

# Examples of Inductive and Coinductive Definitions in HOL

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## Abstract

This is a collection of small examples to demonstrate Isabelle/HOL's (co)inductive definitions package. Large examples appear on many other sessions, such as Lambda, IMP, and Auth.

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# 1 Common patterns of induction

```
theory Common-Patterns
imports Main
begin
```

The subsequent Isar proof schemes illustrate common proof patterns supported by the generic *induct* method.

To demonstrate variations on statement (goal) structure we refer to the induction rule of Peano natural numbers:  $\llbracket P\ 0; \bigwedge nat. P\ nat \implies P\ (Suc\ nat) \rrbracket \implies P\ nat$ , which is the simplest case of datatype induction. We shall also see more complex (mutual) datatype inductions involving several rules. Working with inductive predicates is similar, but involves explicit facts about membership, instead of implicit syntactic typing.

## 1.1 Variations on statement structure

### 1.1.1 Local facts and parameters

Augmenting a problem by additional facts and locally fixed variables is a bread-and-butter method in many applications. This is where unwieldy object-level  $\forall$  and  $\longrightarrow$  used to occur in the past. The *induct* method works with primary means of the proof language instead.

```
lemma
  fixes  $n :: nat$ 
    and  $x :: 'a$ 
  assumes  $A\ n\ x$ 
  shows  $P\ n\ x\ \langle proof \rangle$ 
```

### 1.1.2 Local definitions

Here the idea is to turn sub-expressions of the problem into a defined induction variable. This is often accompanied with fixing of auxiliary parameters in the original expression, otherwise the induction step would refer invariably to particular entities. This combination essentially expresses a partially abstracted representation of inductive expressions.

```
lemma
  fixes  $a :: 'a \Rightarrow nat$ 
  assumes  $A\ (a\ x)$ 
  shows  $P\ (a\ x)\ \langle proof \rangle$ 
```

Observe how the local definition  $n = a\ x$  recurs in the inductive cases as  $0 = a\ x$  and  $Suc\ n = a\ x$ , according to underlying induction rule.

### 1.1.3 Simple simultaneous goals

The most basic simultaneous induction operates on several goals one-by-one, where each case refers to induction hypotheses that are duplicated according to the number of conclusions.

```
lemma
  fixes n :: nat
  shows P n and Q n
<proof>
```

The split into subcases may be deferred as follows – this is particularly relevant for goal statements with local premises.

```
lemma
  fixes n :: nat
  shows A n  $\implies$  P n
    and B n  $\implies$  Q n
<proof>
```

### 1.1.4 Compound simultaneous goals

The following pattern illustrates the slightly more complex situation of simultaneous goals with individual local assumptions. In compound simultaneous statements like this, local assumptions need to be included into each goal, using  $\implies$  of the Pure framework. In contrast, local parameters do not require separate  $\wedge$  prefixes here, but may be moved into the common context of the whole statement.

```
lemma
  fixes n :: nat
    and x :: 'a
    and y :: 'b
  shows A n x  $\implies$  P n x
    and B n y  $\implies$  Q n y
<proof>
```

Here *induct* provides again nested cases with numbered sub-cases, which allows to share common parts of the body context. In typical applications, there could be a long intermediate proof of general consequences of the induction hypotheses, before finishing each conclusion separately.

## 1.2 Multiple rules

Multiple induction rules emerge from mutual definitions of datatypes, inductive predicates, functions etc. The *induct* method accepts replicated arguments (with *and* separator), corresponding to each projection of the induction principle.

The goal statement essentially follows the same arrangement, although it might be subdivided into simultaneous sub-problems as before!

```
datatype foo = Foo1 nat | Foo2 bar
and bar = Bar1 bool | Bar2 bazar
and bazar = Bazar foo
```

The pack of induction rules for this datatype is:

```
[[ $\bigwedge nat. P1 (Foo1 nat); \bigwedge bar. P2 bar \implies P1 (Foo2 bar); \bigwedge bool. P2 (Bar1 bool);$ 
 $\bigwedge bazar. P3 bazar \implies P2 (Bar2 bazar); \bigwedge foo. P1 foo \implies P3 (Bazar foo)$ ]]
 $\implies P1 foo$ 
[[ $\bigwedge nat. P1 (Foo1 nat); \bigwedge bar. P2 bar \implies P1 (Foo2 bar); \bigwedge bool. P2 (Bar1 bool);$ 
 $\bigwedge bazar. P3 bazar \implies P2 (Bar2 bazar); \bigwedge foo. P1 foo \implies P3 (Bazar foo)$ ]]
 $\implies P2 bar$ 
[[ $\bigwedge nat. P1 (Foo1 nat); \bigwedge bar. P2 bar \implies P1 (Foo2 bar); \bigwedge bool. P2 (Bar1 bool);$ 
 $\bigwedge bazar. P3 bazar \implies P2 (Bar2 bazar); \bigwedge foo. P1 foo \implies P3 (Bazar foo)$ ]]
 $\implies P3 bazar$ 
```

This corresponds to the following basic proof pattern:

```
lemma
  fixes foo :: foo
    and bar :: bar
    and bazar :: bazar
  shows P foo
    and Q bar
    and R bazar
  <proof>
```

This can be combined with the previous techniques for compound statements, e.g. like this.

```
lemma
  fixes x :: 'a and y :: 'b and z :: 'c
    and foo :: foo
    and bar :: bar
    and bazar :: bazar
  shows
    A x foo  $\implies$  P x foo
  and
    B1 y bar  $\implies$  Q1 y bar
    B2 y bar  $\implies$  Q2 y bar
  and
    C1 z bazar  $\implies$  R1 z bazar
    C2 z bazar  $\implies$  R2 z bazar
    C3 z bazar  $\implies$  R3 z bazar
  <proof>
```

### 1.3 Inductive predicates

The most basic form of induction involving predicates (or sets) essentially eliminates a given membership fact.

```
inductive Even :: nat  $\Rightarrow$  bool where  
  zero: Even 0  
| double: Even n  $\Longrightarrow$  Even (2 * n)
```

```
lemma  
  assumes Even n  
  shows P n  
   $\langle$ proof $\rangle$ 
```

Alternatively, an initial rule statement may be proven as follows, performing “in-situ” elimination with explicit rule specification.

```
lemma Even n  $\Longrightarrow$  P n  
 $\langle$ proof $\rangle$ 
```

Simultaneous goals do not introduce anything new.

```
lemma  
  assumes Even n  
  shows P1 n and P2 n  
   $\langle$ proof $\rangle$ 
```

Working with mutual rules requires special care in composing the statement as a two-level conjunction, using lists of propositions separated by *and*. For example:

```
inductive Evn :: nat  $\Rightarrow$  bool and Odd :: nat  $\Rightarrow$  bool  
where  
  zero: Evn 0  
| succ-Evn: Evn n  $\Longrightarrow$  Odd (Suc n)  
| succ-Odd: Odd n  $\Longrightarrow$  Evn (Suc n)
```

```
lemma  
  Evn n  $\Longrightarrow$  P1 n  
  Evn n  $\Longrightarrow$  P2 n  
  Evn n  $\Longrightarrow$  P3 n  
  and  
  Odd n  $\Longrightarrow$  Q1 n  
  Odd n  $\Longrightarrow$  Q2 n  
   $\langle$ proof $\rangle$ 
```

```
end
```

## 2 Defining an Initial Algebra by Quotienting a Free Algebra

```
theory QuoDataType imports Main begin
```



## 2.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

**datatype**

```
freemsg = NONCE nat
        | MPAIR freemsg freemsg
        | CRYPT nat freemsg
        | DECRYPT nat freemsg
```

The equivalence relation, which makes encryption and decryption inverses provided the keys are the same.

The first two rules are the desired equations. The next four rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

**inductive-set**

```
msgrel :: (freemsg * freemsg) set
and msg-rel :: [freemsg, freemsg] => bool (infixl ~ 50)
where
  X ~ Y == (X,Y) ∈ msgrel
  | CD:   CRYPT K (DECRYPT K X) ~ X
  | DC:   DECRYPT K (CRYPT K X) ~ X
  | NONCE: NONCE N ~ NONCE N
  | MPAIR: [X ~ X'; Y ~ Y'] ==> MPAIR X Y ~ MPAIR X' Y'
  | CRYPT: X ~ X' ==> CRYPT K X ~ CRYPT K X'
  | DECRYPT: X ~ X' ==> DECRYPT K X ~ DECRYPT K X'
  | SYM:   X ~ Y ==> Y ~ X
  | TRANS: [X ~ Y; Y ~ Z] ==> X ~ Z
```

Proving that it is an equivalence relation

**lemma** *msgrel-refl*:  $X \sim X$

*<proof>*

**theorem** *equiv-msgrel*: *equiv UNIV msgrel*

*<proof>*

## 2.2 Some Functions on the Free Algebra

### 2.2.1 The Set of Nonces

A function to return the set of nonces present in a message. It will be lifted to the initial algebra, to serve as an example of that process.

**consts**

```
freenonces :: freemsg => nat set
```

**primrec**

```
freenonces (NONCE N) = {N}
freenonces (MPAIR X Y) = freenonces X ∪ freenonces Y
```

$$\begin{aligned} \text{freenonces } (\text{CRYPT } K \ X) &= \text{freenonces } X \\ \text{freenonces } (\text{DECRYPT } K \ X) &= \text{freenonces } X \end{aligned}$$

This theorem lets us prove that the nonces function respects the equivalence relation. It also helps us prove that Nonce (the abstract constructor) is injective

**theorem** *msgrel-imp-eq-freenonces*:  $U \sim V \implies \text{freenonces } U = \text{freenonces } V$   
 ⟨proof⟩

### 2.2.2 The Left Projection

A function to return the left part of the top pair in a message. It will be lifted to the initial algebra, to serve as an example of that process.

**consts** *freeleft* :: *freemsg*  $\Rightarrow$  *freemsg*  
**primrec**  
 $\text{freeleft } (\text{NONCE } N) = \text{NONCE } N$   
 $\text{freeleft } (\text{MPAIR } X \ Y) = X$   
 $\text{freeleft } (\text{CRYPT } K \ X) = \text{freeleft } X$   
 $\text{freeleft } (\text{DECRYPT } K \ X) = \text{freeleft } X$

This theorem lets us prove that the left function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

**theorem** *msgrel-imp-eqv-freeleft*:  
 $U \sim V \implies \text{freeleft } U \sim \text{freeleft } V$   
 ⟨proof⟩

### 2.2.3 The Right Projection

A function to return the right part of the top pair in a message.

**consts** *freeright* :: *freemsg*  $\Rightarrow$  *freemsg*  
**primrec**  
 $\text{freeright } (\text{NONCE } N) = \text{NONCE } N$   
 $\text{freeright } (\text{MPAIR } X \ Y) = Y$   
 $\text{freeright } (\text{CRYPT } K \ X) = \text{freeright } X$   
 $\text{freeright } (\text{DECRYPT } K \ X) = \text{freeright } X$

This theorem lets us prove that the right function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

**theorem** *msgrel-imp-eqv-freeright*:  
 $U \sim V \implies \text{freeright } U \sim \text{freeright } V$   
 ⟨proof⟩

### 2.2.4 The Discriminator for Constructors

A function to distinguish nonces, mpairs and encryptions

**consts** *freediscrim* :: *freemsg*  $\Rightarrow$  *int*

**primrec**

*freediscrim* (*NONCE* *N*) = 0

*freediscrim* (*MPAIR* *X* *Y*) = 1

*freediscrim* (*CRYPT* *K* *X*) = *freediscrim* *X* + 2

*freediscrim* (*DECRYPT* *K* *X*) = *freediscrim* *X* - 2

This theorem helps us prove *Nonce* *N*  $\neq$  *MPair* *X* *Y*

**theorem** *msgrel-imp-eq-freediscrim*:

$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$

*<proof>*

## 2.3 The Initial Algebra: A Quotiented Message Type

**typedef** (*Msg*) *msg* = *UNIV* // *msgrel*

*<proof>*

The abstract message constructors

**definition**

*Nonce* :: *nat*  $\Rightarrow$  *msg* **where**

*Nonce* *N* = *Abs-Msg*(*msgrel*“{*NONCE* *N*} )

**definition**

*MPair* :: [*msg*, *msg*]  $\Rightarrow$  *msg* **where**

*MPair* *X* *Y* =

*Abs-Msg* ( $\bigcup U \in \text{Rep-Msg } X. \bigcup V \in \text{Rep-Msg } Y. \text{msgrel} \text{“}\{ \text{MPAIR } U \ V \} \text{”}$ )

**definition**

*Crypt* :: [*nat*, *msg*]  $\Rightarrow$  *msg* **where**

*Crypt* *K* *X* =

*Abs-Msg* ( $\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \text{“}\{ \text{CRYPT } K \ U \} \text{”}$ )

**definition**

*Decrypt* :: [*nat*, *msg*]  $\Rightarrow$  *msg* **where**

*Decrypt* *K* *X* =

*Abs-Msg* ( $\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \text{“}\{ \text{DECRYPT } K \ U \} \text{”}$ )

Reduces equality of equivalence classes to the *msgrel* relation: (*msgrel* “ {*x*} = *msgrel* “ {*y*} ) = (*x*  $\sim$  *y*)

**lemmas** *equiv-msgrel-iff* = *eq-equiv-class-iff* [*OF equiv-msgrel UNIV-I UNIV-I*]

**declare** *equiv-msgrel-iff* [*simp*]

All equivalence classes belong to set of representatives

**lemma** [*simp*]: *msgrel*“{*U*}  $\in$  *Msg*

*<proof>*

**lemma** *inj-on-Abs-Msg*: *inj-on* *Abs-Msg* *Msg*

$\langle proof \rangle$

Reduces equality on abstractions to equality on representatives

**declare** *inj-on-Abs-Msg* [*THEN inj-on-iff, simp*]

**declare** *Abs-Msg-inverse* [*simp*]

### 2.3.1 Characteristic Equations for the Abstract Constructors

**lemma** *MPair*:  $MPair\ (Abs-Msg(msgrel''\{U\}))\ (Abs-Msg(msgrel''\{V\})) =$   
 $Abs-Msg\ (msgrel''\{MPAIR\ U\ V\})$

$\langle proof \rangle$

**lemma** *Crypt*:  $Crypt\ K\ (Abs-Msg(msgrel''\{U\})) = Abs-Msg\ (msgrel''\{CRYPT\ K\ U\})$

$\langle proof \rangle$

**lemma** *Decrypt*:

$Decrypt\ K\ (Abs-Msg(msgrel''\{U\})) = Abs-Msg\ (msgrel''\{DECRYPT\ K\ U\})$

$\langle proof \rangle$

Case analysis on the representation of a msg as an equivalence class.

**lemma** *eq-Abs-Msg* [*case-names Abs-Msg, cases type: msg*]:

$(!!U. z = Abs-Msg(msgrel''\{U\}) ==> P) ==> P$

$\langle proof \rangle$

Establishing these two equations is the point of the whole exercise

**theorem** *CD-eq* [*simp*]:  $Crypt\ K\ (Decrypt\ K\ X) = X$

$\langle proof \rangle$

**theorem** *DC-eq* [*simp*]:  $Decrypt\ K\ (Crypt\ K\ X) = X$

$\langle proof \rangle$

## 2.4 The Abstract Function to Return the Set of Nonces

**definition**

*nonces* ::  $msg \Rightarrow nat\ set$  **where**

*nonces*  $X = (\bigcup U \in Rep-Msg\ X. freenonces\ U)$

**lemma** *nonces-congruent*: *freennonces respects msgrel*

$\langle proof \rangle$

Now prove the four equations for *nonces*

**lemma** *nonces-Nonce* [*simp*]: *nonces* (*Nonce*  $N$ ) =  $\{N\}$

$\langle proof \rangle$

**lemma** *nonces-MPair* [*simp*]: *nonces* (*MPair*  $X\ Y$ ) = *nonces*  $X \cup$  *nonces*  $Y$

$\langle proof \rangle$

**lemma** *nonces-Crypt* [simp]: *nonces* (Crypt K X) = *nonces* X  
 ⟨proof⟩

**lemma** *nonces-Decrypt* [simp]: *nonces* (Decrypt K X) = *nonces* X  
 ⟨proof⟩

## 2.5 The Abstract Function to Return the Left Part

**definition**

*left* :: *msg* ⇒ *msg* **where**  
*left* X = Abs-Msg (⋃ U ∈ Rep-Msg X. msgrel “ {freeleft U} )

**lemma** *left-congruent*: (λU. msgrel “ {freeleft U} ) respects msgrel  
 ⟨proof⟩

Now prove the four equations for *left*

**lemma** *left-Nonce* [simp]: *left* (Nonce N) = Nonce N  
 ⟨proof⟩

**lemma** *left-MPair* [simp]: *left* (MPair X Y) = X  
 ⟨proof⟩

**lemma** *left-Crypt* [simp]: *left* (Crypt K X) = *left* X  
 ⟨proof⟩

**lemma** *left-Decrypt* [simp]: *left* (Decrypt K X) = *left* X  
 ⟨proof⟩

## 2.6 The Abstract Function to Return the Right Part

**definition**

*right* :: *msg* ⇒ *msg* **where**  
*right* X = Abs-Msg (⋃ U ∈ Rep-Msg X. msgrel “ {freeright U} )

**lemma** *right-congruent*: (λU. msgrel “ {freeright U} ) respects msgrel  
 ⟨proof⟩

Now prove the four equations for *right*

**lemma** *right-Nonce* [simp]: *right* (Nonce N) = Nonce N  
 ⟨proof⟩

**lemma** *right-MPair* [simp]: *right* (MPair X Y) = Y  
 ⟨proof⟩

**lemma** *right-Crypt* [simp]: *right* (Crypt K X) = *right* X  
 ⟨proof⟩

**lemma** *right-Decrypt* [simp]: *right* (Decrypt K X) = *right* X  
 ⟨proof⟩

## 2.7 Injectivity Properties of Some Constructors

**lemma** *NONCE-imp-eq*:  $NONCE\ m \sim NONCE\ n \implies m = n$   
 $\langle proof \rangle$

Can also be proved using the function *nonces*

**lemma** *Nonce-Nonce-eq* [iff]:  $(Nonce\ m = Nonce\ n) = (m = n)$   
 $\langle proof \rangle$

**lemma** *MPAIR-imp-eqv-left*:  $MPAIR\ X\ Y \sim MPAIR\ X'\ Y' \implies X \sim X'$   
 $\langle proof \rangle$

**lemma** *MPair-imp-eq-left*:  
**assumes** *eq*:  $MPair\ X\ Y = MPair\ X'\ Y'$  **shows**  $X = X'$   
 $\langle proof \rangle$

**lemma** *MPAIR-imp-eqv-right*:  $MPAIR\ X\ Y \sim MPAIR\ X'\ Y' \implies Y \sim Y'$   
 $\langle proof \rangle$

**lemma** *MPair-imp-eq-right*:  $MPair\ X\ Y = MPair\ X'\ Y' \implies Y = Y'$   
 $\langle proof \rangle$

**theorem** *MPair-MPair-eq* [iff]:  $(MPair\ X\ Y = MPair\ X'\ Y') = (X=X' \ \& \ Y=Y')$   
 $\langle proof \rangle$

**lemma** *NONCE-neq-MPAIR*:  $NONCE\ m \sim MPAIR\ X\ Y \implies False$   
 $\langle proof \rangle$

**theorem** *Nonce-neq-MPair* [iff]:  $Nonce\ N \neq MPair\ X\ Y$   
 $\langle proof \rangle$

Example suggested by a referee

**theorem** *Crypt-Nonce-neq-Nonce*:  $Crypt\ K\ (Nonce\ M) \neq Nonce\ N$   
 $\langle proof \rangle$

...and many similar results

**theorem** *Crypt2-Nonce-neq-Nonce*:  $Crypt\ K\ (Crypt\ K'\ (Nonce\ M)) \neq Nonce\ N$   
 $\langle proof \rangle$

**theorem** *Crypt-Crypt-eq* [iff]:  $(Crypt\ K\ X = Crypt\ K\ X') = (X=X')$   
 $\langle proof \rangle$

**theorem** *Decrypt-Decrypt-eq* [iff]:  $(Decrypt\ K\ X = Decrypt\ K\ X') = (X=X')$   
 $\langle proof \rangle$

**lemma** *msg-induct* [case-names *Nonce MPair Crypt Decrypt*, cases type: *msg*]:  
**assumes**  $N: \bigwedge N. P\ (Nonce\ N)$   
**and**  $M: \bigwedge X\ Y. \llbracket P\ X; P\ Y \rrbracket \implies P\ (MPair\ X\ Y)$

**and**  $C: \bigwedge K X. P X \implies P (Crypt\ K\ X)$   
**and**  $D: \bigwedge K X. P X \implies P (Decrypt\ K\ X)$   
**shows**  $P\ msg$   
 $\langle proof \rangle$

## 2.8 The Abstract Discriminator

However, as *Crypt-Nonce-neq-Nonce* above illustrates, we don't need this function in order to prove discrimination theorems.

**definition**

$discrim :: msg \Rightarrow int$  **where**  
 $discrim\ X = contents\ (\bigcup U \in Rep\text{-}Msg\ X. \{freediscrim\ U\})$

**lemma** *discrim-congruent*:  $(\lambda U. \{freediscrim\ U\})$  respects msgrel  
 $\langle proof \rangle$

Now prove the four equations for *discrim*

**lemma** *discrim-Nonce* [simp]:  $discrim\ (Nonce\ N) = 0$   
 $\langle proof \rangle$

**lemma** *discrim-MPair* [simp]:  $discrim\ (MPair\ X\ Y) = 1$   
 $\langle proof \rangle$

**lemma** *discrim-Crypt* [simp]:  $discrim\ (Crypt\ K\ X) = discrim\ X + 2$   
 $\langle proof \rangle$

**lemma** *discrim-Decrypt* [simp]:  $discrim\ (Decrypt\ K\ X) = discrim\ X - 2$   
 $\langle proof \rangle$

**end**

## 3 Quotienting a Free Algebra Involving Nested Recursion

**theory** *QuoNestedDataType* **imports** *Main* **begin**

### 3.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

**datatype**

$freeExp = VAR\ nat$   
 $\quad | PLUS\ freeExp\ freeExp$   
 $\quad | FNCALL\ nat\ freeExp\ list$

The equivalence relation, which makes PLUS associative.

The first rule is the desired equation. The next three rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

**inductive-set**

```

exprel :: (freeExp * freeExp) set
and exp-rel :: [freeExp, freeExp] => bool (infixl ~ 50)
where
  X ~ Y == (X,Y) ∈ exprel
| ASSOC: PLUS X (PLUS Y Z) ~ PLUS (PLUS X Y) Z
| VAR: VAR N ~ VAR N
| PLUS: [X ~ X'; Y ~ Y'] ==> PLUS X Y ~ PLUS X' Y'
| FNCALL: (Xs,Xs') ∈ listrel exprel ==> FNCALL F Xs ~ FNCALL F Xs'
| SYM: X ~ Y ==> Y ~ X
| TRANS: [X ~ Y; Y ~ Z] ==> X ~ Z
monos listrel-mono

```

Proving that it is an equivalence relation

**lemma** *exprel-refl*:  $X \sim X$   
**and** *list-exprel-refl*:  $(Xs,Xs) \in \text{listrel}(\text{exprel})$   
*<proof>*

**theorem** *equiv-exprel*: *equiv UNIV exprel*  
*<proof>*

**theorem** *equiv-list-exprel*: *equiv UNIV (listrel exprel)*  
*<proof>*

**lemma** *FNCALL-Nil*:  $\text{FNCALL } F [] \sim \text{FNCALL } F []$   
*<proof>*

**lemma** *FNCALL-Cons*:  
 $[X \sim X'; (Xs,Xs') \in \text{listrel}(\text{exprel})]$   
 $\implies \text{FNCALL } F (X \# Xs) \sim \text{FNCALL } F (X' \# Xs')$   
*<proof>*

## 3.2 Some Functions on the Free Algebra

### 3.2.1 The Set of Variables

A function to return the set of variables present in a message. It will be lifted to the initial algebra, to serve as an example of that process. Note that the "free" refers to the free datatype rather than to the concept of a free variable.

**consts**

```

freevars    :: freeExp => nat set

```



*freevars-list* :: *freeExp list*  $\Rightarrow$  *nat set*

**primrec**

*freevars* (*VAR N*) = {*N*}  
*freevars* (*PLUS X Y*) = *freevars X*  $\cup$  *freevars Y*  
*freevars* (*FNCALL F Xs*) = *freevars-list Xs*

*freevars-list* [] = {}  
*freevars-list* (*X # Xs*) = *freevars X*  $\cup$  *freevars-list Xs*

This theorem lets us prove that the vars function respects the equivalence relation. It also helps us prove that Variable (the abstract constructor) is injective

**theorem** *exprel-imp-eq-freevars*:  $U \sim V \implies \text{freevars } U = \text{freevars } V$   
 <proof>

### 3.2.2 Functions for Freeness

A discriminator function to distinguish vars, sums and function calls

**consts** *freediscrim* :: *freeExp*  $\Rightarrow$  *int*

**primrec**

*freediscrim* (*VAR N*) = 0  
*freediscrim* (*PLUS X Y*) = 1  
*freediscrim* (*FNCALL F Xs*) = 2

**theorem** *exprel-imp-eq-freediscrim*:

$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$   
 <proof>

This function, which returns the function name, is used to prove part of the injectivity property for FnCall.

**consts** *freefun* :: *freeExp*  $\Rightarrow$  *nat*

**primrec**

*freefun* (*VAR N*) = 0  
*freefun* (*PLUS X Y*) = 0  
*freefun* (*FNCALL F Xs*) = *F*

**theorem** *exprel-imp-eq-freefun*:

$U \sim V \implies \text{freefun } U = \text{freefun } V$   
 <proof>

This function, which returns the list of function arguments, is used to prove part of the injectivity property for FnCall.

**consts** *freeargs* :: *freeExp*  $\Rightarrow$  *freeExp list*

**primrec**

*freeargs* (*VAR N*) = []

$freeargs (PLUS X Y) = []$   
 $freeargs (FNCALL F Xs) = Xs$

**theorem** *exprel-imp-equiv-freeargs*:

$U \sim V \implies (freeargs U, freeargs V) \in listrel exprel$   
 $\langle proof \rangle$

### 3.3 The Initial Algebra: A Quotiented Message Type

**typedef** (*Exp*) *exp* = *UNIV* // *exprel*  
 $\langle proof \rangle$

The abstract message constructors

**definition**

$Var :: nat \Rightarrow exp$  **where**  
 $Var N = Abs-Exp(exprel\{\ VAR N\})$

**definition**

$Plus :: [exp, exp] \Rightarrow exp$  **where**  
 $Plus X Y =$   
 $Abs-Exp (\bigcup U \in Rep-Exp X. \bigcup V \in Rep-Exp Y. exprel\{ PLUS U V\})$

**definition**

$FnCall :: [nat, exp list] \Rightarrow exp$  **where**  
 $FnCall F Xs =$   
 $Abs-Exp (\bigcup Us \in listset (map Rep-Exp Xs). exprel\{ FNCALL F Us\})$

Reduces equality of equivalence classes to the *exprel* relation:  $(exprel\{x\} = exprel\{y\}) = (x \sim y)$

**lemmas** *equiv-exprel-iff* = *eq-equiv-class-iff* [*OF equiv-exprel UNIV-I UNIV-I*]

**declare** *equiv-exprel-iff* [*simp*]

All equivalence classes belong to set of representatives

**lemma** [*simp*]:  $exprel\{U\} \in Exp$   
 $\langle proof \rangle$

**lemma** *inj-on-Abs-Exp*: *inj-on Abs-Exp Exp*  
 $\langle proof \rangle$

Reduces equality on abstractions to equality on representatives

**declare** *inj-on-Abs-Exp* [*THEN inj-on-iff, simp*]

**declare** *Abs-Exp-inverse* [*simp*]

Case analysis on the representation of a exp as an equivalence class.

**lemma** *eq-Abs-Exp* [*case-names Abs-Exp, cases type: exp*]:  
 $(!!U. z = Abs-Exp(exprel\{U\}) \implies P) \implies P$   
 $\langle proof \rangle$

### 3.4 Every list of abstract expressions can be expressed in terms of a list of concrete expressions

**definition**

$Abs-ExpList :: freeExp\ list \Rightarrow exp\ list$  **where**  
 $Abs-ExpList\ Xs = map\ (\%U. Abs-Exp(exprel\ \{\ U\}))\ Xs$

**lemma** *Abs-ExpList-Nil* [simp]:  $Abs-ExpList\ [] == []$   
 <proof>

**lemma** *Abs-ExpList-Cons* [simp]:  
 $Abs-ExpList\ (X\ \# Xs) == Abs-Exp\ (exprel\ \{X\})\ \# Abs-ExpList\ Xs$   
 <proof>

**lemma** *ExpList-rep*:  $\exists Us. z = Abs-ExpList\ Us$   
 <proof>

**lemma** *eq-Abs-ExpList* [case-names *Abs-ExpList*]:  
 $(!!Us. z = Abs-ExpList\ Us \Rightarrow P) \Rightarrow P$   
 <proof>

#### 3.4.1 Characteristic Equations for the Abstract Constructors

**lemma** *Plus*:  $Plus\ (Abs-Exp(exprel\ \{U\}))\ (Abs-Exp(exprel\ \{V\})) =$   
 $Abs-Exp\ (exprel\ \{PLUS\ U\ V\})$   
 <proof>

It is not clear what to do with *FnCall*: it's argument is an abstraction of an *exp list*. Is it just *Nil* or *Cons*? What seems to work best is to regard an *exp list* as a *listrel exprel* equivalence class

This theorem is easily proved but never used. There's no obvious way even to state the analogous result, *FnCall-Cons*.

**lemma** *FnCall-Nil*:  $FnCall\ F\ [] = Abs-Exp\ (exprel\ \{FNCALL\ F\ []\})$   
 <proof>

**lemma** *FnCall-respects*:  
 $(\lambda Us. exprel\ \{\ FNCALL\ F\ Us\})\ respects\ (listrel\ exprel)$   
 <proof>

**lemma** *FnCall-sing*:  
 $FnCall\ F\ [Abs-Exp(exprel\ \{U\})] = Abs-Exp\ (exprel\ \{FNCALL\ F\ [U]\})$   
 <proof>

**lemma** *listset-Rep-Exp-Abs-Exp*:  
 $listset\ (map\ Rep-Exp\ (Abs-ExpList\ Us)) = listrel\ exprel\ \{\ Us\}$   
 <proof>

**lemma** *FnCall*:

$FnCall\ F\ (Abs-ExpList\ Us) = Abs-Exp\ (exprel\ \{\{FNCALL\ F\ Us\}\})$   
 $\langle proof \rangle$

Establishing this equation is the point of the whole exercise

**theorem** *Plus-assoc*:  $Plus\ X\ (Plus\ Y\ Z) = Plus\ (Plus\ X\ Y)\ Z$   
 $\langle proof \rangle$

### 3.5 The Abstract Function to Return the Set of Variables

**definition**

$vars :: exp \Rightarrow nat\ set$  **where**  
 $vars\ X = (\bigcup U \in Rep-Exp\ X. freevars\ U)$

**lemma** *vars-respects*: *freevars respects exprel*  
 $\langle proof \rangle$

The extension of the function *vars* to lists

**consts** *vars-list* ::  $exp\ list \Rightarrow nat\ set$

**primrec**

$vars-list\ [] = \{\}$   
 $vars-list\ (E\ \# Es) = vars\ E \cup vars-list\ Es$

Now prove the three equations for *vars*

**lemma** *vars-Variable* [*simp*]:  $vars\ (Var\ N) = \{N\}$   
 $\langle proof \rangle$

**lemma** *vars-Plus* [*simp*]:  $vars\ (Plus\ X\ Y) = vars\ X \cup vars\ Y$   
 $\langle proof \rangle$

**lemma** *vars-FnCall* [*simp*]:  $vars\ (FnCall\ F\ Xs) = vars-list\ Xs$   
 $\langle proof \rangle$

**lemma** *vars-FnCall-Nil*:  $vars\ (FnCall\ F\ Nil) = \{\}$   
 $\langle proof \rangle$

**lemma** *vars-FnCall-Cons*:  $vars\ (FnCall\ F\ (X\ \# Xs)) = vars\ X \cup vars-list\ Xs$   
 $\langle proof \rangle$

### 3.6 Injectivity Properties of Some Constructors

**lemma** *VAR-imp-eq*:  $VAR\ m \sim VAR\ n \implies m = n$   
 $\langle proof \rangle$

Can also be proved using the function *vars*

**lemma** *Var-Var-eq* [*iff*]:  $(Var\ m = Var\ n) = (m = n)$   
 $\langle proof \rangle$

**lemma** *VAR-neqv-PLUS*:  $VAR\ m \sim PLUS\ X\ Y \implies False$   
 $\langle proof \rangle$

**theorem** *Var-neq-Plus* [iff]:  $\text{Var } N \neq \text{Plus } X \ Y$

$\langle \text{proof} \rangle$

**theorem** *Var-neq-FnCall* [iff]:  $\text{Var } N \neq \text{FnCall } F \ Xs$

$\langle \text{proof} \rangle$

### 3.7 Injectivity of *FnCall*

**definition**

$\text{fun} :: \text{exp} \Rightarrow \text{nat}$  **where**

$\text{fun } X = \text{contents } (\bigcup U \in \text{Rep-Exp } X. \{\text{freefun } U\})$

**lemma** *fun-respects*:  $(\%U. \{\text{freefun } U\})$  respects *exprel*

$\langle \text{proof} \rangle$

**lemma** *fun-FnCall* [simp]:  $\text{fun } (\text{FnCall } F \ Xs) = F$

$\langle \text{proof} \rangle$

**definition**

$\text{args} :: \text{exp} \Rightarrow \text{exp list}$  **where**

$\text{args } X = \text{contents } (\bigcup U \in \text{Rep-Exp } X. \{\text{Abs-ExpList } (\text{freeargs } U)\})$

This result can probably be generalized to arbitrary equivalence relations, but with little benefit here.

**lemma** *Abs-ExpList-eq*:

$(y, z) \in \text{listrel } \text{exprel} \implies \text{Abs-ExpList } (y) = \text{Abs-ExpList } (z)$

$\langle \text{proof} \rangle$

**lemma** *args-respects*:  $(\%U. \{\text{Abs-ExpList } (\text{freeargs } U)\})$  respects *exprel*

$\langle \text{proof} \rangle$

**lemma** *args-FnCall* [simp]:  $\text{args } (\text{FnCall } F \ Xs) = Xs$

$\langle \text{proof} \rangle$

**lemma** *FnCall-FnCall-eq* [iff]:

$(\text{FnCall } F \ Xs = \text{FnCall } F' \ Xs') = (F = F' \ \& \ Xs = Xs')$

$\langle \text{proof} \rangle$

### 3.8 The Abstract Discriminator

However, as *FnCall-Var-neq-Var* illustrates, we don't need this function in order to prove discrimination theorems.

**definition**

$\text{discrim} :: \text{exp} \Rightarrow \text{int}$  **where**

$\text{discrim } X = \text{contents } (\bigcup U \in \text{Rep-Exp } X. \{\text{freediscrim } U\})$

**lemma** *discrim-respects*:  $(\lambda U. \{freediscrim\ U\})$  respects *exprel*  
 $\langle proof \rangle$

Now prove the four equations for *discrim*

**lemma** *discrim-Var* [*simp*]: *discrim* (Var *N*) = 0  
 $\langle proof \rangle$

**lemma** *discrim-Plus* [*simp*]: *discrim* (Plus *X Y*) = 1  
 $\langle proof \rangle$

**lemma** *discrim-FnCall* [*simp*]: *discrim* (FnCall *F Xs*) = 2  
 $\langle proof \rangle$

The structural induction rule for the abstract type

**theorem** *exp-inducts*:

**assumes** *V*:  $\bigwedge nat. P1\ (Var\ nat)$   
**and** *P*:  $\bigwedge exp1\ exp2. \llbracket P1\ exp1; P1\ exp2 \rrbracket \implies P1\ (Plus\ exp1\ exp2)$   
**and** *F*:  $\bigwedge nat\ list. P2\ list \implies P1\ (FnCall\ nat\ list)$   
**and** *Nil*:  $P2\ []$   
**and** *Cons*:  $\bigwedge exp\ list. \llbracket P1\ exp; P2\ list \rrbracket \implies P2\ (exp\ \# \ list)$   
**shows** *P1 exp and P2 list*

$\langle proof \rangle$

**end**

## 4 Terms over a given alphabet

**theory** *Term* imports *Main* begin

**datatype** ('a, 'b) *term* =  
 Var 'a  
 | App 'b ('a, 'b) *term list*

Substitution function on terms

**consts**

*subst-term* :: ('a => ('a, 'b) *term*) => ('a, 'b) *term* => ('a, 'b) *term*  
*subst-term-list* ::  
 ('a => ('a, 'b) *term*) => ('a, 'b) *term list* => ('a, 'b) *term list*

**primrec**

*subst-term* *f* (Var *a*) = *f a*  
*subst-term* *f* (App *b ts*) = App *b* (*subst-term-list* *f ts*)

*subst-term-list* *f* [] = []  
*subst-term-list* *f* (*t* # *ts*) =  
*subst-term* *f t* # *subst-term-list* *f ts*

A simple theorem about composition of substitutions

**lemma** *subst-comp*:

*subst-term* (*subst-term* *f1*  $\circ$  *f2*) *t* =  
  *subst-term* *f1* (*subst-term* *f2* *t*)  
**and** *subst-term-list* (*subst-term* *f1*  $\circ$  *f2*) *ts* =  
  *subst-term-list* *f1* (*subst-term-list* *f2* *ts*)  
  ⟨*proof*⟩

Alternative induction rule

**lemma**

**assumes** *var*:  $\forall v. P \text{ (Var } v)$   
    **and** *app*:  $\forall f \text{ ts. list-all } P \text{ ts} \implies P \text{ (App } f \text{ ts)}$   
  **shows** *term-induct2*:  $P \text{ } t$   
    **and** *list-all*  $P \text{ } ts$   
  ⟨*proof*⟩

**end**

## 5 Arithmetic and boolean expressions

**theory** *ABexp* **imports** *Main* **begin**

**datatype** *'a aexp* =

$IF \text{ 'a bexp 'a aexp 'a aexp}$   
   $| Sum \text{ 'a aexp 'a aexp}$   
   $| Diff \text{ 'a aexp 'a aexp}$   
   $| Var \text{ 'a}$   
   $| Num \text{ nat}$

**and** *'a bexp* =

$Less \text{ 'a aexp 'a aexp}$   
   $| And \text{ 'a bexp 'a bexp}$   
   $| Neg \text{ 'a bexp}$

Evaluation of arithmetic and boolean expressions

**consts**

$evala :: ('a \implies nat) \implies 'a aexp \implies nat$   
   $evalb :: ('a \implies nat) \implies 'a bexp \implies bool$

**primrec**

$evala \text{ env } (IF \text{ } b \text{ } a1 \text{ } a2) = (if \text{ } evalb \text{ env } b \text{ then } evala \text{ env } a1 \text{ else } evala \text{ env } a2)$   
   $evala \text{ env } (Sum \text{ } a1 \text{ } a2) = evala \text{ env } a1 + evala \text{ env } a2$   
   $evala \text{ env } (Diff \text{ } a1 \text{ } a2) = evala \text{ env } a1 - evala \text{ env } a2$   
   $evala \text{ env } (Var \text{ } v) = env \text{ } v$   
   $evala \text{ env } (Num \text{ } n) = n$

$evalb \text{ env } (Less \text{ } a1 \text{ } a2) = (evala \text{ env } a1 < evala \text{ env } a2)$

$evalb\ env\ (And\ b1\ b2) = (evalb\ env\ b1 \wedge evalb\ env\ b2)$   
 $evalb\ env\ (Neg\ b) = (\neg\ evalb\ env\ b)$

Substitution on arithmetic and boolean expressions

**consts**

$subst :: ('a \Rightarrow 'b\ aexp) \Rightarrow 'a\ aexp \Rightarrow 'b\ aexp$   
 $substb :: ('a \Rightarrow 'b\ aexp) \Rightarrow 'a\ bexp \Rightarrow 'b\ bexp$

**primrec**

$subst\ f\ (IF\ b\ a1\ a2) = IF\ (substb\ f\ b)\ (subst\ f\ a1)\ (subst\ f\ a2)$   
 $subst\ f\ (Sum\ a1\ a2) = Sum\ (subst\ f\ a1)\ (subst\ f\ a2)$   
 $subst\ f\ (Diff\ a1\ a2) = Diff\ (subst\ f\ a1)\ (subst\ f\ a2)$   
 $subst\ f\ (Var\ v) = f\ v$   
 $subst\ f\ (Num\ n) = Num\ n$

$substb\ f\ (Less\ a1\ a2) = Less\ (subst\ f\ a1)\ (subst\ f\ a2)$   
 $substb\ f\ (And\ b1\ b2) = And\ (substb\ f\ b1)\ (substb\ f\ b2)$   
 $substb\ f\ (Neg\ b) = Neg\ (substb\ f\ b)$

**lemma** *subst1-aexp*:

$evala\ env\ (subst\ (Var\ (v := a'))\ a) = evala\ (env\ (v := evala\ env\ a'))\ a$

**and** *subst1-bexp*:

$evalb\ env\ (substb\ (Var\ (v := a'))\ b) = evalb\ (env\ (v := evala\ env\ a'))\ b$   
 — one variable  
 $\langle proof \rangle$

**lemma** *subst-all-aexp*:

$evala\ env\ (subst\ s\ a) = evala\ (\lambda x. evala\ env\ (s\ x))\ a$

**and** *subst-all-bexp*:

$evalb\ env\ (substb\ s\ b) = evalb\ (\lambda x. evala\ env\ (s\ x))\ b$   
 $\langle proof \rangle$

**end**

## 6 Infinitely branching trees

**theory** *Tree* **imports** *Main* **begin**

**datatype** *'a tree* =

$Atom\ 'a$   
 $| Branch\ nat \Rightarrow 'a\ tree$

**consts**

$map-tree :: ('a \Rightarrow 'b) \Rightarrow 'a\ tree \Rightarrow 'b\ tree$

**primrec**

$map-tree\ f\ (Atom\ a) = Atom\ (f\ a)$   
 $map-tree\ f\ (Branch\ ts) = Branch\ (\lambda x. map-tree\ f\ (ts\ x))$



**lemma** *tree-map-compose*:  $\text{map-tree } g \ (\text{map-tree } f \ t) = \text{map-tree } (g \circ f) \ t$   
 $\langle \text{proof} \rangle$

**consts**

$\text{exists-tree} :: ('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ tree} \Rightarrow \text{bool}$

**primrec**

$\text{exists-tree } P \ (\text{Atom } a) = P \ a$

$\text{exists-tree } P \ (\text{Branch } ts) = (\exists x. \text{exists-tree } P \ (ts \ x))$

**lemma** *exists-map*:

$(!!x. P \ x \ ==> Q \ (f \ x)) \ ==>$

$\text{exists-tree } P \ ts \ ==> \text{exists-tree } Q \ (\text{map-tree } f \ ts)$

$\langle \text{proof} \rangle$

## 6.1 The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.

**datatype** *brouwer* = *Zero* | *Succ brouwer* | *Lim nat => brouwer*

Addition of ordinals

**consts**

$\text{add} :: [\text{brouwer}, \text{brouwer}] \Rightarrow \text{brouwer}$

**primrec**

$\text{add } i \ \text{Zero} = i$

$\text{add } i \ (\text{Succ } j) = \text{Succ } (\text{add } i \ j)$

$\text{add } i \ (\text{Lim } f) = \text{Lim } (\%n. \text{add } i \ (f \ n))$

**lemma** *add-assoc*:  $\text{add } (\text{add } i \ j) \ k = \text{add } i \ (\text{add } j \ k)$

$\langle \text{proof} \rangle$

Multiplication of ordinals

**consts**

$\text{mult} :: [\text{brouwer}, \text{brouwer}] \Rightarrow \text{brouwer}$

**primrec**

$\text{mult } i \ \text{Zero} = \text{Zero}$

$\text{mult } i \ (\text{Succ } j) = \text{add } (\text{mult } i \ j) \ i$

$\text{mult } i \ (\text{Lim } f) = \text{Lim } (\%n. \text{mult } i \ (f \ n))$

**lemma** *add-mult-distrib*:  $\text{mult } i \ (\text{add } j \ k) = \text{add } (\text{mult } i \ j) \ (\text{mult } i \ k)$

$\langle \text{proof} \rangle$

**lemma** *mult-assoc*:  $\text{mult } (\text{mult } i \ j) \ k = \text{mult } i \ (\text{mult } j \ k)$

$\langle \text{proof} \rangle$

We could probably instantiate some axiomatic type classes and use the standard infix operators.

## 6.2 A WF Ordering for The Brouwer ordinals (Michael Compton)

To define recdef style functions we need an ordering on the Brouwer ordinals. Start with a predecessor relation and form its transitive closure.

**definition**

```
brouwer-pred :: (brouwer * brouwer) set where  
brouwer-pred = ( $\bigcup i. \{(m,n). n = \text{Succ } m \vee (\text{EX } f. n = \text{Lim } f \ \& \ m = f \ i)\}$ )
```

**definition**

```
brouwer-order :: (brouwer * brouwer) set where  
brouwer-order = brouwer-pred+
```

**lemma** wf-brouwer-pred: wf brouwer-pred  
⟨proof⟩

**lemma** wf-brouwer-order: wf brouwer-order  
⟨proof⟩

**lemma** [simp]: (j, Succ j) : brouwer-order  
⟨proof⟩

**lemma** [simp]: (f n, Lim f) : brouwer-order  
⟨proof⟩

Example of a recdef

**consts**

```
add2 :: (brouwer*brouwer) => brouwer
```

**recdef** add2 inv-image brouwer-order ( $\lambda (x,y). y$ )

```
add2 (i, Zero) = i
```

```
add2 (i, (Succ j)) = Succ (add2 (i, j))
```

```
add2 (i, (Lim f)) = Lim ( $\lambda n. \text{add2 } (i, (f \ n))$ )
```

```
(hints recdef-wf: wf-brouwer-order)
```

**lemma** add2-assoc: add2 (add2 (i, j), k) = add2 (i, add2 (j, k))  
⟨proof⟩

**end**

## 7 Ordinals

**theory** Ordinals **imports** Main **begin**

Some basic definitions of ordinal numbers. Draws an Agda development (in Martin-Löf type theory) by Peter Hancock (see <http://www.dcs.ed.ac.uk/home/pgh/chat.html>).

**datatype** ordinal =

```

    Zero
  | Succ ordinal
  | Limit nat => ordinal

consts
  pred :: ordinal => nat => ordinal option
primrec
  pred Zero n = None
  pred (Succ a) n = Some a
  pred (Limit f) n = Some (f n)

consts
  iter :: ('a => 'a) => nat => ('a => 'a)
primrec
  iter f 0 = id
  iter f (Suc n) = f ∘ (iter f n)

definition
  OpLim :: (nat => (ordinal => ordinal)) => (ordinal => ordinal) where
  OpLim F a = Limit (λn. F n a)

definition
  OpItw :: (ordinal => ordinal) => (ordinal => ordinal) (⋂) where
  ⋂ f = OpLim (iter f)

consts
  cantor :: ordinal => ordinal => ordinal
primrec
  cantor a Zero = Succ a
  cantor a (Succ b) = ⋂ (λx. cantor x b) a
  cantor a (Limit f) = Limit (λn. cantor a (f n))

consts
  Nabla :: (ordinal => ordinal) => (ordinal => ordinal) (∇)
primrec
  ∇ f Zero = f Zero
  ∇ f (Succ a) = f (Succ (∇ f a))
  ∇ f (Limit h) = Limit (λn. ∇ f (h n))

definition
  deriv :: (ordinal => ordinal) => (ordinal => ordinal) where
  deriv f = ∇(⋂ f)

consts
  veblen :: ordinal => ordinal => ordinal
primrec
  veblen Zero = ∇(OpLim (iter (cantor Zero)))
  veblen (Succ a) = ∇(OpLim (iter (veblen a)))
  veblen (Limit f) = ∇(OpLim (λn. veblen (f n)))

```

```

definition veb a = veblen a Zero
definition  $\varepsilon_0$  = veb Zero
definition  $\Gamma_0$  = Limit ( $\lambda n.$  iter veb n Zero)

end

```

## 8 Sigma algebras

```

theory Sigma-Algebra imports Main begin

```

This is just a tiny example demonstrating the use of inductive definitions in classical mathematics. We define the least  $\sigma$ -algebra over a given set of sets.

```

inductive-set
   $\sigma$ -algebra :: 'a set set => 'a set set
for A :: 'a set set
where
  basic:  $a \in A \implies a \in \sigma$ -algebra A
  | UNIV: UNIV  $\in \sigma$ -algebra A
  | complement:  $a \in \sigma$ -algebra A  $\implies$   $-a \in \sigma$ -algebra A
  | Union: ( $!!i::nat.$   $a\ i \in \sigma$ -algebra A)  $\implies$  ( $\bigcup i. a\ i \in \sigma$ -algebra A

```

The following basic facts are consequences of the closure properties of any  $\sigma$ -algebra, merely using the introduction rules, but no induction nor cases.

```

theorem sigma-algebra-empty:  $\{\} \in \sigma$ -algebra A
<proof>

```

```

theorem sigma-algebra-Inter:
  ( $!!i::nat.$   $a\ i \in \sigma$ -algebra A)  $\implies$  ( $\bigcap i. a\ i \in \sigma$ -algebra A
<proof>

```

```

end

```

## 9 Combinatory Logic example: the Church-Rosser Theorem

```

theory Comb imports Main begin

```

Curiously, combinators do not include free variables.

Example taken from [?].

HOL system proofs may be found in the HOL distribution at .../contrib/rule-induction/cl.ml

## 9.1 Definitions

Datatype definition of combinators  $S$  and  $K$ .

```
datatype comb = K
      | S
      | Ap comb comb (infixl ## 90)
```

```
notation (xsymbols)
  Ap (infixl • 90)
```

Inductive definition of contractions,  $-1->$  and (multi-step) reductions,  $---->$ .

```
inductive-set
  contract :: (comb*comb) set
  and contract-rel1 :: [comb,comb] => bool (infixl  $-1->$  50)
  where
     $x -1-> y == (x,y) \in \text{contract}$ 
    | K:  $K \## x \## y -1-> x$ 
    | S:  $S \## x \## y \## z -1-> (x \## z) \## (y \## z)$ 
    | Ap1:  $x -1-> y ==> x \## z -1-> y \## z$ 
    | Ap2:  $x -1-> y ==> z \## x -1-> z \## y$ 
```

```
abbreviation
  contract-rel :: [comb,comb] => bool (infixl  $---->$  50) where
     $x ----> y == (x,y) \in \text{contract}^*$ 
```

Inductive definition of parallel contractions,  $=1=>$  and (multi-step) parallel reductions,  $===>$ .

```
inductive-set
  parcontract :: (comb*comb) set
  and parcontract-rel1 :: [comb,comb] => bool (infixl  $=1=>$  50)
  where
     $x =1=> y == (x,y) \in \text{parcontract}$ 
    | refl:  $x =1=> x$ 
    | K:  $K \## x \## y =1=> x$ 
    | S:  $S \## x \## y \## z =1=> (x \## z) \## (y \## z)$ 
    | Ap:  $[| x =1=> y; z =1=> w |] ==> x \## z =1=> y \## w$ 
```

```
abbreviation
  parcontract-rel :: [comb,comb] => bool (infixl  $===>$  50) where
     $x ===> y == (x,y) \in \text{parcontract}^*$ 
```

Misc definitions.

```
definition
  I :: comb where
     $I = S \## K \## K$ 
```

```
definition
```

$\text{diamond} :: ('a * 'a)\text{set} \Rightarrow \text{bool}$  **where**  
 — confluence; Lambda/Commutation treats this more abstractly  
 $\text{diamond}(r) = (\forall x y. (x,y) \in r \longrightarrow$   
 $\quad (\forall y'. (x,y') \in r \longrightarrow$   
 $\quad (\exists z. (y,z) \in r \ \& \ (y',z) \in r)))$

## 9.2 Reflexive/Transitive closure preserves Church-Rosser property

So does the Transitive closure, with a similar proof

Strip lemma. The induction hypothesis covers all but the last diamond of the strip.

**lemma** *diamond-strip-lemmaE* [rule-format]:  
 $[[ \text{diamond}(r); (x,y) \in r^* ]] \Rightarrow$   
 $\quad \forall y'. (x,y') \in r \longrightarrow (\exists z. (y',z) \in r^* \ \& \ (y,z) \in r)$   
 <proof>

**lemma** *diamond-rtrancl*:  $\text{diamond}(r) \Rightarrow \text{diamond}(r^*)$   
 <proof>

## 9.3 Non-contraction results

Derive a case for each combinator constructor.

**inductive-cases**

$K\text{-contractE}$  [elim!]:  $K -1-> r$   
**and**  $S\text{-contractE}$  [elim!]:  $S -1-> r$   
**and**  $Ap\text{-contractE}$  [elim!]:  $p\#\#q -1-> r$

**declare** *contract.K* [intro!] *contract.S* [intro!]  
**declare** *contract.Ap1* [intro] *contract.Ap2* [intro]

**lemma** *I-contract-E* [elim!]:  $I -1-> z \Rightarrow P$   
 <proof>

**lemma** *K1-contractD* [elim!]:  $K\#\#x -1-> z \Rightarrow (\exists x'. z = K\#\#x' \ \& \ x -1-> x')$   
 <proof>

**lemma** *Ap-reduce1* [intro]:  $x \longrightarrow y \Rightarrow x\#\#z \longrightarrow y\#\#z$   
 <proof>

**lemma** *Ap-reduce2* [intro]:  $x \longrightarrow y \Rightarrow z\#\#x \longrightarrow z\#\#y$   
 <proof>

**lemma** *KIII-contract1*:  $K\#\#I\#\#(I\#\#I) -1-> I$

$\langle proof \rangle$

**lemma** *KIII-contract2*:  $K \# \# I \# \# (I \# \# I) - 1 -> K \# \# I \# \# ((K \# \# I) \# \# (K \# \# I))$   
 $\langle proof \rangle$

**lemma** *KIII-contract3*:  $K \# \# I \# \# ((K \# \# I) \# \# (K \# \# I)) - 1 -> I$   
 $\langle proof \rangle$

**lemma** *not-diamond-contract*:  $\sim diamond(contract)$   
 $\langle proof \rangle$

## 9.4 Results about Parallel Contraction

Derive a case for each combinator constructor.

**inductive-cases**

*K-parcontractE* [elim!]:  $K = 1 \Rightarrow r$   
**and** *S-parcontractE* [elim!]:  $S = 1 \Rightarrow r$   
**and** *Ap-parcontractE* [elim!]:  $p \# \# q = 1 \Rightarrow r$

**declare** *parcontract.intros* [intro]

## 9.5 Basic properties of parallel contraction

**lemma** *K1-parcontractD* [dest!]:  $K \# \# x = 1 \Rightarrow z \Rightarrow (\exists x'. z = K \# \# x' \ \& \ x = 1 \Rightarrow x')$   
 $\langle proof \rangle$

**lemma** *S1-parcontractD* [dest!]:  $S \# \# x = 1 \Rightarrow z \Rightarrow (\exists x'. z = S \# \# x' \ \& \ x = 1 \Rightarrow x')$   
 $\langle proof \rangle$

**lemma** *S2-parcontractD* [dest!]:  
 $S \# \# x \# \# y = 1 \Rightarrow z \Rightarrow (\exists x' y'. z = S \# \# x' \# \# y' \ \& \ x = 1 \Rightarrow x' \ \& \ y = 1 \Rightarrow y')$   
 $\langle proof \rangle$

The rules above are not essential but make proofs much faster

Church-Rosser property for parallel contraction

**lemma** *diamond-parcontract*:  $diamond \ parcontract$   
 $\langle proof \rangle$

Equivalence of  $p \dashrightarrow q$  and  $p \Rightarrow q$ .

**lemma** *contract-subset-parcontract*:  $contract \leq parcontract$   
 $\langle proof \rangle$

Reductions: simply throw together reflexivity, transitivity and the one-step reductions

```

declare r-into-rtranc [intro] rtranc-trans [intro]

lemma reduce-I:  $I \# \# x \dashv\dashv x$ 
<proof>

lemma parcontract-subset-reduce:  $\text{parcontract} \leq \text{contract}^*$ 
<proof>

lemma reduce-eq-parreduce:  $\text{contract}^* = \text{parcontract}^*$ 
<proof>

lemma diamond-reduce:  $\text{diamond}(\text{contract}^*)$ 
<proof>

end

```

## 10 Meta-theory of propositional logic

**theory** *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If  $H \models p$  then  $G \models p$  where  $G \in \text{Fin}(H)$

### 10.1 The datatype of propositions

```

datatype 'a pl =
  false |
  var 'a ( $\#$ - [1000]) |
  imp 'a pl 'a pl (infixr  $\rightarrow$  90)

```

### 10.2 The proof system

```

inductive
  thms :: [a pl set, 'a pl]  $\Rightarrow$  bool (infixl  $\vdash$  50)
  for H :: 'a pl set
  where
    H [intro]:  $p \in H \Rightarrow H \vdash p$ 
  | K:  $H \vdash p \rightarrow q \rightarrow p$ 
  | S:  $H \vdash (p \rightarrow q \rightarrow r) \rightarrow (p \rightarrow q) \rightarrow p \rightarrow r$ 
  | DN:  $H \vdash ((p \rightarrow \text{false}) \rightarrow \text{false}) \rightarrow p$ 
  | MP:  $[H \vdash p \rightarrow q; H \vdash p] \Rightarrow H \vdash q$ 

```



### 10.3 The semantics

#### 10.3.1 Semantics of propositional logic.

**consts**

*eval* :: [*'a set*, *'a pl*] => *bool*    (*-*[[*-*]] [100,0] 100)

**primrec**    *tt*[[*false*]] = *False*

*tt*[[*#v*]]    = (*v* ∈ *tt*)

*eval-imp*: *tt*[[*p*->*q*]] = (*tt*[[*p*]] --> *tt*[[*q*]])

A finite set of hypotheses from *t* and the *Vars* in *p*.

**consts**

*hypos* :: [*'a pl*, *'a set*] => *'a pl set*

**primrec**

*hypos false tt* = {}

*hypos (#v) tt* = {if *v* ∈ *tt* then *#v* else *#v*->*false*}

*hypos (p->q) tt* = *hypos p tt Un hypos q tt*

#### 10.3.2 Logical consequence

For every valuation, if all elements of *H* are true then so is *p*.

**definition**

*sat* :: [*'a pl set*, *'a pl*] => *bool*    (**infixl** | = 50) **where**

*H* | = *p* = (∀ *tt*. (∀ *q* ∈ *H*. *tt*[[*q*]]) --> *tt*[[*p*]])

### 10.4 Proof theory of propositional logic

**lemma** *thms-mono*: *G* <= *H* ==> *thms*(*G*) <= *thms*(*H*)

⟨*proof*⟩

**lemma** *thms-I*: *H* | - *p*->*p*

— Called *I* for Identity Combinator, not for Introduction.

⟨*proof*⟩

#### 10.4.1 Weakening, left and right

**lemma** *weaken-left*: [| *G* ⊆ *H*; *G* | - *p* |] ==> *H* | - *p*

— Order of premises is convenient with *THEN*

⟨*proof*⟩

**lemmas** *weaken-left-insert* = *subset-insertI* [*THEN* *weaken-left*]

**lemmas** *weaken-left-Un1* = *Un-upper1* [*THEN* *weaken-left*]

**lemmas** *weaken-left-Un2* = *Un-upper2* [*THEN* *weaken-left*]

**lemma** *weaken-right*: *H* | - *q* ==> *H* | - *p*->*q*

⟨*proof*⟩

### 10.4.2 The deduction theorem

**theorem** *deduction*:  $\text{insert } p \ H \vdash q \implies H \vdash p \multimap q$   
*<proof>*

### 10.4.3 The cut rule

**lemmas** *cut* = *deduction* [THEN *thms.MP*]

**lemmas** *thms-falseE* = *weaken-right* [THEN *thms.DN* [THEN *thms.MP*]]

**lemmas** *thms-notE* = *thms.MP* [THEN *thms-falseE*, *standard*]

### 10.4.4 Soundness of the rules wrt truth-table semantics

**theorem** *soundness*:  $H \vdash p \implies H \models p$   
*<proof>*

## 10.5 Completeness

### 10.5.1 Towards the completeness proof

**lemma** *false-imp*:  $H \vdash p \multimap \text{false} \implies H \vdash p \multimap q$   
*<proof>*

**lemma** *imp-false*:  
[  $H \vdash p$ ;  $H \vdash q \multimap \text{false}$  ]  $\implies H \vdash (p \multimap q) \multimap \text{false}$   
*<proof>*

**lemma** *hyps-thms-if*:  $\text{hyps } p \ tt \vdash (\text{if } tt[[p]] \text{ then } p \text{ else } p \multimap \text{false})$   
— Typical example of strengthening the induction statement.  
*<proof>*

**lemma** *sat-thms-p*:  $\{\} \models p \implies \text{hyps } p \ tt \vdash p$   
— Key lemma for completeness; yields a set of assumptions satisfying  $p$   
*<proof>*

For proving certain theorems in our new propositional logic.

**declare** *deduction* [intro!]  
**declare** *thms.H* [THEN *thms.MP*, *intro*]

The excluded middle in the form of an elimination rule.

**lemma** *thms-excluded-middle*:  $H \vdash (p \multimap q) \multimap ((p \multimap \text{false}) \multimap q) \multimap q$   
*<proof>*

**lemma** *thms-excluded-middle-rule*:  
[  $\text{insert } p \ H \vdash q$ ;  $\text{insert } (p \multimap \text{false}) \ H \vdash q$  ]  $\implies H \vdash q$   
— Hard to prove directly because it requires cuts  
*<proof>*

## 10.6 Completeness – lemmas for reducing the set of assumptions

For the case  $\text{hyps } p \ t - \text{insert } \#v \ Y \mid - p$  we also have  $\text{hyps } p \ t - \{\#v\} \subseteq \text{hyps } p \ (t - \{v\})$ .

**lemma** *hyps-Diff*:  $\text{hyps } p \ (t - \{v\}) \leq \text{insert } (\#v \rightarrow \text{false}) \ ((\text{hyps } p \ t) - \{\#v\})$   
 $\langle \text{proof} \rangle$

For the case  $\text{hyps } p \ t - \text{insert } (\#v \rightarrow \text{Fls}) \ Y \mid - p$  we also have  $\text{hyps } p \ t - \{\#v \rightarrow \text{Fls}\} \subseteq \text{hyps } p \ (\text{insert } v \ t)$ .

**lemma** *hyps-insert*:  $\text{hyps } p \ (\text{insert } v \ t) \leq \text{insert } (\#v) \ (\text{hyps } p \ t - \{\#v \rightarrow \text{false}\})$   
 $\langle \text{proof} \rangle$

Two lemmas for use with *weaken-left*

**lemma** *insert-Diff-same*:  $B - C \leq \text{insert } a \ (B - \text{insert } a \ C)$   
 $\langle \text{proof} \rangle$

**lemma** *insert-Diff-subset2*:  $\text{insert } a \ (B - \{c\}) - D \leq \text{insert } a \ (B - \text{insert } c \ D)$   
 $\langle \text{proof} \rangle$

The set  $\text{hyps } p \ t$  is finite, and elements have the form  $\#v$  or  $\#v \rightarrow \text{Fls}$ .

**lemma** *hyps-finite*:  $\text{finite}(\text{hyps } p \ t)$   
 $\langle \text{proof} \rangle$

**lemma** *hyps-subset*:  $\text{hyps } p \ t \leq (\bigcup v. \{\#v, \#v \rightarrow \text{false}\})$   
 $\langle \text{proof} \rangle$

**lemmas** *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

### 10.6.1 Completeness theorem

Induction on the finite set of assumptions  $\text{hyps } p \ t0$ . We may repeatedly subtract assumptions until none are left!

**lemma** *completeness-0-lemma*:  
 $\{\} \models p \implies \forall t. \text{hyps } p \ t - \text{hyps } p \ t0 \mid - p$   
 $\langle \text{proof} \rangle$

The base case for completeness

**lemma** *completeness-0*:  $\{\} \models p \implies \{\} \mid - p$   
 $\langle \text{proof} \rangle$

A semantic analogue of the Deduction Theorem

**lemma** *sat-imp*:  $\text{insert } p \ H \models q \implies H \models p \rightarrow q$   
 $\langle \text{proof} \rangle$

**theorem** *completeness*:  $\text{finite } H \implies H \models p \implies H \mid - p$

$\langle proof \rangle$

**theorem** *syntax-iff-semantics: finite*  $H \implies (H \vdash p) = (H \models p)$   
 $\langle proof \rangle$

**end**

**theory** *Sexp* **imports** *Main* **begin**

**types**

$'a \text{ item} = 'a \text{ Datatype.item}$

**abbreviation** *Leaf* == *Datatype.Leaf*

**abbreviation** *Numb* == *Datatype.Numb*

**inductive-set**

*sexp* ::  $'a \text{ item set}$

**where**

$\text{LeafI: Leaf}(a) \in \text{sexp}$

$\mid \text{NumbI: Numb}(i) \in \text{sexp}$

$\mid \text{SconsI: } [M \in \text{sexp}; N \in \text{sexp}] \implies \text{Scons } M \ N \in \text{sexp}$

**definition**

*sexp-case* ::  $[ 'a \Rightarrow 'b, \text{nat} \Rightarrow 'b, [ 'a \text{ item}, 'a \text{ item}] \Rightarrow 'b, 'a \text{ item}] \Rightarrow 'b$  **where**

*sexp-case*  $c \ d \ e \ M = (\text{THE } z. (\text{EX } x. M = \text{Leaf}(x) \ \& \ z = c(x))$   
 $\mid (\text{EX } k. M = \text{Numb}(k) \ \& \ z = d(k))$   
 $\mid (\text{EX } N1 \ N2. M = \text{Scons } N1 \ N2 \ \& \ z = e \ N1 \ N2))$

**definition**

*pred-sexp* ::  $('a \text{ item} * 'a \text{ item})\text{set}$  **where**

*pred-sexp* =  $(\bigcup M \in \text{sexp}. \bigcup N \in \text{sexp}. \{(M, \text{Scons } M \ N), (N, \text{Scons } M \ N)\})$

**definition**

*sexp-rec* ::  $[ 'a \text{ item}, 'a \Rightarrow 'b, \text{nat} \Rightarrow 'b, [ 'a \text{ item}, 'a \text{ item}, 'b, 'b] \Rightarrow 'b] \Rightarrow 'b$  **where**

*sexp-rec*  $M \ c \ d \ e = \text{wfrec } \text{pred-sexp}$   
 $(\%g. \text{sexp-case } c \ d \ (\%N1 \ N2. e \ N1 \ N2 \ (g \ N1) \ (g \ N2))) \ M$

**lemma** *sexp-case-Leaf* [simp]: *sexp-case*  $c \ d \ e \ (\text{Leaf } a) = c(a)$

$\langle proof \rangle$

**lemma** *sexp-case-Numb* [simp]: *sexp-case*  $c \ d \ e \ (\text{Numb } k) = d(k)$

$\langle proof \rangle$

**lemma** *sexp-case-Scons* [simp]: *sexp-case* *c d e* (*Scons M N*) = *e M N*  
 ⟨*proof*⟩

**lemma** *sexp-In0I*:  $M \in \text{sexp} \implies \text{In0}(M) \in \text{sexp}$   
 ⟨*proof*⟩

**lemma** *sexp-In1I*:  $M \in \text{sexp} \implies \text{In1}(M) \in \text{sexp}$   
 ⟨*proof*⟩

**declare** *sexp.intros* [intro,simp]

**lemma** *range-Leaf-subset-sexp*:  $\text{range}(\text{Leaf}) \leq \text{sexp}$   
 ⟨*proof*⟩

**lemma** *Scons-D*:  $\text{Scons } M \ N \in \text{sexp} \implies M \in \text{sexp} \ \& \ N \in \text{sexp}$   
 ⟨*proof*⟩

**lemma** *pred-sexp-subset-Sigma*:  $\text{pred-sexp} \leq \text{sexp} <*> \text{sexp}$   
 ⟨*proof*⟩

**lemmas** *tranc1-pred-sexpD1* =  
   *pred-sexp-subset-Sigma*  
   [*THEN tranc1-subset-Sigma*, *THEN subsetD*, *THEN SigmaD1*]  
**and** *tranc1-pred-sexpD2* =  
   *pred-sexp-subset-Sigma*  
   [*THEN tranc1-subset-Sigma*, *THEN subsetD*, *THEN SigmaD2*]

**lemma** *pred-sexpI1*:  
 $[M \in \text{sexp}; \ N \in \text{sexp}] \implies (M, \text{Scons } M \ N) \in \text{pred-sexp}$   
 ⟨*proof*⟩

**lemma** *pred-sexpI2*:  
 $[M \in \text{sexp}; \ N \in \text{sexp}] \implies (N, \text{Scons } M \ N) \in \text{pred-sexp}$   
 ⟨*proof*⟩

**lemmas** *pred-sexp-t1* [simp] = *pred-sexpI1* [*THEN r-into-tranc1*]  
**and** *pred-sexp-t2* [simp] = *pred-sexpI2* [*THEN r-into-tranc1*]

**lemmas** *pred-sexp-trans1* [simp] = *trans-tranc1* [*THEN transD*, *OF - pred-sexp-t1*]  
**and** *pred-sexp-trans2* [simp] = *trans-tranc1* [*THEN transD*, *OF - pred-sexp-t2*]

```

declare cut-apply [simp]

lemma pred-sexE:
  [| p ∈ pred-sexp;
    !!M N. [| p = (M, Scons M N); M ∈ sexp; N ∈ sexp |] ==> R;
    !!M N. [| p = (N, Scons M N); M ∈ sexp; N ∈ sexp |] ==> R
  |] ==> R
<proof>

lemma wf-pred-sexp: wf(pred-sexp)
<proof>

lemma sexp-rec-unfold-lemma:
  (%M. sexp-rec M c d e) ==
  wfrec pred-sexp (%g. sexp-case c d (%N1 N2. e N1 N2 (g N1) (g N2)))
<proof>

lemmas sexp-rec-unfold = def-wfrec [OF sexp-rec-unfold-lemma wf-pred-sexp]

lemma sexp-rec-Leaf: sexp-rec (Leaf a) c d h = c(a)
<proof>

lemma sexp-rec-Numb: sexp-rec (Numb k) c d h = d(k)
<proof>

lemma sexp-rec-Scons: [| M ∈ sexp; N ∈ sexp |] ==>
  sexp-rec (Scons M N) c d h = h M N (sexp-rec M c d h) (sexp-rec N c d h)
<proof>

end

```

## 11 Extended List Theory (old)

```

theory SList
imports Sexp
begin

```

**definition**

*NIL* :: 'a item **where**  
*NIL* = *In0*(*Numb*(0))

**definition**

*CONS* :: ['a item, 'a item] => 'a item **where**  
*CONS* *M N* = *In1*(*Scons* *M N*)

**inductive-set**

*list* :: 'a item set => 'a item set  
**for** *A* :: 'a item set  
**where**  
*NIL-I*: *NIL*: *list* *A*  
| *CONS-I*: [| *a*: *A*; *M*: *list* *A* |] ==> *CONS* *a M* : *list* *A*

**typedef** (*List*)

'a list = *list*(*range Leaf*) :: 'a item set  
⟨*proof*⟩

**abbreviation** *Case* == *Datatype.Case*

**abbreviation** *Split* == *Datatype.Split*

**definition**

*List-case* :: ['b, ['a item, 'a item]=>'b, 'a item] => 'b **where**  
*List-case* *c d* = *Case*(%*x. c*)(*Split*(*d*))

**definition**

*List-rec* :: ['a item, 'b, ['a item, 'a item, 'b]=>'b] => 'b **where**  
*List-rec* *M c d* = *wfrec* (*pred-sexp* ^+)  
(%*g. List-case* *c* (%*x y. d x y (g y)*)) *M*

**no-translations**

$[x, xs] == x \# [xs]$   
 $[x] == x \# []$

**no-notation**

*Nil*  $([]) \text{ and}$   
*Cons* (**infixr** # 65)

**definition**

*Nil*  $:: 'a \text{ list}$  ( $[]$ ) **where**  
*Nil* = *Abs-List*(*NIL*)

**definition**

*Cons*  $:: ['a, 'a \text{ list}] => 'a \text{ list}$  (**infixr** # 65) **where**  
 $x \# xs = \text{Abs-List}(\text{CONS}(\text{Leaf } x)(\text{Rep-List } xs))$

**definition**

*list-rec*  $:: ['a \text{ list}, 'b, ['a, 'a \text{ list}, 'b] => 'b] => 'b$  **where**  
*list-rec* *l c d* =  
*List-rec*(*Rep-List* *l*) *c* ( $\%x \ y \ r. d(\text{inv Leaf } x)(\text{Abs-List } y) \ r$ )

**definition**

*list-case*  $:: ['b, ['a, 'a \text{ list}] => 'b, 'a \text{ list}] => 'b$  **where**  
*list-case* *a f xs* = *list-rec* *xs a* ( $\%x \ xs \ r. f \ x \ xs$ )

**translations**

$[x, xs] == x \# [xs]$   
 $[x] == x \# []$

$\text{case } xs \text{ of } [] => a \mid y \# ys => b == \text{CONST } \text{list-case}(a, \%y \ ys. b, xs)$

**definition**

*Rep-map*  $:: ('b => 'a \text{ item}) => ('b \text{ list} => 'a \text{ item})$  **where**  
*Rep-map* *f xs* = *list-rec* *xs NIL* ( $\%x \ l \ r. \text{CONS}(f \ x) \ r$ )

**definition**

*Abs-map*  $:: ('a \text{ item} => 'b) => 'a \text{ item} => 'b \text{ list}$  **where**  
*Abs-map* *g M* = *List-rec* *M Nil* ( $\%N \ L \ r. g(N) \# r$ )



**definition**

*null* :: 'a list => bool **where**  
*null xs* = *list-rec xs True* (%x xs r. *False*)

**definition**

*hd* :: 'a list => 'a **where**  
*hd xs* = *list-rec xs (@x. True)* (%x xs r. *x*)

**definition**

*tl* :: 'a list => 'a list **where**  
*tl xs* = *list-rec xs (@xs. True)* (%x xs r. *xs*)

**definition**

*ttl* :: 'a list => 'a list **where**  
*ttl xs* = *list-rec xs []* (%x xs r. *xs*)

**no-notation** *member* (**infixl** *mem* 55)

**definition**

*member* :: ['a, 'a list] => bool (**infixl** *mem* 55) **where**  
*x mem xs* = *list-rec xs False* (%y ys r. *if y=x then True else r*)

**definition**

*list-all* :: ('a => bool) => ('a list => bool) **where**  
*list-all P xs* = *list-rec xs True* (%x l r. *P(x) & r*)

**definition**

*map* :: ('a=>'b) => ('a list => 'b list) **where**  
*map f xs* = *list-rec xs []* (%x l r. *f(x)#r*)

**no-notation** *append* (**infixr** @ 65)

**definition**

*append* :: ['a list, 'a list] => 'a list (**infixr** @ 65) **where**  
*xs@ys* = *list-rec xs ys* (%x l r. *x#r*)

**definition**

*filter* :: ['a => bool, 'a list] => 'a list **where**  
*filter P xs* = *list-rec xs []* (%x xs r. *if P(x) then x#r else r*)

**definition**

*foldl* :: [['b, 'a] => 'b, 'b, 'a list] => 'b **where**  
*foldl f a xs* = *list-rec xs (%a. a)(%x xs r. %a. r(f a x))(a)*

**definition**

*foldr*    :: [*'a*,*'b*] => *'b*, *'b*, *'a list*] => *'b* **where**  
*foldr f a xs*    = *list-rec xs a* (*%x xs r. (f x r)*)

**definition**

*length*    :: *'a list* => *nat* **where**  
*length xs* = *list-rec xs 0* (*%x xs r. Suc r*)

**definition**

*drop*    :: [*'a list*,*nat*] => *'a list* **where**  
*drop t n* = (*nat-rec* (*%x. x*) (*%m r xs. r (tl xs)*))(*n*)(*t*)

**definition**

*copy*    :: [*'a*, *nat*] => *'a list* **where**  
*copy t* = *nat-rec []* (*%m xs. t # xs*)

**definition**

*flat*    :: *'a list list* => *'a list* **where**  
*flat*    = *foldr (op @)* []

**definition**

*nth*    :: [*nat*, *'a list*] => *'a* **where**  
*nth*    = *nat-rec hd* (*%m r xs. r (tl xs)*)

**definition**

*rev*    :: *'a list* => *'a list* **where**  
*rev xs* = *list-rec xs []* (*%x xs xsa. xsa @ [x]*)

**definition**

*zipWith* :: [*'a \* 'b* => *'c*, *'a list \* 'b list*] => *'c list* **where**  
*zipWith f S* = (*list-rec (fst S)* (*%T. []*)  
                   (*%x xs r. %T. if null T then []*  
                   *else f(x,hd T) # r(tl T))*)(*snd(S)*)

**definition**

*zip*    :: *'a list \* 'b list* => (*'a\*'b*) *list* **where**  
*zip*    = *zipWith* (*%s. s*)

**definition**

*unzip*    :: (*'a\*'b*) *list* => (*'a list \* 'b list*) **where**  
*unzip*    = *foldr* (*% (a,b)(c,d).(a#c,b#d)*)([],[])

**consts** *take*    :: [*'a list*,*nat*] => *'a list*

**primrec**

*take-0*: *take xs 0* = []  
*take-Suc*: *take xs (Suc n)* = *list-case []* (*%x l. x # take l n*) *xs*

**consts** *enum*    :: [*nat*,*nat*] => *nat list*

**primrec**

*enum-0*:  $\text{enum } i \ 0 = []$   
*enum-Suc*:  $\text{enum } i \ (\text{Suc } j) = (\text{if } i \leq j \text{ then } \text{enum } i \ j \ @ \ [j] \ \text{else } [])$

**no-translations**

$[x \leftarrow xs. P] == \text{filter } (\%x. P) \ xs$

**syntax**

$@Alls \quad :: [idt, 'a \ \text{list}, \text{bool}] ==> \text{bool} \quad ((2Alls \ -:\ -) \ 10)$

**translations**

$[x \leftarrow xs. P] == \text{CONST filter } (\%x. P) \ xs$   
 $Alls \ x:xs. P == \text{CONST list-all } (\%x. P) \ xs$

**lemma** *ListI*:  $x : \text{list } (\text{range } \text{Leaf}) ==> x : \text{List}$   
 $\langle \text{proof} \rangle$

**lemma** *ListD*:  $x : \text{List} ==> x : \text{list } (\text{range } \text{Leaf})$   
 $\langle \text{proof} \rangle$

**lemma** *list-unfold*:  $\text{list}(A) = \text{usum } \{\text{Numb}(0)\} \ (\text{uprod } A \ (\text{list}(A)))$   
 $\langle \text{proof} \rangle$

**lemma** *list-mono*:  $A \leq B ==> \text{list}(A) \leq \text{list}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *list-serp*:  $\text{list}(\text{serp}) \leq \text{serp}$   
 $\langle \text{proof} \rangle$

**lemmas** *list-subset-serp* = *subset-trans*  $[OF \ \text{list-mono} \ \text{list-serp}]$

**lemma** *list-induct*:

$[ \ P(\text{Nil});$   
 $\quad !!x \ xs. P(xs) ==> P(x \ \# \ xs) \ ] \ ==> P(l)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-Abs-list*:  $\text{inj-on } \text{Abs-List} \ (\text{list}(\text{range } \text{Leaf}))$   
 $\langle \text{proof} \rangle$

**lemma** *CONS-not-NIL* [iff]:  $CONS\ M\ N \sim = NIL$   
 <proof>

**lemmas** *NIL-not-CONS* [iff] = *CONS-not-NIL* [THEN not-sym]  
**lemmas** *CONS-neq-NIL* = *CONS-not-NIL* [THEN notE, standard]  
**lemmas** *NIL-neq-CONS* = *sym* [THEN *CONS-neq-NIL*]

**lemma** *Cons-not-Nil* [iff]:  $x \# xs \sim = Nil$   
 <proof>

**lemmas** *Nil-not-Cons* [iff] = *Cons-not-Nil* [THEN not-sym, standard]  
**lemmas** *Cons-neq-Nil* = *Cons-not-Nil* [THEN notE, standard]  
**lemmas** *Nil-neq-Cons* = *sym* [THEN *Cons-neq-Nil*]

**lemma** *CONS-CONS-eq* [iff]:  $(CONS\ K\ M) = (CONS\ L\ N) = (K=L \ \& \ M=N)$   
 <proof>

**declare** *Rep-List* [THEN *ListD*, intro] *ListI* [intro]  
**declare** *list.intros* [intro, simp]  
**declare** *Leaf-inject* [dest!]

**lemma** *Cons-Cons-eq* [iff]:  $(x \# xs = y \# ys) = (x = y \ \& \ xs = ys)$   
 <proof>

**lemmas** *Cons-inject2* = *Cons-Cons-eq* [THEN *iffD1*, THEN *conjE*, standard]

**lemma** *CONS-D*:  $CONS\ M\ N: list(A) ==> M: A \ \& \ N: list(A)$   
 <proof>

**lemma** *sexp-CONS-D*:  $CONS\ M\ N: sexp ==> M: sexp \ \& \ N: sexp$   
 <proof>

**lemma** *not-CONS-self*:  $N: list(A) ==> !M. N \sim = CONS\ M\ N$   
 <proof>

**lemma** *not-Cons-self2*:  $\forall x. l \sim = x \# l$   
 <proof>

**lemma** *neq-Nil-conv2*:  $(xs \sim = []) = (\exists y \text{ } ys. xs = y \# ys)$   
 $\langle proof \rangle$

**lemma** *List-case-NIL* [simp]:  $List\text{-}case\ c\ h\ NIL = c$   
 $\langle proof \rangle$

**lemma** *List-case-CONS* [simp]:  $List\text{-}case\ c\ h\ (CONS\ M\ N) = h\ M\ N$   
 $\langle proof \rangle$

**lemma** *List-rec-unfold-lemma*:  
 $(\%M. List\text{-}rec\ M\ c\ d) ==$   
 $wfrec\ (pred\text{-}sexp^+)(\%g. List\text{-}case\ c\ (\%x\ y. d\ x\ y\ (g\ y)))$   
 $\langle proof \rangle$

**lemmas** *List-rec-unfold* =  
 $def\text{-}wfrec\ [OF\ List\text{-}rec\text{-}unfold\text{-}lemma\ wf\text{-}pred\text{-}sexp\ [THEN\ wf\text{-}transl],$   
 $standard]$

**lemma** *pred-sexp-CONS-I1*:  
 $[[]\ M: sexp;\ N: sexp\ []] ==> (M,\ CONS\ M\ N) : pred\text{-}sexp^+$   
 $\langle proof \rangle$

**lemma** *pred-sexp-CONS-I2*:  
 $[[]\ M: sexp;\ N: sexp\ []] ==> (N,\ CONS\ M\ N) : pred\text{-}sexp^+$   
 $\langle proof \rangle$

**lemma** *pred-sexp-CONS-D*:  
 $(CONS\ M1\ M2,\ N) : pred\text{-}sexp^+ ==>$   
 $(M1,N) : pred\text{-}sexp^+ \ \&\ (M2,N) : pred\text{-}sexp^+$   
 $\langle proof \rangle$

**lemma** *List-rec-NIL* [simp]:  $List\text{-}rec\ NIL\ c\ h = c$   
 $\langle proof \rangle$

**lemma** *List-rec-CONS* [simp]:  
 $[[]\ M: sexp;\ N: sexp\ []]$

$\Rightarrow List-rec (CONS M N) c h = h M N (List-rec N c h)$   
 $\langle proof \rangle$

**lemmas** *Rep-List-in-sexp* =  
 $subsetD [OF range-Leaf-subset-sexp [THEN list-subset-sexp]$   
 $Rep-List [THEN ListD]]$

**lemma** *list-rec-Nil* [simp]:  $list-rec Nil c h = c$   
 $\langle proof \rangle$

**lemma** *list-rec-Cons* [simp]:  $list-rec (a\#l) c h = h a l (list-rec l c h)$   
 $\langle proof \rangle$

**lemma** *List-rec-type*:  
 $[| M: list(A);$   
 $A \leqsexp;$   
 $c: C(NIL);$   
 $!!x y r. [| x: A; y: list(A); r: C(y) |] \Rightarrow h x y r: C(CONS x y)$   
 $|] \Rightarrow List-rec M c h : C(M :: 'a item)$   
 $\langle proof \rangle$

**lemma** *Rep-map-Nil* [simp]:  $Rep-map f Nil = NIL$   
 $\langle proof \rangle$

**lemma** *Rep-map-Cons* [simp]:  
 $Rep-map f (x\#xs) = CONS(f x)(Rep-map f xs)$   
 $\langle proof \rangle$

**lemma** *Rep-map-type*:  $(!!x. f(x): A) \Rightarrow Rep-map f xs: list(A)$   
 $\langle proof \rangle$

**lemma** *Abs-map-NIL* [simp]:  $Abs-map g NIL = Nil$   
 $\langle proof \rangle$

**lemma** *Abs-map-CONS* [simp]:  
 $[| M: sexp; N: sexp |] \Rightarrow Abs-map g (CONS M N) = g(M) \# Abs-map g N$   
 $\langle proof \rangle$

**lemma** *def-list-rec-NilCons*:  

$$[ \text{!}xs. f(xs) = \text{list-rec } xs \ c \ h \ ]$$

$$\implies f \ [] = c \ \& \ f(x\#xs) = h \ x \ xs \ (f \ xs)$$
 $\langle \text{proof} \rangle$

**lemma** *Abs-map-inverse*:  

$$[ \text{!} M: \text{list}(A); \ A \leq \text{sexp}; \ \text{!}z. z: A \implies f(g(z)) = z \ ]$$

$$\implies \text{Rep-map } f \ (\text{Abs-map } g \ M) = M$$
 $\langle \text{proof} \rangle$

Better to have a single theorem with a conjunctive conclusion.

**declare** *def-list-rec-NilCons* [*OF list-case-def, simp*]

**lemma** *expand-list-case*:  

$$P(\text{list-case } a \ f \ xs) = ((xs = [] \longrightarrow P \ a) \ \& \ (\text{!}y \ ys. xs = y\#ys \longrightarrow P(f \ y \ ys)))$$
 $\langle \text{proof} \rangle$

**declare** *def-list-rec-NilCons* [*OF null-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF hd-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF tl-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF ttl-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF append-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF member-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF map-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF filter-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF list-all-def, simp*]

**lemma** *def-nat-rec-0-eta*:  

$$[ \text{!}n. f = \text{nat-rec } c \ h \ ] \implies f(0) = c$$
 $\langle \text{proof} \rangle$

**lemma** *def-nat-rec-Suc-eta*:  

$$[ \text{!}n. f = \text{nat-rec } c \ h \ ] \implies f(\text{Suc}(n)) = h \ n \ (f \ n)$$
 $\langle \text{proof} \rangle$

**declare** *def-nat-rec-0-eta* [*OF nth-def, simp*]  
**declare** *def-nat-rec-Suc-eta* [*OF nth-def, simp*]

**lemma** *length-Nil* [simp]:  $\text{length}([]) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *length-Cons* [simp]:  $\text{length}(a\#xs) = \text{Suc}(\text{length}(xs))$   
 $\langle \text{proof} \rangle$

**lemma** *append-assoc* [simp]:  $(xs@ys)@zs = xs@(ys@zs)$   
 $\langle \text{proof} \rangle$

**lemma** *append-Nil2* [simp]:  $xs @ [] = xs$   
 $\langle \text{proof} \rangle$

**lemma** *mem-append* [simp]:  $x \text{ mem } (xs@ys) = (x \text{ mem } xs \mid x \text{ mem } ys)$   
 $\langle \text{proof} \rangle$

**lemma** *mem-filter* [simp]:  $x \text{ mem } [x \leftarrow xs. P\ x] = (x \text{ mem } xs \ \& \ P(x))$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-True* [simp]:  $(\text{Alls } x:xs. \text{True}) = \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-conj* [simp]:  
 $\text{list-all } p \ (xs@ys) = ((\text{list-all } p \ xs) \ \& \ (\text{list-all } p \ ys))$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-mem-conv*:  $(\text{Alls } x:xs. P(x)) = (!x. x \text{ mem } xs \longrightarrow P(x))$   
 $\langle \text{proof} \rangle$

**lemma** *nat-case-dist* :  $(!n. P\ n) = (P\ 0 \ \& \ (!n. P\ (\text{Suc } n)))$   
 $\langle \text{proof} \rangle$

**lemma** *alls-P-eq-P-nth*:  $(\text{Alls } u:A. P\ u) = (!n. n < \text{length } A \longrightarrow P(\text{nth } n\ A))$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-imp*:  
 $[!x. P\ x \longrightarrow Q\ x; \ (\text{Alls } x:xs. P(x)) \ \parallel] \Longrightarrow (\text{Alls } x:xs. Q(x))$   
 $\langle \text{proof} \rangle$



**lemma** *Abs-Rep-map*:

$(!!x. f(x): \text{sexp}) ==>$   
 $\text{Abs-map } g \ (\text{Rep-map } f \ xs) = \text{map } (\%t. g(f(t))) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *map-ident* [*simp*]:  $\text{map}(\%x. x)(xs) = xs$   
 $\langle \text{proof} \rangle$

**lemma** *map-append* [*simp*]:  $\text{map } f \ (xs@ys) = \text{map } f \ xs \ @ \ \text{map } f \ ys$   
 $\langle \text{proof} \rangle$

**lemma** *map-compose*:  $\text{map}(f \ o \ g)(xs) = \text{map } f \ (\text{map } g \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *mem-map-aux1* [*rule-format*]:  
 $x \ \text{mem} \ (\text{map } f \ q) \ --> (\exists y. y \ \text{mem} \ q \ \& \ x = f \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *mem-map-aux2* [*rule-format*]:  
 $(\exists y. y \ \text{mem} \ q \ \& \ x = f \ y) \ --> x \ \text{mem} \ (\text{map } f \ q)$   
 $\langle \text{proof} \rangle$

**lemma** *mem-map*:  $x \ \text{mem} \ (\text{map } f \ q) = (\exists y. y \ \text{mem} \ q \ \& \ x = f \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *hd-append* [*rule-format*]:  $A \ \sim = [] \ --> \text{hd}(A \ @ \ B) = \text{hd}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *tl-append* [*rule-format*]:  $A \ \sim = [] \ --> \text{tl}(A \ @ \ B) = \text{tl}(A) \ @ \ B$   
 $\langle \text{proof} \rangle$

**lemma** *take-Suc1* [*simp*]:  $\text{take } [] \ (\text{Suc } x) = []$   
 $\langle \text{proof} \rangle$

**lemma** *take-Suc2* [*simp*]:  $\text{take}(a\#xs)(\text{Suc } x) = a\#\text{take } xs \ x$   
 $\langle \text{proof} \rangle$

**lemma** *drop-0* [*simp*]: *drop xs 0 = xs*  
 $\langle proof \rangle$

**lemma** *drop-Suc1* [*simp*]: *drop [] (Suc x) = []*  
 $\langle proof \rangle$

**lemma** *drop-Suc2* [*simp*]: *drop (a#xs) (Suc x) = drop xs x*  
 $\langle proof \rangle$

**lemma** *copy-0* [*simp*]: *copy x 0 = []*  
 $\langle proof \rangle$

**lemma** *copy-Suc* [*simp*]: *copy x (Suc y) = x # copy x y*  
 $\langle proof \rangle$

**lemma** *foldl-Nil* [*simp*]: *foldl f a [] = a*  
 $\langle proof \rangle$

**lemma** *foldl-Cons* [*simp*]: *foldl f a (x#xs) = foldl f (f a x) xs*  
 $\langle proof \rangle$

**lemma** *foldr-Nil* [*simp*]: *foldr f a [] = a*  
 $\langle proof \rangle$

**lemma** *foldr-Cons* [*simp*]: *foldr f z (x#xs) = f x (foldr f z xs)*  
 $\langle proof \rangle$

**lemma** *flat-Nil* [*simp*]: *flat [] = []*  
 $\langle proof \rangle$

**lemma** *flat-Cons* [*simp*]: *flat (x # xs) = x @ flat xs*  
 $\langle proof \rangle$

**lemma** *rev-Nil* [*simp*]: *rev [] = []*  
 $\langle proof \rangle$

**lemma** *rev-Cons* [simp]:  $\text{rev } (x \# xs) = \text{rev } xs @ [x]$   
 <proof>

**lemma** *zipWith-Cons-Cons* [simp]:  
 $\text{zipWith } f \ (a \# as, b \# bs) = f(a, b) \# \text{zipWith } f \ (as, bs)$   
 <proof>

**lemma** *zipWith-Nil-Nil* [simp]:  $\text{zipWith } f \ ([], []) = []$   
 <proof>

**lemma** *zipWith-Cons-Nil* [simp]:  $\text{zipWith } f \ (x, []) = []$   
 <proof>

**lemma** *zipWith-Nil-Cons* [simp]:  $\text{zipWith } f \ ([], x) = []$   
 <proof>

**lemma** *unzip-Nil* [simp]:  $\text{unzip } [] = ([], [])$   
 <proof>

**lemma** *map-compose-ext*:  $\text{map}(f \circ g) = ((\text{map } f) \circ (\text{map } g))$   
 <proof>

**lemma** *map-flat*:  $\text{map } f \ (\text{flat } S) = \text{flat}(\text{map } (\text{map } f) \ S)$   
 <proof>

**lemma** *list-all-map-eq*:  $(\text{Alls } u:xs. f(u) = g(u)) \longrightarrow \text{map } f \ xs = \text{map } g \ xs$   
 <proof>

**lemma** *filter-map-d*:  $\text{filter } p \ (\text{map } f \ xs) = \text{map } f \ (\text{filter}(p \circ f)(xs))$   
 <proof>

**lemma** *filter-compose*:  $\text{filter } p \ (\text{filter } q \ xs) = \text{filter}(\%x. p \ x \ \& \ q \ x) \ xs$   
 <proof>

**lemma** *filter-append* [rule-format, simp]:  
 $\forall B. \text{filter } p \ (A @ B) = (\text{filter } p \ A @ \text{filter } p \ B)$

$\langle proof \rangle$

**lemma** *length-append*:  $length(xs @ ys) = length(xs) + length(ys)$   
 $\langle proof \rangle$

**lemma** *length-map*:  $length(map f xs) = length(xs)$   
 $\langle proof \rangle$

**lemma** *take-Nil* [simp]:  $take [] n = []$   
 $\langle proof \rangle$

**lemma** *take-take-eq* [simp]:  $\forall n. take (take xs n) n = take xs n$   
 $\langle proof \rangle$

**lemma** *take-take-Suc-eq1* [rule-format]:  
 $\forall n. take (take xs (Suc(n+m))) n = take xs n$   
 $\langle proof \rangle$

**declare** *take-Suc* [simp del]

**lemma** *take-take-1*:  $take (take xs (n+m)) n = take xs n$   
 $\langle proof \rangle$

**lemma** *take-take-Suc-eq2* [rule-format]:  
 $\forall n. take (take xs n) (Suc(n+m)) = take xs n$   
 $\langle proof \rangle$

**lemma** *take-take-2*:  $take (take xs n) (n+m) = take xs n$   
 $\langle proof \rangle$

**lemma** *drop-Nil* [simp]:  $drop [] n = []$   
 $\langle proof \rangle$

**lemma** *drop-drop* [rule-format]:  $\forall xs. drop (drop xs m) n = drop xs (m+n)$   
 $\langle proof \rangle$

**lemma** *take-drop* [rule-format]:  $\forall xs. (take xs n) @ (drop xs n) = xs$   
 $\langle proof \rangle$

**lemma** *copy-copy*:  $copy x n @ copy x m = copy x (n+m)$   
 $\langle proof \rangle$

**lemma** *length-copy*:  $\text{length}(\text{copy } x \ n) = n$   
 $\langle \text{proof} \rangle$

**lemma** *length-take* [*rule-format*, *simp*]:  
 $\forall xs. \text{length}(\text{take } xs \ n) = \min (\text{length } xs) \ n$   
 $\langle \text{proof} \rangle$

**lemma** *length-take-drop*:  $\text{length}(\text{take } A \ k) + \text{length}(\text{drop } A \ k) = \text{length}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *take-append* [*rule-format*]:  $\forall A. \text{length}(A) = n \dashrightarrow \text{take}(A @ B) \ n = A$   
 $\langle \text{proof} \rangle$

**lemma** *take-append2* [*rule-format*]:  
 $\forall A. \text{length}(A) = n \dashrightarrow \text{take}(A @ B) \ (n+k) = A @ \text{take } B \ k$   
 $\langle \text{proof} \rangle$

**lemma** *take-map* [*rule-format*]:  $\forall n. \text{take } (\text{map } f \ A) \ n = \text{map } f \ (\text{take } A \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *drop-append* [*rule-format*]:  $\forall A. \text{length}(A) = n \dashrightarrow \text{drop}(A @ B) \ n = B$   
 $\langle \text{proof} \rangle$

**lemma** *drop-append2* [*rule-format*]:  
 $\forall A. \text{length}(A) = n \dashrightarrow \text{drop}(A @ B) \ (n+k) = \text{drop } B \ k$   
 $\langle \text{proof} \rangle$

**lemma** *drop-all* [*rule-format*]:  $\forall A. \text{length}(A) = n \dashrightarrow \text{drop } A \ n = []$   
 $\langle \text{proof} \rangle$

**lemma** *drop-map* [*rule-format*]:  $\forall n. \text{drop } (\text{map } f \ A) \ n = \text{map } f \ (\text{drop } A \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *take-all* [*rule-format*]:  $\forall A. \text{length}(A) = n \dashrightarrow \text{take } A \ n = A$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-single*:  $\text{foldl } f \ a \ [b] = f \ a \ b$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-append* [*simp*]:  
 $\bigwedge a. \text{foldl } f \ a \ (A @ B) = \text{foldl } f \ (\text{foldl } f \ a \ A) \ B$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-map*:  
 $\bigwedge e. \text{foldl } f \ e \ (\text{map } g \ S) = \text{foldl } (\%x \ y. f \ x \ (g \ y)) \ e \ S$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-neutr-distr* [*rule-format*]:

**assumes** *r-neutr*:  $\forall a. f\ a\ e = a$   
**and** *r-neutl*:  $\forall a. f\ e\ a = a$   
**and** *assoc*:  $\forall a\ b\ c. f\ a\ (f\ b\ c) = f\ (f\ a\ b)\ c$   
**shows**  $\forall y. f\ y\ (foldl\ f\ e\ A) = foldl\ f\ y\ A$   
 $\langle proof \rangle$

**lemma** *foldl-append-sym*:  
 $[[\ !a. f\ a\ e = a; \ !a. f\ e\ a = a;$   
 $\ !a\ b\ c. f\ a\ (f\ b\ c) = f\ (f\ a\ b)\ c \ ]]$   
 $\implies foldl\ f\ e\ (A\ @\ B) = f\ (foldl\ f\ e\ A)\ (foldl\ f\ e\ B)$   
 $\langle proof \rangle$

**lemma** *foldr-append* [*rule-format*, *simp*]:  
 $\forall a. foldr\ f\ a\ (A\ @\ B) = foldr\ f\ (foldr\ f\ a\ B)\ A$   
 $\langle proof \rangle$

**lemma** *foldr-map*:  $\bigwedge e. foldr\ f\ e\ (map\ g\ S) = foldr\ (f\ o\ g)\ e\ S$   
 $\langle proof \rangle$

**lemma** *foldr-Un-eq-UN*:  $foldr\ op\ Un\ \{\} S = (UN\ X: \{t. t\ mem\ S\}. X)$   
 $\langle proof \rangle$

**lemma** *foldr-neutr-distr*:  
 $[[\ !a. f\ e\ a = a; \ !a\ b\ c. f\ a\ (f\ b\ c) = f\ (f\ a\ b)\ c \ ]]$   
 $\implies foldr\ f\ y\ S = f\ (foldr\ f\ e\ S)\ y$   
 $\langle proof \rangle$

**lemma** *foldr-append2*:  
 $[[\ !a. f\ e\ a = a; \ !a\ b\ c. f\ a\ (f\ b\ c) = f\ (f\ a\ b)\ c \ ]]$   
 $\implies foldr\ f\ e\ (A\ @\ B) = f\ (foldr\ f\ e\ A)\ (foldr\ f\ e\ B)$   
 $\langle proof \rangle$

**lemma** *foldr-flat*:  
 $[[\ !a. f\ e\ a = a; \ !a\ b\ c. f\ a\ (f\ b\ c) = f\ (f\ a\ b)\ c \ ] \implies$   
 $foldr\ f\ e\ (flat\ S) = (foldr\ f\ e)\ (map\ (foldr\ f\ e)\ S)$   
 $\langle proof \rangle$

**lemma** *list-all-map*:  $(Alls\ x:map\ f\ xs.\ P(x)) = (Alls\ x:xs.\ (P\ o\ f)(x))$   
 $\langle proof \rangle$

**lemma** *list-all-and*:  
 $(Alls\ x:xs.\ P(x) \& Q(x)) = ((Alls\ x:xs.\ P(x)) \& (Alls\ x:xs.\ Q(x)))$   
 $\langle proof \rangle$

**lemma** *nth-map* [*rule-format*]:  
 $\forall i. i < length(A) \implies nth\ i\ (map\ f\ A) = f\ (nth\ i\ A)$

$\langle proof \rangle$

**lemma** *nth-app-cancel-right* [rule-format]:

$$\forall i. i < \text{length}(A) \longrightarrow \text{nth } i (A @ B) = \text{nth } i A$$

$\langle proof \rangle$

**lemma** *nth-app-cancel-left* [rule-format]:

$$\forall n. n = \text{length}(A) \longrightarrow \text{nth}(n+i)(A @ B) = \text{nth } i B$$

$\langle proof \rangle$

**lemma** *flat-append* [simp]:  $\text{flat}(xs @ ys) = \text{flat}(xs) @ \text{flat}(ys)$

$\langle proof \rangle$

**lemma** *filter-flat*:  $\text{filter } p (\text{flat } S) = \text{flat} (\text{map } (\text{filter } p) S)$

$\langle proof \rangle$

**lemma** *rev-append* [simp]:  $\text{rev}(xs @ ys) = \text{rev}(ys) @ \text{rev}(xs)$

$\langle proof \rangle$

**lemma** *rev-rev-ident* [simp]:  $\text{rev}(\text{rev } l) = l$

$\langle proof \rangle$

**lemma** *rev-flat*:  $\text{rev}(\text{flat } ls) = \text{flat } (\text{map } \text{rev } (\text{rev } ls))$

$\langle proof \rangle$

**lemma** *rev-map-distrib*:  $\text{rev}(\text{map } f l) = \text{map } f (\text{rev } l)$

$\langle proof \rangle$

**lemma** *foldl-rev*:  $\text{foldl } f b (\text{rev } l) = \text{foldr } (\%x y. f y x) b l$

$\langle proof \rangle$

**lemma** *foldr-rev*:  $\text{foldr } f b (\text{rev } l) = \text{foldl } (\%x y. f y x) b l$

$\langle proof \rangle$

**end**

## 12 Definition of type llist by a greatest fixed point

**theory** *LList* imports *SList* begin

**coinductive-set**

*llist* :: 'a item set  $\Rightarrow$  'a item set

**for**  $A :: 'a \text{ item set}$   
**where**  
 $NIL-I: NIL \in llist(A)$   
 $| CONS-I: \quad \quad \quad [| a \in A; M \in llist(A) |] ==> CONS a M \in llist(A)$

**coinductive-set**

$LListD :: ('a \text{ item} * 'a \text{ item})set ==> ('a \text{ item} * 'a \text{ item})set$   
**for**  $r :: ('a \text{ item} * 'a \text{ item})set$   
**where**  
 $NIL-I: (NIL, NIL) \in LListD(r)$   
 $| CONS-I: \quad \quad \quad [| (a,b) \in r; (M,N) \in LListD(r) |]$   
 $\quad \quad \quad ==> (CONS a M, CONS b N) \in LListD(r)$

**typedef** ( $LList$ )

$'a \text{ llist} = llist(range \text{ Leaf}) :: 'a \text{ item set}$   
 $\langle proof \rangle$

**definition**

$list-Fun :: ['a \text{ item set}, 'a \text{ item set}] ==> 'a \text{ item set}$  **where**  
— Now used exclusively for abbreviating the coinduction rule  
 $list-Fun A X = \{z. z = NIL \mid (\exists M a. z = CONS a M \ \& \ a \in A \ \& \ M \in X)\}$

**definition**

$LListD-Fun ::$   
 $[('a \text{ item} * 'a \text{ item})set, ('a \text{ item} * 'a \text{ item})set] ==>$   
 $('a \text{ item} * 'a \text{ item})set$  **where**  
 $LListD-Fun r X =$   
 $\{z. z = (NIL, NIL) \mid$   
 $\quad (\exists M N a b. z = (CONS a M, CONS b N) \ \& \ (a, b) \in r \ \& \ (M, N) \in X)\}$

**definition**

$LNil :: 'a \text{ llist}$  **where**  
— abstract constructor  
 $LNil = Abs-LList NIL$

**definition**

$LCons :: ['a, 'a \text{ llist}] ==> 'a \text{ llist}$  **where**  
— abstract constructor  
 $LCons x xs = Abs-LList(CONS (Leaf x) (Rep-LList xs))$

**definition**

$llist-case :: ['b, ['a, 'a \text{ llist}]] ==> 'b$  **where**  
 $llist-case c d l =$   
 $List-case c (\%x y. d (inv \text{ Leaf } x) (Abs-LList y)) (Rep-LList l)$

**definition**

$LList-corec-fun :: [nat, 'a ==> ('b \text{ item} * 'a) \text{ option}, 'a] ==> 'b \text{ item}$  **where**  
 $LList-corec-fun k f ==$



```

nat-rec (%x. {})
      (%j r x. case f x of None => NIL
                | Some(z,w) => CONS z (r w))
      k

```

**definition**

```

LList-corec :: ['a, 'a => ('b item * 'a) option] => 'b item where
LList-corec a f = (⋃ k. LList-corec-fun k f a)

```

**definition**

```

lList-corec :: ['a, 'a => ('b * 'a) option] => 'b lList where
lList-corec a f =
  Abs-LList(LList-corec a
    (%z. case f z of None => None
            | Some(v,w) => Some(Leaf(v), w)))

```

**definition**

```

lListD-Fun :: ('a lList * 'a lList) set => ('a lList * 'a lList) set where
lListD-Fun(r) =
  prod-fun Abs-LList Abs-LList '
    LListD-Fun (Id-on(range Leaf))
    (prod-fun Rep-LList Rep-LList ' r)

```

The case syntax for type *'a lList*

**syntax**

```

LNil :: logic
LCons :: logic

```

**translations**

```

case p of LNil => a | LCons x l => b == CONST lList-case a (%x l. b) p

```

## 12.0.2 Sample function definitions. Item-based ones start with *L*

**definition**

```

Lmap :: ('a item => 'b item) => ('a item => 'b item) where
Lmap f M = LList-corec M (List-case None (%x M'. Some((f(x), M'))))

```

**definition**

```

lmap :: ('a=>'b) => ('a lList => 'b lList) where
lmap f l = lList-corec l (%z. case z of LNil => None
                                | LCons y z => Some(f(y), z))

```

**definition**

```

iterates :: ['a => 'a, 'a] => 'a lList where
iterates f a = lList-corec a (%x. Some((x, f(x))))

```

**definition**

```

Lconst :: 'a item => 'a item where
Lconst(M) == lfp(%N. CONS M N)

```

**definition**

*Lappend* :: ['a item, 'a item] => 'a item **where**  
*Lappend* M N = *LList-corec* (M,N)  
 (split(*List-case* (*List-case* None (%N1 N2. Some((N1, (NIL,N2)))))  
 (%M1 M2 N. Some((M1, (M2,N)))))

**definition**

*lappend* :: ['a llist, 'a llist] => 'a llist **where**  
*lappend* l n = *llist-corec* (l,n)  
 (split(*llist-case* (*llist-case* None (%n1 n2. Some((n1, (LNil,n2)))))  
 (%l1 l2 n. Some((l1, (l2,n)))))

Append generates its result by applying f, where f((NIL,NIL)) = None  
 f((NIL, CONS N1 N2)) = Some((N1, (NIL,N2))) f((CONS M1 M2, N)) =  
 Some((M1, (M2,N)))

SHOULD *LListD-Fun-CONS-I*, etc., be equations (for rewriting)?

**lemmas** *UN1-I* = *UNIV-I* [*THEN UN-I*, *standard*]

**12.0.3 Simplification**

**declare** *option.split* [*split*]

This justifies using *llist* in other recursive type definitions

**lemma** *llist-mono*:

**assumes** *subset*:  $A \subseteq B$

**shows** *llist*  $A \subseteq \textit{llist } B$

*<proof>*

**lemma** *llist-unfold*: *llist*(A) = *usum* {*Numb*(0)} (*uprod* A (*llist* A))

*<proof>*

**12.1 Type checking by coinduction**

... using *list-Fun* THE COINDUCTIVE DEFINITION PACKAGE COULD  
 DO THIS!

**lemma** *llist-coinduct*:

[|  $M \in X$ ;  $X \subseteq \textit{list-Fun } A (X \textit{ Un } \textit{llist}(A))$  |] ==>  $M \in \textit{llist}(A)$

*<proof>*

**lemma** *list-Fun-NIL-I* [*iff*]: *NIL* ∈ *list-Fun* A X

*<proof>*

**lemma** *list-Fun-CONS-I* [*intro!*,*simp*]:

[|  $M \in A$ ;  $N \in X$  |] ==> *CONS* M N ∈ *list-Fun* A X

*<proof>*

Utilise the “strong” part, i.e. *gfp*(f)

**lemma** *list-Fun-llist-I*:  $M \in \text{llist}(A) \implies M \in \text{list-Fun } A \ (X \text{ Un } \text{llist}(A))$   
 $\langle \text{proof} \rangle$

## 12.2 *LList-corec* satisfies the desired recursion equation

A continuity result?

**lemma** *CONS-UN1*:  $\text{CONS } M \ (\bigcup x. f(x)) = (\bigcup x. \text{CONS } M \ (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *CONS-mono*:  $[[\ M \subseteq M'; \ N \subseteq N' \ ]] \implies \text{CONS } M \ N \subseteq \text{CONS } M' \ N'$   
 $\langle \text{proof} \rangle$

**declare** *LList-corec-fun-def* [*THEN* *def-nat-rec-0*, *simp*]  
*LList-corec-fun-def* [*THEN* *def-nat-rec-Suc*, *simp*]

### 12.2.1 The directions of the equality are proved separately

**lemma** *LList-corec-subset1*:  
 $\text{LList-corec } a \ f \subseteq$   
 $(\text{case } f \ a \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z, w) \Rightarrow \text{CONS } z \ (\text{LList-corec } w \ f))$   
 $\langle \text{proof} \rangle$

**lemma** *LList-corec-subset2*:  
 $(\text{case } f \ a \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z, w) \Rightarrow \text{CONS } z \ (\text{LList-corec } w \ f)) \subseteq$   
 $\text{LList-corec } a \ f$   
 $\langle \text{proof} \rangle$

the recursion equation for *LList-corec* – NOT SUITABLE FOR REWRITING!

**lemma** *LList-corec*:  
 $\text{LList-corec } a \ f =$   
 $(\text{case } f \ a \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z, w) \Rightarrow \text{CONS } z \ (\text{LList-corec } w \ f))$   
 $\langle \text{proof} \rangle$

definitional version of same

**lemma** *def-LList-corec*:  
 $[[\ !x. h(x) = \text{LList-corec } x \ f \ ]]$   
 $\implies h(a) = (\text{case } f \ a \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z, w) \Rightarrow \text{CONS } z \ (h \ w))$   
 $\langle \text{proof} \rangle$

A typical use of co-induction to show membership in the *gfp*. Bisimulation is  $\text{range}(\%x. \text{LList-corec } x \ f)$

**lemma** *LList-corec-type*:  $\text{LList-corec } a \ f \in \text{llist } \text{UNIV}$   
 $\langle \text{proof} \rangle$

## 12.3 *llist* equality as a *gfp*; the bisimulation principle

This theorem is actually used, unlike the many similar ones in ZF

**lemma** *LListD-unfold*:  $LListD\ r = dsum\ (Id-on\ \{Numb\ 0\})\ (dprod\ r\ (LListD\ r))$   
 $\langle proof \rangle$

**lemma** *LListD-implies-ntrunc-equality* [rule-format]:  
 $\forall M\ N. (M, N) \in LListD(Id-on\ A) \implies ntrunc\ k\ M = ntrunc\ k\ N$   
 $\langle proof \rangle$

The domain of the *LListD* relation

**lemma** *Domain-LListD*:  
 $Domain\ (LListD(Id-on\ A)) \subseteq llist(A)$   
 $\langle proof \rangle$

This inclusion justifies the use of coinduction to show  $M = N$

**lemma** *LListD-subset-Id-on*:  $LListD(Id-on\ A) \subseteq Id-on(llist(A))$   
 $\langle proof \rangle$

### 12.3.1 Coinduction, using *LListD-Fun*

THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

**lemma** *LListD-Fun-mono*:  $A \subseteq B \implies LListD-Fun\ r\ A \subseteq LListD-Fun\ r\ B$   
 $\langle proof \rangle$

**lemma** *LListD-coinduct*:  
 $[[\ M \in X;\ X \subseteq LListD-Fun\ r\ (X\ Un\ LListD(r))\ ]] \implies M \in LListD(r)$   
 $\langle proof \rangle$

**lemma** *LListD-Fun-NIL-I*:  $(NIL, NIL) \in LListD-Fun\ r\ s$   
 $\langle proof \rangle$

**lemma** *LListD-Fun-CONS-I*:  
 $[[\ x \in A;\ (M, N):s\ ]] \implies (CONS\ x\ M,\ CONS\ x\ N) \in LListD-Fun\ (Id-on\ A)\ s$   
 $\langle proof \rangle$

Utilise the "strong" part, i.e.  $gfp(f)$

**lemma** *LListD-Fun-LListD-I*:  
 $M \in LListD(r) \implies M \in LListD-Fun\ r\ (X\ Un\ LListD(r))$   
 $\langle proof \rangle$

This converse inclusion helps to strengthen *LList-equalityI*

**lemma** *Id-on-subset-LListD*:  $Id-on(llist(A)) \subseteq LListD(Id-on\ A)$   
 $\langle proof \rangle$

**lemma** *LListD-eq-Id-on*:  $LListD(Id-on\ A) = Id-on(llist(A))$   
 $\langle proof \rangle$

**lemma** *LListD-Fun-Id-on-I*:  $M \in llist(A) \implies (M, M) \in LListD-Fun\ (Id-on\ A)\ (X\ Un\ Id-on(llist(A)))$   
 $\langle proof \rangle$

**12.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace  $A$  by some particular set, like  $\{x. \text{True}\}$ ???**

**lemma** *LList-equalityI*:

$[[ (M,N) \in r; \ r \subseteq \text{LListD-Fun } (\text{Id-on } A) (r \text{ Un } \text{Id-on}(\text{lList}(A))) ]]$   
 $\implies M=N$

$\langle \text{proof} \rangle$

## 12.4 Finality of $\text{lList}(A)$ : Uniqueness of functions defined by corecursion

We must remove *Pair-eq* because it may turn an instance of reflexivity  $(h1\ b, h2\ b) = (h1\ ?x17, h2\ ?x17)$  into a conjunction! (or strengthen the Solver?)

**declare** *Pair-eq* [*simp del*]

abstract proof using a bisimulation

**lemma** *LList-corec-unique*:

$[[ !!x. h1(x) = (\text{case } f\ x\ \text{of } \text{None} \implies \text{NIL} \mid \text{Some}(z,w) \implies \text{CONS } z\ (h1\ w));$   
 $!!x. h2(x) = (\text{case } f\ x\ \text{of } \text{None} \implies \text{NIL} \mid \text{Some}(z,w) \implies \text{CONS } z\ (h2\ w)) ]]$   
 $\implies h1=h2$

$\langle \text{proof} \rangle$

**lemma** *equals-LList-corec*:

$[[ !!x. h(x) = (\text{case } f\ x\ \text{of } \text{None} \implies \text{NIL} \mid \text{Some}(z,w) \implies \text{CONS } z\ (h\ w)) ]]$   
 $\implies h = (\%x. \text{LList-corec } x\ f)$

$\langle \text{proof} \rangle$

### 12.4.1 Obsolete proof of *LList-corec-unique*: complete induction, not coinduction

**lemma** *ntrunc-one-CONS* [*simp*]:  $\text{ntrunc } (\text{Suc } 0) (\text{CONS } M\ N) = \{\}$

$\langle \text{proof} \rangle$

**lemma** *ntrunc-CONS* [*simp*]:

$\text{ntrunc } (\text{Suc}(\text{Suc}(k))) (\text{CONS } M\ N) = \text{CONS } (\text{ntrunc } k\ M) (\text{ntrunc } k\ N)$

$\langle \text{proof} \rangle$

**lemma**

**assumes** *prem1*:

$!!x. h1\ x = (\text{case } f\ x\ \text{of } \text{None} \implies \text{NIL} \mid \text{Some}(z,w) \implies \text{CONS } z\ (h1\ w))$

**and** *prem2*:

$!!x. h2\ x = (\text{case } f\ x\ \text{of } \text{None} \implies \text{NIL} \mid \text{Some}(z,w) \implies \text{CONS } z\ (h2\ w))$

**shows**  $h1=h2$

$\langle \text{proof} \rangle$

## 12.5 Lconst: defined directly by lfp

But it could be defined by corecursion.

**lemma** *Lconst-fun-mono*:  $\text{mono}(\text{CONS}(M))$   
*<proof>*

$$\text{Lconst}(M) = \text{CONS } M (\text{Lconst } M)$$

**lemmas** *Lconst* = *Lconst-fun-mono* [THEN *Lconst-def* [THEN *def-lfp-unfold*]]

A typical use of co-induction to show membership in the gfp. The containing set is simply the singleton  $\{\text{Lconst}(M)\}$ .

**lemma** *Lconst-type*:  $M \in A \implies \text{Lconst}(M) : \text{lList}(A)$   
*<proof>*

**lemma** *Lconst-eq-LList-corec*:  $\text{Lconst}(M) = \text{LList-corec } M (\%x. \text{Some}(x, x))$   
*<proof>*

Thus we could have used gfp in the definition of Lconst

**lemma** *gfp-Lconst-eq-LList-corec*:  $\text{gfp}(\%N. \text{CONS } M N) = \text{LList-corec } M (\%x. \text{Some}(x, x))$   
*<proof>*

## 12.6 Isomorphisms

**lemma** *LListI*:  $x \in \text{lList } (\text{range Leaf}) \implies x \in \text{LList}$   
*<proof>*

**lemma** *LListD*:  $x \in \text{LList} \implies x \in \text{lList } (\text{range Leaf})$   
*<proof>*

### 12.6.1 Distinctness of constructors

**lemma** *LCons-not-LNil* [iff]:  $\sim \text{LCons } x \text{ xs} = \text{LNil}$   
*<proof>*

**lemmas** *LNil-not-LCons* [iff] = *LCons-not-LNil* [THEN *not-sym*, *standard*]

### 12.6.2 lList constructors

**lemma** *Rep-LList-LNil*:  $\text{Rep-LList } \text{LNil} = \text{NIL}$   
*<proof>*

**lemma** *Rep-LList-LCons*:  $\text{Rep-LList}(\text{LCons } x \text{ l}) = \text{CONS } (\text{Leaf } x) (\text{Rep-LList } l)$   
*<proof>*

### 12.6.3 Injectiveness of CONS and LCons

**lemma** *CONS-CONS-eq2*:  $(\text{CONS } M N = \text{CONS } M' N') = (M = M' \ \& \ N = N')$   
*<proof>*

**lemmas** *CONS-inject* = *CONS-CONS-eq* [*THEN iffD1*, *THEN conjE*, *standard*]

For reasoning about abstract llist constructors

**declare** *Rep-LList* [*THEN LListD*, *intro*] *LListI* [*intro*]  
**declare** *llist.intros* [*intro*]

**lemma** *LCons-LCons-eq* [*iff*]:  $(LCons\ x\ xs = LCons\ y\ ys) = (x=y \ \&\ xs=ys)$   
 $\langle proof \rangle$

**lemma** *CONS-D2*:  $CONS\ M\ N \in llist(A) ==> M \in A \ \&\ N \in llist(A)$   
 $\langle proof \rangle$

## 12.7 Reasoning about $llist(A)$

A special case of *list-equality* for functions over lazy lists

**lemma** *LList-fun-equalityI*:  

$$\begin{aligned} & [| M \in llist(A); g(NIL): llist(A); \\ & \quad f(NIL)=g(NIL); \\ & \quad !!x\ l. [| x \in A; l \in llist(A) |] ==> \\ & \quad \quad (f(CONS\ x\ l), g(CONS\ x\ l)) \in \\ & \quad \quad \quad LListD-Fun\ (Id-on\ A)\ ((\%u.(f(u), g(u)))'llist(A)\ Un \\ & \quad \quad \quad Id-on(llist(A))) \\ & |] ==> f(M) = g(M) \end{aligned}$$
  
 $\langle proof \rangle$

## 12.8 The functional *Lmap*

**lemma** *Lmap-NIL* [*simp*]:  $Lmap\ f\ NIL = NIL$   
 $\langle proof \rangle$

**lemma** *Lmap-CONS* [*simp*]:  $Lmap\ f\ (CONS\ M\ N) = CONS\ (f\ M)\ (Lmap\ f\ N)$   
 $\langle proof \rangle$

Another type-checking proof by coinduction

**lemma** *Lmap-type*:  

$$[| M \in llist(A); !!x. x \in A ==> f(x):B |] ==> Lmap\ f\ M \in llist(B)$$
  
 $\langle proof \rangle$

This type checking rule synthesises a sufficiently large set for  $f$

**lemma** *Lmap-type2*:  $M \in llist(A) ==> Lmap\ f\ M \in llist(f'A)$   
 $\langle proof \rangle$

### 12.8.1 Two easy results about *Lmap*

**lemma** *Lmap-compose*:  $M \in llist(A) ==> Lmap\ (f\ o\ g)\ M = Lmap\ f\ (Lmap\ g\ M)$   
 $\langle proof \rangle$

**lemma** *Lmap-ident*:  $M \in \text{llist}(A) \implies \text{Lmap } (\%x. x) M = M$   
 <proof>

## 12.9 *Lappend* – its two arguments cause some complications!

**lemma** *Lappend-NIL-NIL* [*simp*]:  $\text{Lappend } \text{NIL } \text{NIL} = \text{NIL}$   
 <proof>

**lemma** *Lappend-NIL-CONS* [*simp*]:  
 $\text{Lappend } \text{NIL } (\text{CONS } N N') = \text{CONS } N (\text{Lappend } \text{NIL } N')$   
 <proof>

**lemma** *Lappend-CONS* [*simp*]:  
 $\text{Lappend } (\text{CONS } M M') N = \text{CONS } M (\text{Lappend } M' N)$   
 <proof>

**declare** *llist.intros* [*simp*] *LListD-Fun-CONS-I* [*simp*]  
*range-eqI* [*simp*] *image-eqI* [*simp*]

**lemma** *Lappend-NIL* [*simp*]:  $M \in \text{llist}(A) \implies \text{Lappend } \text{NIL } M = M$   
 <proof>

**lemma** *Lappend-NIL2*:  $M \in \text{llist}(A) \implies \text{Lappend } M \text{ NIL} = M$   
 <proof>

### 12.9.1 Alternative type-checking proofs for *Lappend*

weak co-induction: bisimulation and case analysis on both variables

**lemma** *Lappend-type*:  $[| M \in \text{llist}(A); N \in \text{llist}(A) |] \implies \text{Lappend } M N \in \text{llist}(A)$   
 <proof>

strong co-induction: bisimulation and case analysis on one variable

**lemma** *Lappend-type'*:  $[| M \in \text{llist}(A); N \in \text{llist}(A) |] \implies \text{Lappend } M N \in \text{llist}(A)$   
 <proof>

## 12.10 Lazy lists as the type *'a llist* – strongly typed versions of above

### 12.10.1 *llist-case*: case analysis for *'a llist*

**declare** *LListI* [*THEN Abs-LList-inverse, simp*]  
**declare** *Rep-LList-inverse* [*simp*]  
**declare** *Rep-LList* [*THEN LListD, simp*]  
**declare** *rangeI* [*simp*] *inj-Leaf* [*simp*]

**lemma** *llist-case-LNil* [*simp*]:  $\text{llist-case } c d \text{ LNil} = c$



$\langle \text{proof} \rangle$

**lemma** *llist-case-LCons* [simp]: *llist-case* *c d* (*LCons M N*) = *d M N*  
 $\langle \text{proof} \rangle$

Elimination is case analysis, not induction.

**lemma** *llistE*:  $[\mid l = \text{LNil} \implies P; \mid \exists x l'. l = \text{LCons } x l' \implies P] \implies P$   
 $\langle \text{proof} \rangle$

### 12.10.2 *llist-corec*: corecursion for 'a llist

Lemma for the proof of *llist-corec*

**lemma** *LList-corec-type2*:  
    *LList-corec a*  
    ( $\%z. \text{case } f \text{ } z \text{ of } \text{None} \implies \text{None} \mid \text{Some}(v, w) \implies \text{Some}(\text{Leaf}(v), w)$ )  
     $\in \text{llist}(\text{range Leaf})$   
 $\langle \text{proof} \rangle$

**lemma** *llist-corec*:  
    *llist-corec a f* =  
    ( $\text{case } f \text{ } a \text{ of } \text{None} \implies \text{LNil} \mid \text{Some}(z, w) \implies \text{LCons } z (\text{llist-corec } w f)$ )  
 $\langle \text{proof} \rangle$

definitional version of same

**lemma** *def-llist-corec*:  
     $[\mid \exists x. h(x) = \text{llist-corec } x f] \implies$   
     $h(a) = (\text{case } f \text{ } a \text{ of } \text{None} \implies \text{LNil} \mid \text{Some}(z, w) \implies \text{LCons } z (h \text{ } w))$   
 $\langle \text{proof} \rangle$

## 12.11 Proofs about type 'a llist functions

### 12.12 Deriving *llist-equalityI* – *llist* equality is a bisimulation

**lemma** *LListD-Fun-subset-Times-llist*:  
     $r \subseteq (\text{llist } A) <*> (\text{llist } A)$   
     $\implies \text{LListD-Fun } (\text{Id-on } A) \text{ } r \subseteq (\text{llist } A) <*> (\text{llist } A)$   
 $\langle \text{proof} \rangle$

**lemma** *subset-Times-llist*:  
     $\text{prod-fun Rep-LList Rep-LList } 'r \subseteq$   
     $(\text{llist}(\text{range Leaf})) <*> (\text{llist}(\text{range Leaf}))$   
 $\langle \text{proof} \rangle$

**lemma** *prod-fun-lemma*:  
     $r \subseteq (\text{llist}(\text{range Leaf})) <*> (\text{llist}(\text{range Leaf}))$   
     $\implies \text{prod-fun } (\text{Rep-LList } o \text{ Abs-LList}) (\text{Rep-LList } o \text{ Abs-LList}) 'r \subseteq r$   
 $\langle \text{proof} \rangle$

**lemma** *prod-fun-range-eq-Id-on*:

$$\text{prod-fun Rep-LList Rep-LList } \text{' range}(\%x. (x, x)) =$$

$$\text{Id-on}(\text{lList}(\text{range Leaf}))$$

$$\langle \text{proof} \rangle$$

Used with *lfilter*

**lemma** *lListD-Fun-mono*:  

$$A \subseteq B \implies \text{lListD-Fun } A \subseteq \text{lListD-Fun } B$$

$$\langle \text{proof} \rangle$$

### 12.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality]

**lemma** *lList-equalityI*:  

$$[\mid (l1, l2) \in r; \quad r \subseteq \text{lListD-Fun}(r \text{ Un } \text{range}(\%x. (x, x))) \mid] \implies l1 = l2$$

$$\langle \text{proof} \rangle$$

### 12.12.2 Rules to prove the 2nd premise of *lList-equalityI*

**lemma** *lListD-Fun-LNil-I* [simp]:  $(LNil, LNil) \in \text{lListD-Fun}(r)$   

$$\langle \text{proof} \rangle$$

**lemma** *lListD-Fun-LCons-I* [simp]:  

$$(l1, l2):r \implies (LCons x l1, LCons x l2) \in \text{lListD-Fun}(r)$$

$$\langle \text{proof} \rangle$$

Utilise the "strong" part, i.e. *gfp*(*f*)

**lemma** *lListD-Fun-range-I*:  $(l, l) \in \text{lListD-Fun}(r \text{ Un } \text{range}(\%x. (x, x)))$   

$$\langle \text{proof} \rangle$$

A special case of *list-equality* for functions over lazy lists

**lemma** *lList-fun-equalityI*:  

$$[\mid f(LNil) = g(LNil);$$

$$\quad \forall x l. (f(LCons x l), g(LCons x l))$$

$$\quad \in \text{lListD-Fun}(\text{range}(\%u. (f(u), g(u))) \text{ Un } \text{range}(\%v. (v, v)))$$

$$\mid] \implies f(l) = (g(l :: 'a \text{ lList}) :: 'b \text{ lList})$$

$$\langle \text{proof} \rangle$$

## 12.13 The functional *lmap*

**lemma** *lmap-LNil* [simp]:  $\text{lmap } f \text{ LNil} = LNil$   

$$\langle \text{proof} \rangle$$

**lemma** *lmap-LCons* [simp]:  $\text{lmap } f (LCons M N) = LCons (f M) (\text{lmap } f N)$   

$$\langle \text{proof} \rangle$$

### 12.13.1 Two easy results about *lmap*

**lemma** *lmap-compose* [simp]:  $\text{lmap } (f \circ g) l = \text{lmap } f (\text{lmap } g l)$   

$$\langle \text{proof} \rangle$$

**lemma** *lmap-ident* [simp]:  $\text{lmap } (\%x. x) l = l$   
 <proof>

## 12.14 iterates – llist-fun-equalityI cannot be used!

**lemma** *iterates*:  $\text{iterates } f x = \text{LCons } x (\text{iterates } f (f x))$   
 <proof>

**lemma** *lmap-iterates* [simp]:  $\text{lmap } f (\text{iterates } f x) = \text{iterates } f (f x)$   
 <proof>

**lemma** *iterates-lmap*:  $\text{iterates } f x = \text{LCons } x (\text{lmap } f (\text{iterates } f x))$   
 <proof>

## 12.15 A rather complex proof about iterates – cf Andy Pitts

### 12.15.1 Two lemmas about $\text{nat-rec } n x (\%m. g)$ , which is essentially $(g^n)(x)$

**lemma** *fun-power-lmap*:  $\text{nat-rec } (\text{LCons } b l) (\%m. \text{lmap}(f)) n =$   
 $\text{LCons } (\text{nat-rec } b (\%m. f) n) (\text{nat-rec } l (\%m. \text{lmap}(f)) n)$   
 <proof>

**lemma** *fun-power-Suc*:  $\text{nat-rec } (g x) (\%m. g) n = \text{nat-rec } x (\%m. g) (\text{Suc } n)$   
 <proof>

**lemmas** *Pair-cong* = *refl* [THEN cong, THEN cong, of concl: Pair]

The bisimulation consists of  $\{(\text{lmap}(f)^n (h(u)), \text{lmap}(f)^n (\text{iterates}(f, u)))\}$   
 for all  $u$  and all  $n::\text{nat}$ .

**lemma** *iterates-equality*:  
 $(!x. h(x) = \text{LCons } x (\text{lmap } f (h x))) ==> h = \text{iterates}(f)$   
 <proof>

## 12.16 lappend – its two arguments cause some complications!

**lemma** *lappend-LNil-LNil* [simp]:  $\text{lappend } \text{LNil } \text{LNil} = \text{LNil}$   
 <proof>

**lemma** *lappend-LNil-LCons* [simp]:  
 $\text{lappend } \text{LNil } (\text{LCons } l l') = \text{LCons } l (\text{lappend } \text{LNil } l')$   
 <proof>

**lemma** *lappend-LCons* [simp]:  
 $\text{lappend } (\text{LCons } l l') N = \text{LCons } l (\text{lappend } l' N)$   
 <proof>

**lemma** *lappend-LNil* [simp]:  $\text{lappend } \text{LNil } l = l$   
 <proof>

**lemma** *lappend-LNil2* [simp]: *lappend l LNil = l*  
 <proof>

The infinite first argument blocks the second

**lemma** *lappend-iterates* [simp]: *lappend (iterates f x) N = iterates f x*  
 <proof>

### 12.16.1 Two proofs that *lmap* distributes over *lappend*

Long proof requiring case analysis on both both arguments

**lemma** *lmap-lappend-distrib*:  
*lmap f (lappend l n) = lappend (lmap f l) (lmap f n)*  
 <proof>

Shorter proof of theorem above using *llist-equalityI* as strong coinduction

**lemma** *lmap-lappend-distrib'*:  
*lmap f (lappend l n) = lappend (lmap f l) (lmap f n)*  
 <proof>

Without strong coinduction, three case analyses might be needed

**lemma** *lappend-assoc'*: *lappend (lappend l1 l2) l3 = lappend l1 (lappend l2 l3)*  
 <proof>

**end**

## 13 The "filter" functional for coinductive lists – defined by a combination of induction and coinduction

**theory** *LFilter* **imports** *LList* **begin**

**inductive-set**

*findRel* :: ('a => bool) => ('a llist \* 'a llist) set  
**for** *p* :: 'a => bool  
**where**  
*found*: *p x ==> (LCons x l, LCons x l) ∈ findRel p*  
*| seek*: [*l* ~ *p x*; (*l*, *l'*) ∈ *findRel p*] ==> (*LCons x l, l'*) ∈ *findRel p*

**declare** *findRel.intros* [intro]

**definition**

*find* :: ['a => bool, 'a llist] => 'a llist **where**  
*find p l = (SOME l'. (l, l'): findRel p | (l' = LNil & l ~: Domain(findRel p)))*

**definition**

$lfilter :: [a \Rightarrow bool, 'a llist] \Rightarrow 'a llist$  **where**  
 $lfilter\ p\ l = llist\_corec\ l\ (\%l.\ case\ find\ p\ l\ of$   
 $\quad LNil \Rightarrow None$   
 $\quad | LCons\ y\ z \Rightarrow Some(y,z))$

**13.1 findRel: basic laws****inductive-cases**

$findRel\text{-}LConsE\ [elim!]: (LCons\ x\ l,\ l'') \in findRel\ p$

**lemma findRel-functional [rule-format]:**

$(l,l'): findRel\ p \Rightarrow (l,l''): findRel\ p \Rightarrow l'' = l'$   
 $\langle proof \rangle$

**lemma findRel-imp-LCons [rule-format]:**

$(l,l'): findRel\ p \Rightarrow \exists x\ l''.\ l' = LCons\ x\ l'' \ \&\ p\ x$   
 $\langle proof \rangle$

**lemma findRel-LNil [elim!]:**  $(LNil,l): findRel\ p \Rightarrow R$   
 $\langle proof \rangle$

**13.2 Properties of Domain (findRel p)****lemma LCons-Domain-findRel [simp]:**

$LCons\ x\ l \in Domain(findRel\ p) = (p\ x \mid l \in Domain(findRel\ p))$   
 $\langle proof \rangle$

**lemma Domain-findRel-iff:**

$(l \in Domain\ (findRel\ p)) = (\exists x\ l'.\ (l,\ LCons\ x\ l') \in findRel\ p \ \&\ p\ x)$   
 $\langle proof \rangle$

**lemma Domain-findRel-mono:**

$[\mid !!x.\ p\ x \Rightarrow q\ x \mid] \Rightarrow Domain\ (findRel\ p) \leq Domain\ (findRel\ q)$   
 $\langle proof \rangle$

**13.3 find: basic equations****lemma find-LNil [simp]: find p LNil = LNil**

$\langle proof \rangle$

**lemma findRel-imp-find [simp]: (l,l') ∈ findRel p ⇒ find p l = l'**

$\langle proof \rangle$

**lemma find-LCons-found: p x ⇒ find p (LCons x l) = LCons x l**

$\langle proof \rangle$

**lemma diverge-find-LNil [simp]: l ∼: Domain(findRel p) ⇒ find p l = LNil**

$\langle proof \rangle$

**lemma** *find-LCons-seek*:  $\sim (p\ x) ==> \text{find } p\ (LCons\ x\ l) = \text{find } p\ l$   
 $\langle \text{proof} \rangle$

**lemma** *find-LCons [simp]*:  
 $\text{find } p\ (LCons\ x\ l) = (\text{if } p\ x \text{ then } LCons\ x\ l \text{ else } \text{find } p\ l)$   
 $\langle \text{proof} \rangle$

### 13.4 *lfilter*: basic equations

**lemma** *lfilter-LNil [simp]*:  $\text{lfilter } p\ LNil = LNil$   
 $\langle \text{proof} \rangle$

**lemma** *diverge-lfilter-LNil [simp]*:  
 $l \sim: \text{Domain}(\text{findRel } p) ==> \text{lfilter } p\ l = LNil$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-LCons-found*:  
 $p\ x ==> \text{lfilter } p\ (LCons\ x\ l) = LCons\ x\ (\text{lfilter } p\ l)$   
 $\langle \text{proof} \rangle$

**lemma** *findRel-imp-lfilter [simp]*:  
 $(l, LCons\ x\ l') \in \text{findRel } p ==> \text{lfilter } p\ l = LCons\ x\ (\text{lfilter } p\ l')$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-LCons-seek*:  $\sim (p\ x) ==> \text{lfilter } p\ (LCons\ x\ l) = \text{lfilter } p\ l$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-LCons [simp]*:  
 $\text{lfilter } p\ (LCons\ x\ l) =$   
 $(\text{if } p\ x \text{ then } LCons\ x\ (\text{lfilter } p\ l) \text{ else } \text{lfilter } p\ l)$   
 $\langle \text{proof} \rangle$

**declare** *lfilterD-Fun-LNil-I [intro!]* *lfilterD-Fun-LCons-I [intro!]*

**lemma** *lfilter-eq-LNil*:  $\text{lfilter } p\ l = LNil ==> l \sim: \text{Domain}(\text{findRel } p)$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-eq-LCons [rule-format]*:  
 $\text{lfilter } p\ l = LCons\ x\ l' -->$   
 $(\exists l''. l' = \text{lfilter } p\ l'' \ \& \ (l, LCons\ x\ l'') \in \text{findRel } p)$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-cases*:  $\text{lfilter } p\ l = LNil \mid$   
 $(\exists y\ l'. \text{lfilter } p\ l = LCons\ y\ (\text{lfilter } p\ l') \ \& \ p\ y)$   
 $\langle \text{proof} \rangle$

### 13.5 *lfilter*: simple facts by coinduction

**lemma** *lfilter-K-True*: *lfilter* (%*x*. *True*) *l* = *l*  
 ⟨*proof*⟩

**lemma** *lfilter-idem*: *lfilter* *p* (*lfilter* *p* *l*) = *lfilter* *p* *l*  
 ⟨*proof*⟩

### 13.6 Numerous lemmas required to prove *lfilter-conj*

**lemma** *findRel-conj-lemma* [*rule-format*]:  
 (*l*, *l'*) ∈ *findRel* *q*  
 ==> *l'* = *LCons* *x* *l''* --> *p* *x* --> (*l*, *l'*) ∈ *findRel* (%*x*. *p* *x* & *q* *x*)  
 ⟨*proof*⟩

**lemmas** *findRel-conj* = *findRel-conj-lemma* [*OF* - *refl*]

**lemma** *findRel-not-conj-Domain* [*rule-format*]:  
 (*l*, *l''*) ∈ *findRel* (%*x*. *p* *x* & *q* *x*)  
 ==> (*l*, *LCons* *x* *l'*) ∈ *findRel* *q* --> ~ *p* *x* -->  
       *l'* ∈ *Domain* (*findRel* (%*x*. *p* *x* & *q* *x*))  
 ⟨*proof*⟩

**lemma** *findRel-conj2* [*rule-format*]:  
 (*l*, *lxx*) ∈ *findRel* *q*  
 ==> *lxx* = *LCons* *x* *lx* --> (*lx*, *lz*) ∈ *findRel* (%*x*. *p* *x* & *q* *x*) --> ~ *p* *x*  
       --> (*l*, *lz*) ∈ *findRel* (%*x*. *p* *x* & *q* *x*)  
 ⟨*proof*⟩

**lemma** *findRel-lfilter-Domain-conj* [*rule-format*]:  
 (*lx*, *ly*) ∈ *findRel* *p*  
 ==> ∀ *l*. *lx* = *lfilter* *q* *l* --> *l* ∈ *Domain* (*findRel* (%*x*. *p* *x* & *q* *x*))  
 ⟨*proof*⟩

**lemma** *findRel-conj-lfilter* [*rule-format*]:  
 (*l*, *l''*) ∈ *findRel* (%*x*. *p* *x* & *q* *x*)  
 ==> *l''* = *LCons* *y* *l'* -->  
       (*lfilter* *q* *l*, *LCons* *y* (*lfilter* *q* *l'*)) ∈ *findRel* *p*  
 ⟨*proof*⟩

**lemma** *lfilter-conj-lemma*:  
 (*lfilter* *p* (*lfilter* *q* *l*), *lfilter* (%*x*. *p* *x* & *q* *x*) *l*)  
 ∈ *llistD-Fun* (*range* (%*u*. (*lfilter* *p* (*lfilter* *q* *u*),  
                               *lfilter* (%*x*. *p* *x* & *q* *x*) *u*)))  
 ⟨*proof*⟩

**lemma** *lfilter-conj*: *lfilter* *p* (*lfilter* *q* *l*) = *lfilter* (%*x*. *p* *x* & *q* *x*) *l*  
 ⟨*proof*⟩

### 13.7 Numerous lemmas required to prove $lfilter\ p\ (lmap\ f\ l) = lmap\ f\ (lfilter\ (\%x.\ p(f\ x))\ l)$

**lemma** *findRel-lmap-Domain*:

$(l, l') \in findRel(\%x.\ p\ (f\ x)) \implies lmap\ f\ l \in Domain(findRel\ p)$   
 $\langle proof \rangle$

**lemma** *lmap-eq-LCons* [rule-format]:  $lmap\ f\ l = LCons\ x\ l' \dashv\dashv$   
 $(\exists\ y\ l''.\ x = f\ y \ \&\ l' = lmap\ f\ l'' \ \&\ l = LCons\ y\ l'')$   
 $\langle proof \rangle$

**lemma** *lmap-LCons-findRel-lemma* [rule-format]:

$(lx, ly) \in findRel\ p$   
 $\implies \forall l.\ lmap\ f\ l = lx \dashv\dashv ly = LCons\ x\ l' \dashv\dashv$   
 $(\exists\ y\ l''.\ x = f\ y \ \&\ l' = lmap\ f\ l'' \ \&$   
 $(l, LCons\ y\ l'') \in findRel(\%x.\ p(f\ x)))$   
 $\langle proof \rangle$

**lemmas** *lmap-LCons-findRel = lmap-LCons-findRel-lemma* [OF - refl refl]

**lemma** *lfilter-lmap*:  $lfilter\ p\ (lmap\ f\ l) = lmap\ f\ (lfilter\ (p\ o\ f)\ l)$   
 $\langle proof \rangle$

**end**

## 14 Mutual Induction via Iterated Inductive Definitions

**theory** *Com* **imports** *Main* **begin**

**typedecl** *loc*

**types** *state* = *loc*  $\implies$  *nat*

**datatype**

*exp* = *N nat*  
 $|$  *X loc*  
 $|$  *Op nat  $\implies$  nat  $\implies$  nat exp exp*  
 $|$  *valOf com exp* (*VALOF - RESULTIS - 60*)

**and**

*com* = *SKIP*  
 $|$  *Assign loc exp* (**infixl** := 60)  
 $|$  *Semi com com* (**-;;-** [60, 60] 60)  
 $|$  *Cond exp com com* (*IF - THEN - ELSE - 60*)  
 $|$  *While exp com* (*WHILE - DO - 60*)



## 14.1 Commands

Execution of commands

**abbreviation** (*input*)

*generic-rel*  $(- / -|[-] \rightarrow - [50,0,50] 50)$  **where**  
*esig*  $-|[-] \rightarrow ns == (esig, ns) \in eval$

Command execution. Natural numbers represent Booleans: 0=True, 1=False

**inductive-set**

*exec*  $:: ((exp*state) * (nat*state)) set \Rightarrow ((com*state)*state) set$   
**and** *exec-rel*  $:: com * state \Rightarrow ((exp*state) * (nat*state)) set \Rightarrow state \Rightarrow bool$   
 $(- / -|[-] \rightarrow - [50,0,50] 50)$   
**for** *eval*  $:: ((exp*state) * (nat*state)) set$   
**where**  
*csig*  $-|[-] \rightarrow s == (csig, s) \in exec\ eval$

| *Skip*:  $(SKIP, s) -|[-] \rightarrow s$

| *Assign*:  $(e, s) -|[-] \rightarrow (v, s') \Rightarrow (x := e, s) -|[-] \rightarrow s'(x:=v)$

| *Semi*:  $[ (c0, s) -|[-] \rightarrow s2; (c1, s2) -|[-] \rightarrow s1 ]$   
 $\Rightarrow (c0 ;; c1, s) -|[-] \rightarrow s1$

| *IfTrue*:  $[ (e, s) -|[-] \rightarrow (0, s'); (c0, s') -|[-] \rightarrow s1 ]$   
 $\Rightarrow (IF\ e\ THEN\ c0\ ELSE\ c1, s) -|[-] \rightarrow s1$

| *IfFalse*:  $[ (e, s) -|[-] \rightarrow (Suc\ 0, s'); (c1, s') -|[-] \rightarrow s1 ]$   
 $\Rightarrow (IF\ e\ THEN\ c0\ ELSE\ c1, s) -|[-] \rightarrow s1$

| *WhileFalse*:  $(e, s) -|[-] \rightarrow (Suc\ 0, s1)$   
 $\Rightarrow (WHILE\ e\ DO\ c, s) -|[-] \rightarrow s1$

| *WhileTrue*:  $[ (e, s) -|[-] \rightarrow (0, s1);$   
 $(c, s1) -|[-] \rightarrow s2; (WHILE\ e\ DO\ c, s2) -|[-] \rightarrow s3 ]$   
 $\Rightarrow (WHILE\ e\ DO\ c, s) -|[-] \rightarrow s3$

**declare** *exec.intros* [*intro*]

**inductive-cases**

*[elim!]*:  $(SKIP, s) -|[-] \rightarrow t$   
**and** *[elim!]*:  $(x:=a, s) -|[-] \rightarrow t$   
**and** *[elim!]*:  $(c1;;c2, s) -|[-] \rightarrow t$   
**and** *[elim!]*:  $(IF\ e\ THEN\ c1\ ELSE\ c2, s) -|[-] \rightarrow t$   
**and** *exec-WHILE-case*:  $(WHILE\ b\ DO\ c, s) -|[-] \rightarrow t$

Justifies using "exec" in the inductive definition of "eval"

**lemma** *exec-mono*:  $A \leq B \Rightarrow exec(A) \leq exec(B)$

*<proof>*

**lemma** *[pred-set-conv]*:  
 $((\lambda x x' y y'. ((x, x'), (y, y')) \in R) \leq (\lambda x x' y y'. ((x, x'), (y, y')) \in S)) = (R \leq S)$   
*<proof>*

**lemma** *[pred-set-conv]*:  
 $((\lambda x x' y. ((x, x'), y) \in R) \leq (\lambda x x' y. ((x, x'), y) \in S)) = (R \leq S)$   
*<proof>*

**declare** *[[unify-trace-bound = 30, unify-search-bound = 60]]*

Command execution is functional (deterministic) provided evaluation is

**theorem** *single-valued-exec: single-valued ev ==> single-valued(exec ev)*  
*<proof>*

## 14.2 Expressions

Evaluation of arithmetic expressions

**inductive-set**

*eval* ::  $((exp*state) * (nat*state)) set$   
**and** *eval-rel* ::  $[exp*state, nat*state] \Rightarrow bool$  (**infixl**  $-|->$  50)  
**where**  
 $esig -|-> ns == (esig, ns) \in eval$   
 $| N [intro!]: (N(n), s) -|-> (n, s)$   
 $| X [intro!]: (X(x), s) -|-> (s(x), s)$   
 $| Op [intro]: [| (e0, s) -|-> (n0, s0); (e1, s0) -|-> (n1, s1) |]$   
 $==> (Op f e0 e1, s) -|-> (f n0 n1, s1)$   
 $| valOf [intro]: [| (c, s) -[eval]-> s0; (e, s0) -|-> (n, s1) |]$   
 $==> (VALOF c RESULTIS e, s) -|-> (n, s1)$

**monos** *exec-mono*

**inductive-cases**

$[elim!]: (N(n), sigma) -|-> (n', s')$   
**and**  $[elim!]: (X(x), sigma) -|-> (n, s')$   
**and**  $[elim!]: (Op f a1 a2, sigma) -|-> (n, s')$   
**and**  $[elim!]: (VALOF c RESULTIS e, s) -|-> (n, s1)$

**lemma** *var-assign-eval [intro!]: (X x, s(x:=n)) -|-> (n, s(x:=n))*  
*<proof>*

Make the induction rule look nicer – though *eta-contract* makes the new

version look worse than it is...

**lemma** *split-lemma*:

$\{((e,s),(n,s')). P\ e\ s\ n\ s'\} = \text{Collect } (\text{split } (\%v. \text{split } (\text{split } P\ v)))$   
 $\langle \text{proof} \rangle$

New induction rule. Note the form of the VALOF induction hypothesis

**lemma** *eval-induct*

$[ \text{case-names } N\ X\ Op\ \text{valOf}, \text{ consumes } 1, \text{ induct set: eval} ]:$   
 $[ [ (e,s) \dashv\!\!\dashv\!\!\rightarrow (n,s');$   
 $\quad !!n\ s. P\ (N\ n)\ s\ n\ s;$   
 $\quad !!s\ x. P\ (X\ x)\ s\ (s\ x)\ s;$   
 $\quad !!e0\ e1\ f\ n0\ n1\ s\ s0\ s1.$   
 $\quad [ [ (e0,s) \dashv\!\!\dashv\!\!\rightarrow (n0,s0); P\ e0\ s\ n0\ s0;$   
 $\quad \quad (e1,s0) \dashv\!\!\dashv\!\!\rightarrow (n1,s1); P\ e1\ s0\ n1\ s1$   
 $\quad ] \implies P\ (Op\ f\ e0\ e1)\ s\ (f\ n0\ n1)\ s1;$   
 $\quad !!c\ e\ n\ s\ s0\ s1.$   
 $\quad [ [ (c,s) \dashv\!\!\dashv\!\!\rightarrow [eval\ Int\ \{((e,s),(n,s')). P\ e\ s\ n\ s'\}] \dashv\!\!\dashv\!\!\rightarrow s0;$   
 $\quad \quad (c,s) \dashv\!\!\dashv\!\!\rightarrow [eval] \dashv\!\!\dashv\!\!\rightarrow s0;$   
 $\quad \quad (e,s0) \dashv\!\!\dashv\!\!\rightarrow (n,s1); P\ e\ s0\ n\ s1\ ] ]$   
 $\quad \implies P\ (VALOF\ c\ RESULTIS\ e)\ s\ n\ s1$   
 $] \implies P\ e\ s\ n\ s'$   
 $\langle \text{proof} \rangle$

Lemma for *Function-eval*. The major premise is that  $(c,s)$  executes to  $s1$  using eval restricted to its functional part. Note that the execution  $(c,s) \dashv\!\!\dashv\!\!\rightarrow [eval] \dashv\!\!\dashv\!\!\rightarrow s2$  can use unrestricted *eval*! The reason is that the execution  $(c,s) \dashv\!\!\dashv\!\!\rightarrow [eval\ Int\ \{\dots\}] \dashv\!\!\dashv\!\!\rightarrow s1$  assures us that execution is functional on the argument  $(c,s)$ .

**lemma** *com-Unique*:

$(c,s) \dashv\!\!\dashv\!\!\rightarrow [eval\ Int\ \{((e,s),(n,t)). \forall nt'. (e,s) \dashv\!\!\dashv\!\!\rightarrow nt' \dashv\!\!\dashv\!\!\rightarrow (n,t)=nt'\}] \dashv\!\!\dashv\!\!\rightarrow s1$   
 $\implies \forall s2. (c,s) \dashv\!\!\dashv\!\!\rightarrow [eval] \dashv\!\!\dashv\!\!\rightarrow s2 \dashv\!\!\dashv\!\!\rightarrow s2=s1$   
 $\langle \text{proof} \rangle$

Expression evaluation is functional, or deterministic

**theorem** *single-valued-eval*: *single-valued eval*

$\langle \text{proof} \rangle$

**lemma** *eval-N-E*  $[dest!]: (N\ n,\ s) \dashv\!\!\dashv\!\!\rightarrow (v,\ s') \implies (v = n \ \&\ s' = s)$

$\langle \text{proof} \rangle$

This theorem says that "WHILE TRUE DO c" cannot terminate

**lemma** *while-true-E*:

$(c',\ s) \dashv\!\!\dashv\!\!\rightarrow [eval] \dashv\!\!\dashv\!\!\rightarrow t \implies c' = \text{WHILE } (N\ 0)\ \text{DO } c \implies \text{False}$

$\langle \text{proof} \rangle$

### 14.3 Equivalence of IF e THEN c;;(WHILE e DO c) ELSE SKIP and WHILE e DO c

**lemma** *while-if1*:

$$\begin{aligned} & (c', s) \text{ --[eval]--> } t \\ \implies & c' = \text{WHILE } e \text{ DO } c \implies \\ & (\text{IF } e \text{ THEN } c;;c' \text{ ELSE SKIP}, s) \text{ --[eval]--> } t \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *while-if2*:

$$\begin{aligned} & (c', s) \text{ --[eval]--> } t \\ \implies & c' = \text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP} \implies \\ & (\text{WHILE } e \text{ DO } c, s) \text{ --[eval]--> } t \\ & \langle \text{proof} \rangle \end{aligned}$$

**theorem** *while-if*:

$$\begin{aligned} & ((\text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP}, s) \text{ --[eval]--> } t) = \\ & ((\text{WHILE } e \text{ DO } c, s) \text{ --[eval]--> } t) \\ & \langle \text{proof} \rangle \end{aligned}$$

### 14.4 Equivalence of (IF e THEN c1 ELSE c2);;c and IF e THEN (c1;;c) ELSE (c2;;c)

**lemma** *if-semi1*:

$$\begin{aligned} & (c', s) \text{ --[eval]--> } t \\ \implies & c' = (\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c \implies \\ & (\text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c), s) \text{ --[eval]--> } t \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *if-semi2*:

$$\begin{aligned} & (c', s) \text{ --[eval]--> } t \\ \implies & c' = \text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c) \implies \\ & ((\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c, s) \text{ --[eval]--> } t \\ & \langle \text{proof} \rangle \end{aligned}$$

**theorem** *if-semi*:  $((\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c, s) \text{ --[eval]--> } t =$

$$((\text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c), s) \text{ --[eval]--> } t)$$

$\langle \text{proof} \rangle$

### 14.5 Equivalence of VALOF c1 RESULTIS (VALOF c2 RESULTIS e) and VALOF c1;;c2 RESULTIS e

**lemma** *valof-valof1*:

$$\begin{aligned} & (e', s) \text{ --|> } (v, s') \\ \implies & e' = \text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e) \implies \\ & (\text{VALOF } c1;;c2 \text{ RESULTIS } e, s) \text{ --|> } (v, s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *valof-valof2*:

$$\begin{aligned}
& (e', s) \dashv\vdash (v, s') \\
& \implies e' = \text{VALOF } c1 ;; c2 \text{ RESULTIS } e \implies \\
& \quad (\text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e), s) \dashv\vdash (v, s') \\
& \langle \text{proof} \rangle
\end{aligned}$$

**theorem** *valof-valof*:

$$\begin{aligned}
& ((\text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e), s) \dashv\vdash (v, s')) = \\
& ((\text{VALOF } c1 ;; c2 \text{ RESULTIS } e, s) \dashv\vdash (v, s')) \\
& \langle \text{proof} \rangle
\end{aligned}$$

## 14.6 Equivalence of VALOF SKIP RESULTIS e and e

**lemma** *valof-skip1*:

$$\begin{aligned}
& (e', s) \dashv\vdash (v, s') \\
& \implies e' = \text{VALOF SKIP RESULTIS } e \implies \\
& \quad (e, s) \dashv\vdash (v, s') \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *valof-skip2*:

$$\begin{aligned}
& (e, s) \dashv\vdash (v, s') \implies (\text{VALOF SKIP RESULTIS } e, s) \dashv\vdash (v, s') \\
& \langle \text{proof} \rangle
\end{aligned}$$

**theorem** *valof-skip*:

$$\begin{aligned}
& ((\text{VALOF SKIP RESULTIS } e, s) \dashv\vdash (v, s')) = ((e, s) \dashv\vdash (v, s')) \\
& \langle \text{proof} \rangle
\end{aligned}$$

## 14.7 Equivalence of VALOF x:=e RESULTIS x and e

**lemma** *valof-assign1*:

$$\begin{aligned}
& (e', s) \dashv\vdash (v, s'') \\
& \implies e' = \text{VALOF } x := e \text{ RESULTIS } X x \implies \\
& \quad (\exists s'. (e, s) \dashv\vdash (v, s') \ \& \ (s'' = s'(x := v))) \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *valof-assign2*:

$$\begin{aligned}
& (e, s) \dashv\vdash (v, s') \implies (\text{VALOF } x := e \text{ RESULTIS } X x, s) \dashv\vdash (v, s'(x := v)) \\
& \langle \text{proof} \rangle
\end{aligned}$$

**end**