12.
— Article uses existential quantifier but the ALF proof used  $k \# + \text{integ-of}(Pls$ 
 $BIT\ 1\ BIT\ 0\ BIT\ 0)$ .
— Quantified version must be nested  $\exists k'. \forall i, j \dots$ 
apply (rule-tac  $j = \text{ack}(k, j) \# + \text{ack}(0, j)$  in lt-trans)
  apply (rule-tac [2] ack-add-bound [THEN lt-trans2])
  apply (rule add-lt-mono)
  apply auto
done

```

### 13.4 Main result

```

declare list-add-type [simp]

```

```

lemma SC-case:  $l \in \text{list}(\text{nat}) \implies SC\ 'l < \text{ack}(1, \text{list-add}(l))$ 
  apply (unfold SC-def)
  apply (erule list.cases)

```

```

apply (simp add: succ-iff)
apply (simp add: ack-1 add-le-self)
done

```

**lemma** *lt-ack1*:  $[| i \in \text{nat}; j \in \text{nat} |] \implies i < \text{ack}(i, j)$   
 — PROPERTY A 4'? Extra lemma needed for *CONSTANT* case, constant functions.

```

apply (induct-tac i)
apply (simp add: nat-0-le)
apply (erule lt-trans1 [OF succ-leI ack-lt-ack-succ1])
apply auto
done

```

**lemma** *CONSTANT-case*:

```

 $[| l \in \text{list}(\text{nat}); k \in \text{nat} |] \implies \text{CONSTANT}(k) \text{ ' } l < \text{ack}(k, \text{list-add}(l))$ 
by (simp add: CONSTANT-def lt-ack1)

```

**lemma** *PROJ-case* [rule-format]:

```

 $l \in \text{list}(\text{nat}) \implies \forall i \in \text{nat}. \text{PROJ}(i) \text{ ' } l < \text{ack}(0, \text{list-add}(l))$ 
apply (unfold PROJ-def)
apply simp
apply (erule list.induct)
apply (simp add: nat-0-le)
apply simp
apply (rule ballI)
apply (erule-tac n = i in natE)
apply (simp add: add-le-self)
apply simp
apply (erule bspec [THEN lt-trans2])
apply (rule-tac [2] add-le-self2 [THEN succ-leI])
apply auto
done

```

*COMP* case.

**lemma** *COMP-map-lemma*:

```

 $fs \in \text{list}(\{f \in \text{prim-rec}. \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}). f'l < \text{ack}(kf, \text{list-add}(l))\})$ 
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}).$ 
 $\text{list-add}(\text{map}(\lambda f. f \text{ ' } l, fs)) < \text{ack}(k, \text{list-add}(l))$ 
apply (induct set: list)
apply (rule-tac x = 0 in bexI)
apply (simp-all add: lt-ack1 nat-0-le)
apply clarify
apply (rule ballI [THEN bexI])
apply (rule add-lt-mono [THEN lt-trans])
apply (rule-tac [5] ack-add-bound)
apply blast
apply auto
done

```

**lemma** *COMP-case*:

```

[[ kg ∈ nat;
  ∀ l ∈ list(nat). g'l < ack(kg, list-add(l));
  fs ∈ list({f ∈ prim-rec .
    ∃ kf ∈ nat. ∀ l ∈ list(nat).
      f'l < ack(kf, list-add(l))}) ]]
==> ∃ k ∈ nat. ∀ l ∈ list(nat). COMP(g,fs)'l < ack(k, list-add(l))
apply (simp add: COMP-def)
apply (frule list-CollectD)
apply (erule COMP-map-lemma [THEN bexE])
apply (rule ballI [THEN bexI])
apply (erule bspec [THEN lt-trans])
apply (rule-tac [2] lt-trans)
apply (rule-tac [3] ack-nest-bound)
apply (erule-tac [2] bspec [THEN ack-lt-mono2])
apply auto
done

```

*PREC* case.

**lemma** *PREC-case-lemma*:

```

[[ ∀ l ∈ list(nat). f'l #+ list-add(l) < ack(kf, list-add(l));
  ∀ l ∈ list(nat). g'l #+ list-add(l) < ack(kg, list-add(l));
  f ∈ prim-rec; kf ∈ nat;
  g ∈ prim-rec; kg ∈ nat;
  l ∈ list(nat) ]]
==> PREC(f,g)'l #+ list-add(l) < ack(succ(kf#+kg), list-add(l))
apply (unfold PREC-def)
apply (erule list.cases)
apply (simp add: lt-trans [OF nat-le-refl lt-ack2])
apply simp
apply (erule ssubst) — get rid of the needless assumption
apply (induct-tac a)
apply simp-all

```

base case

```

apply (rule lt-trans, erule bspec, assumption)
apply (simp add: add-le-self [THEN ack-lt-mono1])

```

ind step

```

apply (rule succ-leI [THEN lt-trans1])
apply (rule-tac j = g ' ?ll #+ ?mm in lt-trans1)
apply (erule-tac [2] bspec)
apply (rule nat-le-refl [THEN add-le-mono])
apply typecheck
apply (simp add: add-le-self2)

```

final part of the simplification

```

apply simp

```

```

apply (rule add-le-self2 [THEN ack-le-mono1, THEN lt-trans1])
  apply (erule-tac [4] ack-lt-mono2)
  apply auto
done

lemma PREC-case:
  [|  $f \in \text{prim-rec}; \quad kf \in \text{nat};$ 
     $g \in \text{prim-rec}; \quad kg \in \text{nat};$ 
     $\forall l \in \text{list}(\text{nat}). f'l < \text{ack}(kf, \text{list-add}(l));$ 
     $\forall l \in \text{list}(\text{nat}). g'l < \text{ack}(kg, \text{list-add}(l))$  |]
  ==>  $\exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{PREC}(f,g)'l < \text{ack}(k, \text{list-add}(l))$ 
apply (rule ballI [THEN bexI])
apply (rule lt-trans1 [OF add-le-self PREC-case-lemma])
  apply typecheck
  apply (blast intro: ack-add-bound2 list-add-type)+
done

lemma ack-bounds-prim-rec:
   $f \in \text{prim-rec} ==> \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). f'l < \text{ack}(k, \text{list-add}(l))$ 
apply (induct set: prim-rec)
apply (auto intro: SC-case CONSTANT-case PROJ-case COMP-case PREC-case)
done

theorem ack-not-prim-rec:
   $(\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. \text{ack}(x,x), l)) \notin \text{prim-rec}$ 
apply (rule notI)
apply (drule ack-bounds-prim-rec)
apply force
done

end

```